

# Minimal simple sets: A new concept for topology-preserving transformations

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# Homotopic skeletonisation in cubic grids

Homotopic skeletonisation: used to transform an object without topology modification.

In discrete grids ( $\mathbb{Z}^2$ ,  $\mathbb{Z}^3$ ,  $\mathbb{Z}^4$ ), defined and implemented thanks to the notion of simple point.

## Algorithm

*Input:*  $X \subset \mathbb{Z}^n$

*Output:*  $S \subseteq X$  ( $S$  topologically equivalent to  $X$ )

Let  $S = X$

**while**  $\exists x \in S$ , *simple*( $x, S$ ) **do**

*Choose*  $x \in S$ , *simple*( $x, S$ ) *according to some criterion*

$S = S \setminus \{x\}$

**end while**

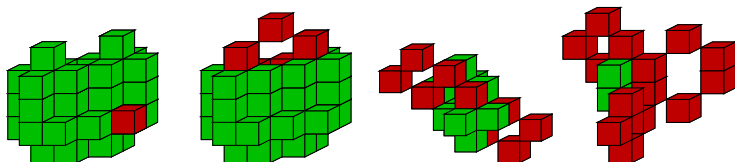
# Simple points do not guaranty “correct results”

## Proposition

*There exist objects  $X, Y \subset \mathbb{Z}^n$  such that:*

- $X$  does not contain any simple points;*
- $Y \subset X$  is however topologically equivalent to  $X$ .*

Conclusion: reduction algorithms only based on simple points may fail to lead to “minimal results”.



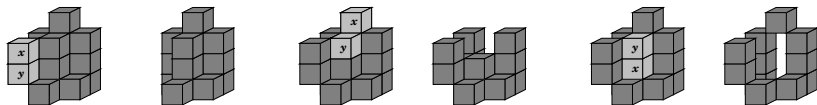
# A solution: the simple sets

## Definition (Unformal and partial)

Let  $X \subset \mathbb{Z}^n$ . Let  $S \subset X$ . If  $S$  can be removed from  $X$  “without altering its topology”, we say that  $S$  is a simple set for  $X$ .

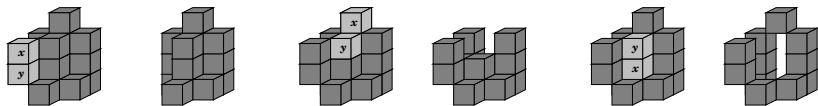
## Remark

*The notion of simple set extends the notion of simple point (simple points are “singular” simple sets).*



## Different kinds of simple sets. . .

Three simple sets  $S = \{x, y\}$  for the same object  $X$ .



- Left: **simple set based on P-simple points**:  $x$  (resp.  $y$ ) is simple for  $X$ ;  $x$  (resp.  $y$ ) is simple for  $X \setminus \{y\}$  (resp.  $X \setminus \{x\}$ ).
- Middle: **simple set based on “successively” simple points**:  $x$  is simple for  $X$ ;  $y$  is simple for  $X \setminus \{x\}$  but not for  $X$ .
- Right: **simple set without simple points**:  $x$  (resp.  $y$ ) is not simple for  $X$  but  $\{x, y\}$  is simple for  $X$ .

## Purpose

The last set  $P$  is a counter-example to following conjecture.

### Conjecture (Kong *et al.*, 1990)

*Suppose  $X' \subseteq X$  are finite subsets of  $\mathbb{Z}^3$  and  $X$  is collapsible to  $X'$ . Then there are sets  $X_1, X_2, \dots, X_n$  with  $X_1 = X, X_n = X'$  and, for  $0 < i < n$ ,  $X_{i+1} = X_i \setminus \{x_i\}$  where  $x_i$  is a simple point of  $X_i$ .*

- Simple points are not a sufficient concept to handle simple sets.
- Purpose: **study of simple sets (and especially the *minimal ones*, which do not include simple points).**
- Study in the context of cubical complexes (more general than  $\mathbb{Z}^n$ ).

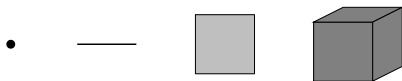
## Cubical complexes: basic notions

$$\mathbb{F}_0^1 = \{\{a\} \mid a \in \mathbb{Z}\}.$$

$$\mathbb{F}_1^1 = \{\{a, a + 1\} \mid a \in \mathbb{Z}\}.$$

### Definition (Face)

- A ( $m$ -)face of  $\mathbb{Z}^n$  is the Cartesian product of  $m$  elements of  $\mathbb{F}_1^1$  and  $(n - m)$  elements of  $\mathbb{F}_0^1$ .
- The *dimension* of  $f$  is  $\dim(f) = m$ .
- $\mathbb{F}^n$  is the set composed of all  $m$ -faces of  $\mathbb{Z}^n$  ( $m = 0$  to  $n$ ).

