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1 **Computer-aided ^{13}C NMR chemical profiling of crude natural extracts without**
2 **fractionation**

3

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1 ABSTRACT

2 A computer-aided, ^{13}C NMR-based dereplication method is presented for the chemical profiling
3 of natural extracts without any fractionation. An algorithm was developed in order to compare
4 the ^{13}C NMR chemical shifts obtained from a single routine spectrum with a set of predicted
5 NMR data stored in a natural metabolite database. The algorithm evaluates the quality of the
6 matching between experimental and predicted data by calculating a score function and returns
7 the list of metabolites which are the most likely to be present in the studied extract. The proof
8 of principle of the method is demonstrated on a crude alkaloid extract obtained from the leaves
9 of *Peumus boldus*, resulting in the identification of eight alkaloids including isocorydine,
10 rogersine, boldine, reticuline, coclaurine, laurotetanine, *N*-methylococlaurine and
11 norisocorydine, as well as three monoterpenes including *p*-cimene, eucalyptol, and α -terpinene.
12 The results were compared to those obtained with other methods, either involving a
13 fractionation step before the chemical profiling process or using mass spectrometry detection
14 in the infusion mode or coupled to gas chromatography.

15

16 KEYWORDS

17 Natural products, mixture analysis, dereplication, ^{13}C NMR, mass spectrometry, metabolite
18 database, *Peumus boldus*

1 INTRODUCTION

2 Natural product (NP) studies usually deal with samples of very complex chemical composition.
3 Isolation and structural elucidation of the metabolites within these mixtures remain tedious and
4 time consuming despite the advanced performance of modern chromatographic and analytical
5 technologies. One other major bottleneck of NP studies when searching for novel biologically
6 active substances and/or original chemical structures is the frequent rediscovery of very
7 common or already known metabolites, which causes a great waste of time. A good knowledge
8 of the presence of known molecules within natural samples is therefore of great interest to speed
9 up the chemical profiling of natural mixtures. This process of rapidly identifying known
10 chemotypes, known as dereplication, has emerged over the last years as an essential approach
11 to prevent duplication of isolation efforts.¹⁻³

12 The most common detection techniques for the dereplication of NPs are mass spectroscopy
13 (MS) and nuclear magnetic resonance (NMR), each one being very complementary to the
14 other.^{1,2} Liquid or gas chromatography coupled to high-resolution MS are currently the most
15 powerful “high-throughput screening” strategies for the on-line identification of metabolites in
16 natural resources.⁴ Nevertheless, the important variability observed between MS datasets
17 obtained from one mass analyzer to another and the tedious interpretation of MS data only
18 based on elemental composition and fragmentation pattern are critical issues that limit the
19 efficiency of MS-based déréplication.⁵ Efforts are currently underway to develop automatic
20 processing methods, mainly through the implementation of computational treatments.
21 Molecular networking in particular was recently validated as a promising computer-based
22 approach to visualize and organize tandem mass spectrometry datasets and automate database
23 search for metabolite identification within complex mixtures.^{6,7} Conversely, NMR is much less
24 sensitive than MS, but remains, by far, the most efficient detection technique to unambiguously
25 elucidate the molecular structures of secondary plant metabolite.⁸ A range of 1D and 2D NMR