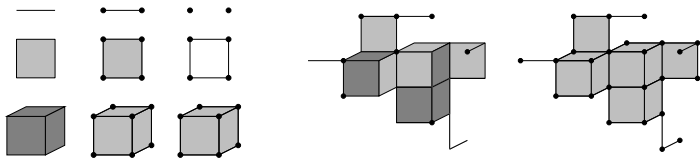


# Cubical complexes: basic notions

## Definition (Closure)

Let  $f$  be a face in  $\mathbb{F}^n$ .

- $\hat{f} = \{g \in \mathbb{F}^n \mid g \subseteq f\}$  is the set of the *faces* of  $f$ .
- $\hat{f}^* = \hat{f} \setminus \{f\}$  is the set of the *proper faces* of  $f$ .
- $F^- = \bigcup \{\hat{f} \mid f \in F\}$  is the *closure* of  $F$  ( $F$  finite).





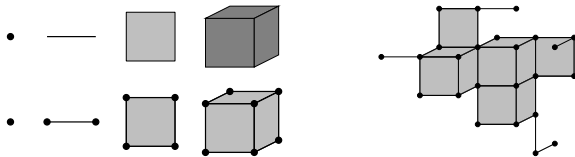
# Cubical complexes: basic notions

## Definition (Cell)

A set  $F \subset \mathbb{F}^n$  is a an  $(m-)$ cell if there exists an  $m$ -face  $f \in F$ , such that  $F = \hat{f}$ .

## Definition (Complex)

A set  $F \subset \mathbb{F}^n$  ( $F$  finite) is a *complex* if for any  $f \in F$ , we have  $\hat{f} \subseteq F$ , i.e., if  $F = F^-$ . We write  $F \preceq \mathbb{F}^n$ .



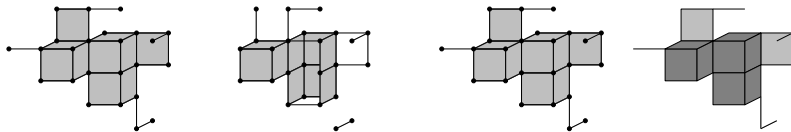
# Cubical complexes: basic notions

## Definition (Subcomplex)

A subset  $G \subseteq F \preceq \mathbb{F}^n$  which is also a complex is a *subcomplex* of  $F$ . We write  $G \preceq F$ .

## Definition (Facet)

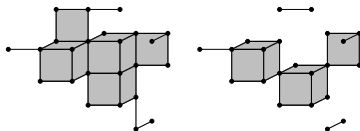
A face  $f \in F \preceq \mathbb{F}^n$  is a *facet* of  $F$  if there is no  $g \in F$  such that  $f \in \hat{g}^*$ .  $F^+$  is the set of all facets of  $F$ .



## Cubical complexes: basic notions

### Definition (Principal subcomplex)

If  $G \preceq F \preceq \mathbb{F}^n$  and  $G^+ \subseteq F^+$ , then  $G$  is a *principal subcomplex* of  $F$ . We write  $G \sqsubseteq F$  (and  $G \sqsubset F$  if  $G \neq F$ ).



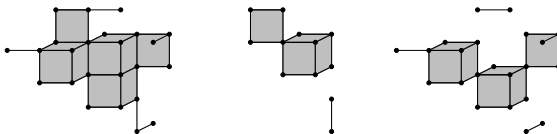
### Definition (Dimension, purity)

The *dimension* of  $\emptyset \neq F \preceq \mathbb{F}^n$  is  $\dim(F) = \max\{\dim(f) \mid f \in F^+\}$ .  
 $F$  is a *pure* complex if for all  $f \in F^+$ , we have  $\dim(f) = \dim(F)$ .

# Cubical complexes: basic notions

## Definition (Detachment)

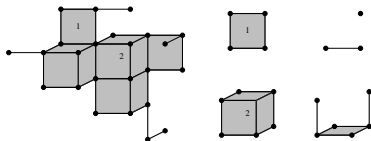
Let  $G \preceq F \preceq \mathbb{F}^n$ . The complex  $F \ominus G = (F^+ \setminus G^+)^-$  is the *detachment of  $G$  from  $F$* .



# Cubical complexes: basic notions

## Definition (Attachment)

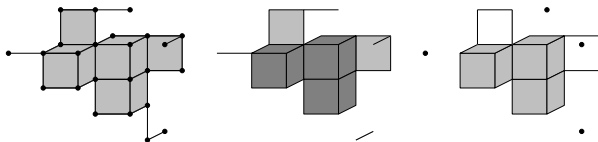
Let  $G \preceq F \preceq \mathbb{F}^n$ . The complex  $Att(G, F) = G \cap (F \otimes G)$  is the *attachment of  $G$  to  $F$* .