1 data acquisition sequences can be used to chemically profile natural samples via the
2 interpretation of ^1H - ^1H and ^1H - ^{13}C coupling patterns.⁹⁻¹³ Direct ^{13}C NMR detection provides a
3 large chemical shift dispersion (240 ppm) that reduces signal overlaps and results in spectra
4 containing well-resolved individualized peaks due to broadband decoupling. The main
5 drawback of this technique is its low sensitivity due to a low natural abundance of the ^{13}C nuclei
6 ($\approx 1.1\%$) and its low magnetogyric ratio ($\approx 25\%$ of the one of the ^1H nucleus). The detection
7 of quaternary carbon resonances which gives access to the whole carbon skeleton of metabolites
8 is another strong advantage of ^{13}C NMR, even though they cannot benefit from sensitivity
9 enhancement by coherent or incoherent magnetization transfer from ^1H nuclei.¹⁴ The relevance
10 of ^{13}C NMR for mixture analysis has already been suggested in previous studies. For instance,
11 a very interesting algorithm was proposed in 1986 for the identification and quantification of
12 organic mixture components using a quantitative ^{13}C NMR spectrum as input data.¹⁵
13 Unfortunately the method was tested on a model mixture of standard compounds and has never
14 been applied for the analysis of genuine mixtures of natural products. Another procedure based
15 on ^{13}C NMR and computer tools was developed in 1994 to identify the most abundant phenolic
16 derivatives in liquids produced by pyrolysis of biomass.¹⁶ The same method was applied to
17 analyze the major chemical constituents of essential oils and was suggested as a useful
18 complementary tool to GC/MS for the correct identification of isomers.¹⁷ In another work, high-
19 quality ^{13}C NMR data obtained with a ^{13}C -optimized NMR probe were used to establish ^{13}C -
20 ^{13}C statistical correlations in order to enhance the accuracy of metabolite identification at
21 natural abundance.¹⁴ Two years ago our laboratory developed a dereplication method based on
22 the combination of ^{13}C NMR with support-free liquid-liquid chromatography and Hierarchical
23 Clustering Analysis for the fast identification of the major metabolites in natural extracts.¹⁸ This
24 approach has since been successfully applied to several extracts containing different chemical
25 classes of secondary metabolites.¹⁹⁻²² With the same goal of maximizing the efficiency of NP

1 dereplication, this paper presents a computer-aided ^{13}C NMR dereplication workflow that does
2 not require any pre-fractionation of the investigated sample in order to rapidly identify the major
3 constituents of a natural mixture while reducing as much as possible isolation steps. The proof
4 of principle of this algorithm is demonstrated on a crude alkaloid extract obtained from the
5 leaves of *Peumus boldus* (Monimiaceae), a small tree native to the central region of Chile that
6 is traditionally used for its digestive and hepato-biliary protective effects.²³

7

8 RESULTS AND DISCUSSION

9 As the ^{13}C NMR fingerprint of a metabolite corresponds to an invariant set of chemical shifts
10 that corresponds to its carbon skeleton, the ^{13}C NMR signals that originate from a single
11 compound can be assigned to the corresponding metabolite even if this metabolite is mixed
12 with other constituents, provided of course that there is a way to aggregate these signals. In this
13 context an algorithm was developed in order to identify the major constituents of a crude natural
14 mixture from a single ^{13}C NMR analysis on the basis of chemical shifts comparison between
15 experimental and predicted datasets. This dereplication process involving the calculation of
16 score values to evaluate each match between database records and the crude extract spectrum
17 was tested on a crude alkaloid extract obtained from *Peumus boldus* leaves.

18 For data acquisition, ^{13}C NMR signals were recorded with broadband proton decoupling mode,
19 in order to obtain a simplified ^{13}C NMR spectrum where each carbon atom of the metabolite
20 mixture corresponds to a single sharp peak with the greatest possible intensity even for the
21 quaternary resonances. Multiplicity edition by the J-modulated spin echo method (J-MOD) or
22 the Distortionless Enhancement by Polarisation Transfer method (DEPT) would have indicated
23 the parity of the number of protons attached to each carbon atom, and helped confirming or
24 excluding proposals from database at the end of the dereplication process. J-MOD and DEPT
25 pulse sequence rely on a spin echo module tuned for an average coupling constant 1J (^1H - ^{13}C)

1 value and are therefore less sensitive than the simple ^1H -decoupled ^{13}C sequence that was
2 retained for this study