# Cubical complexes: topological notions

## Definition (Elementary collapse)

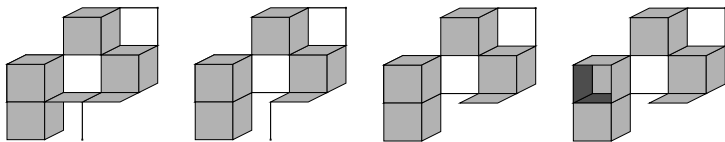
Let  $f \in F^+$ , with  $F \preceq \mathbb{F}^n$ . If  $g \in \hat{f}^*$  is such that  $f$  is the only face of  $F$  which strictly includes  $g$ , then we say that  $(f, g)$  is a *free pair* for  $F$ . If  $(f, g)$  is a free pair for  $F$ , the complex  $F \setminus \{f, g\} \preceq F$  is an *elementary collapse* of  $F$ .



# Cubical complexes: topological notions

## Definition (Collapse)

Let  $G \preceq F \preceq \mathbb{F}^n$ . We say that  $F$  *collapses onto*  $G$ , and we write  $F \searrow G$ , if there exists a sequence of complexes  $\langle F_i \rangle_{i=0}^t$  ( $t \geq 0$ ) such that  $F_0 = F$ ,  $F_t = G$ , and  $F_i$  is an elementary collapse of  $F_{i-1}$ , for all  $i \in [1, t]$ . The sequence  $\langle F_i \rangle_{i=0}^t$  is a *collapse sequence* from  $F$  to  $G$ .



## Remark

*Collapsing is an homotopy-preserving operation.*

# Simple set

A set  $G \preceq F$  is simple if there is a topology-preserving deformation (i.e. a collapse) of  $F$  over itself onto the relative complement of  $G$  in  $F$ .

## Definition

Let  $G \preceq F \preceq \mathbb{F}^n$ . We say that  $G$  is *simple for  $F$*  if  $F \searrow F \ominus G \neq F$ . Such a subcomplex  $G$  is called a *simple subcomplex of  $F$*  or a *simple set (SS) for  $F$* .



## Minimal simple set

*Minimal simple sets* (MSSs) are a sub-family of simple sets presenting minimality properties.

### Definition

Let  $G \preceq F \preceq \mathbb{F}^n$ . The complex  $G$  is a *minimal simple subcomplex* (or a *minimal simple set*) for  $F$  if  $G$  is a simple set for  $F$  and  $G$  is minimal (w.r.t.  $\preceq$ ) for this property (i.e.  $\forall H \preceq G$ ,  $H$  is simple for  $F \Rightarrow H = G$ ).

### Remark

- (i) *The existence of a simple set implies the existence of a minimal simple set.*
- (ii) *A minimal simple set is easier to characterise than a simple set.*

## Simple cells

Simple cells are simple sets with exactly one facet. The following definition can be seen as a discrete counterpart of the one given by Kong (DGCI'97).

### Definition

Let  $F \preceq \mathbb{F}^n$  be a cubical complex. Let  $f \in F^+$  be a facet of  $F$ . The cell  $\hat{f} \sqsubseteq F$  is a simple cell for  $F$  if  $F \searrow F \ominus \hat{f}$ .

### Remark

*Simple cells are minimal simple sets.*

# Simple-equivalence

From the notion of simple cell, we can define the concept of *simple-equivalence*...

## Definition

Let  $F, F' \preceq \mathbb{F}^n$ . We say that  $F$  and  $F'$  are *simple-equivalent* if there exists a sequence of sets  $\langle F_i \rangle_{i=0}^t$  ( $t \geq 0$ ) such that  $F_0 = F$ ,  $F_t = F'$ , and for any  $i \in [1, t]$ , we have either:

- (i)  $F_i = F_{i-1} \odot H_i$ , where  $H_i \sqsubseteq F_{i-1}$  is a simple cell for  $F_{i-1}$ ; or
- (ii)  $F_{i-1} = F_i \odot H_i$ , where  $H_i \sqsubseteq F_i$  is a simple cell for  $F_i$ .

# Lumps

... and from the notion of simple-equivalence, we can define the notion of *lump*.

## Definition

Let  $F' \preceq F \preceq \mathbb{F}^n$  such that  $F$  and  $F'$  are simple-equivalent. If  $F$  does not include any simple cell outside  $F'$ , then we say that  $F$  is a *lump relative to  $F'$* , or simply a *lump*.

## Remark

*A lump  $F$  relative to  $F'$ , although not including any simple cell which can be detached to provide a monotonic reduction converging onto  $F'$ , can sometimes (but not necessarily...) include simple sets.*

## 3-D minimal simple pairs in 3-D space

- First result on “non-trivial” 3-D SSs in 3-D spaces.
- Characterisation of *minimal simple pairs* (MSPs) in pure 3-D complexes ( $\sim \mathbb{Z}^3$ , with a (26,6)-adjacency), in linear time.
- Algorithmic improvements (“simple points + simple pairs” better than “simple points”).

### Proposition (DGCI'08, JMIV)

The set  $P \sqsubseteq F \sqsubseteq \mathbb{F}^3$  is a minimal simple pair for  $F$  if and only if all the following conditions hold:

- (i) the intersection of the two facets of  $P$  is a 2-face,
- (ii)  $\forall g \in P^+, |C[Att(\hat{g}, F)]| = 1$ ,
- (iii)  $\forall g \in P^+, \chi(Att(\hat{g}, F)) \leq 0$ ,
- (iv)  $|C[Att(P, F)]| = 1$ ,
- (v)  $\chi(Att(P, F)) = 1$ .

# Decomposition of SSs into simple cells

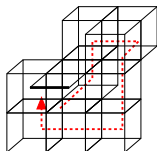
## Proposition

Let  $n \geq 1$ . Let  $F \preceq \mathbb{F}^n$  be a cubical complex. Let  $G \sqsubseteq F$  be a simple set for  $F$ . If  $n \leq 2$  or  $\dim(G) \leq 1$ , then  $\exists H \sqsubseteq G$  such that  $H$  is a simple cell for  $F$ .

“Simple sets can be handled by considering simple cell for  $n \leq 2$  or  $\dim(G) \leq 1$  (i.e. any SS is necessarily composed of simple cells)”.

## Remark

This is no longer true for  $n \geq 3$  and  $\dim(G) \geq 2$ .



## Decomposition of SSs into MSSs

### Proposition

*Let  $n \geq 1$ . Let  $F \preceq \mathbb{F}^n$  be a cubical complex. Let  $G \sqsubseteq F$  be a (non-minimal) simple set for  $F$  such that  $\dim(G) \leq 2$ . Then  $\forall H \sqsubset G$  such that  $H$  is a minimal simple set for  $F$ ,  $G \ominus H$  is a simple set for  $F \ominus H$ .*

“Simple sets of dimension lower than 2 can be broken by successive removal of *any* sequence of minimal simple sets, independently of the dimension of the space where they lie”.

### Remark

*This is no longer true for  $\dim(G) \geq 3$  (cf. Bing's house).*

# Contribution

Done:

- Sound **definitions of SSs, MSSs, lumps**, etc. (tools for homotopy preserving transforms);
- a characterisation of **3-D MSPs in pure 3-D objects**.

Work in progress (published soon, hopefully):

- Some **general results on SSs/MSSs** (in  $n$ -D spaces);
- A complete study of **2-D SSs/MSSs** in “general” **2-D** spaces (*i.e.* 2-D pseudomanifolds);
- A complete study of **2-D SSs/MSSs** in  $n$ -D spaces (with a characterisation of MSSs in linear time!);
- A characterisation of **3-D MSPs** in  $n$ -D spaces.



## Further works

Coming latter (or not. . .):

- A complete study of 3-D SSs/MSSs in 3-D spaces?
- 4-D and more?
- A study of SSs with alternative definitions (cf. Bing's house, etc.)?
- *Ad lib.*

## Related publications

- N. Passat, M. Couprie, G. Bertrand.  
*Topological monsters in  $\mathbb{Z}^3$ : A non-exhaustive bestiary.*  
ISMM 2007, Vol. 2, pp. 11-12.
- N. Passat, M. Couprie, G. Bertrand.  
*Minimal simple pairs in the cubic grid.*  
DGCI 2008, LNCS, Vol. 4992, pp. 165-176.
- N. Passat, M. Couprie, G. Bertrand.  
*Minimal simple pairs in the 3-D cubic grid.*  
Journal of Mathematical Imaging and Vision. In Press.

## Related publications

Available soon (as research reports in the next few weeks/months)

- N. Passat, M. Couprie, L. Mazo, G. Bertrand.  
*An introduction to simple sets.*  
Research Report IGM2008-xx.
- N. Passat, M. Couprie, L. Mazo, G. Bertrand.  
*Simple sets in 2-D pseudomanifolds.*  
Research Report IGM2008-xx.
- L. Mazo, N. Passat, M. Couprie, G. Bertrand.  
*2-D simple sets in  $n$ -D cubic grids.*  
Research Report IGM2008-xx.
- N. Passat, M. Couprie, G. Bertrand.  
*3-D minimal simple pairs in  $n$ -D cubic grids.*  
Research Report IGM2008-xx.

# Thank you for your attention.

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