3 The ^{13}C NMR spectrum of the crude *Peumus boldus* extract is presented in Figure 1. The
4 automatically produced peak list was directly used as an input file submitted to the algorithm.
5 The principle was to perform a search over a spectral database containing the structures and
6 predicted chemical shifts of all metabolites already reported in the genus *Peumus* (n=58) and
7 to compare the ^{13}C NMR chemical shifts of the crude extract spectrum to the predicted chemical
8 shifts of the database records. For practical reasons, we used for this purpose the NMR
9 Workbook Suite commercial software from ACD/Labs comprising in a single toolbox a
10 chemical structure drawing interface, a $^1\text{H}/^{13}\text{C}$ NMR prediction software and a spectral
11 database. The ACD/Labs prediction software is based on both the Hierarchical Organisation of
12 Spherical Environments (HOSE) code²⁴ and neural network algorithms and takes advantage of
13 a considerable amount of literature data (more than 200,000 chemical structures for ^{13}C NMR
14 prediction with more than 2,000,000 ^{13}C NMR chemical shifts). To our three year experience,
15 the predicted values obtained with this software are quite reliable and the user interfaces are
16 easy to manage routinely. However, this software remains primarily a commercial product with
17 very limited access to the calculation details to obtain the predicted values. A few other efficient
18 and open source prediction tools would probably have been of great value and possibly
19 implemented in the proposed dereplication workflow, provided that some parts of the database
20 search algorithm be adapted accordingly. One can mention the NMRShiftDB web database²⁵
21 which also involves the use of increment methods for NMR chemical shift prediction, or several
22 quantum chemical methods based for instance on the *ab initio* Hartree-Fock theory, second-
23 order Moller-Plesset theory or Density Functional theories (DFTs) which have been recognized
24 to yield good matching between experimental and computed values.²⁵⁻²⁷

1 With the database search algorithm proposed in the present work and applied to the ^{13}C NMR
2 peak list of the crude extract spectrum of *Peumus boldus* leaves, a total of 33 metabolites were
3 proposed with score values higher than 0.9 (Table 1). As the score value is defined as the ratio
4 between the numbers of chemical shifts of the crude extract that match a molecular structure of
5 the database and the total number of carbon positions of this structure, a score value higher than
6 0.9 means that more than 90 % of the carbon positions of the metabolites proposed by the
7 database matched the experimental NMR signals of the crude extract spectrum. Among these
8 metabolites, a range of alkaloids including isocorydine (**1**), rogersine (**2**), boldine (**3**),
9 reticuline (**4**), coclaurine (**5**), laurotetanine (**6**), norisocorydine (**7**), and N-methylcoclaurine (**8**)
10 were found with a score value of 1, indicating a complete matching of their predicted ^{13}C NMR
11 chemical shifts with the ^{13}C NMR signals detected in the crude extract spectrum. An alkaloid,
12 pronuciferine, showed a score value of 0.94 with only one mismatching chemical shift value
13 (Table 1). A mismatch may arise from important chemical shift differences between predicted
14 and experimental values or to non-homogenous peak intensity values resulting for instance from
15 quaternary or symmetrical carbon positions, therefore all chemical structures displaying score
16 values ranging from 0.9 and 1 were examined to confirm or not the presence of the proposed
17 metabolites. This step was performed by manually checking the ^{13}C NMR chemical shifts of
18 the matching chemical structures in the crude extract spectrum. The major alkaloids **1-8** were
19 easily confirmed. Pronuciferine was rapidly eliminated from the list of proposals because this
20 alkaloid contains a carbonyl group for which the characteristic resonance around 185 ppm was
21 not found in the crude extract spectrum. For such metabolites displaying score values comprised
22 between 0.9 and 1, further refinement of the approach including for instance Density Functional
23 Theory (DFT) calculations would be useful to minimize bias and improve the reliability of the
24 prediction of NMR chemical shifts.²⁵ Sans opinion... Rev2 sera content...

1 In order to compare the results obtained by the ^{13}C NMR-based database search algorithm with
2 another method, the crude extract was solubilized in methanol and directly infused in a QToF
3 mass spectrometer. The resulting MS spectrum is presented in Figure 2. Several intense even
4 molecular ions corresponding to nitrogen-containing compounds were detected. The ion at m/z
5 330.1 was attributed to the parent ion $[\text{M}+\text{H}]^+$ of reticuline (**4**) and the ion at m/z 286.1 was
6 attributed to the parent ion $[\text{M}+\text{H}]^+$ of coclaurine (**5**), thus confirming their presence in the crude
7 extract as revealed by the database search algorithm. Another intense ion detected at m/z 328.1
8 could be attributed to the parent ion $[\text{M}+\text{H}]^+$ of norisocorydine, boldine or laurotetanine, all
9 sharing the same molecular formula $\text{C}_{19}\text{H}_{21}\text{NO}_4$. Unfortunately, it was impossible to
10 discriminate between these three isomers by this method. Similarly, it was impossible to
11 distinguish between isocorydine and rogersine for the attribution of the molecular ion detected
12 at m/z 342.1 because these two alkaloids share the $\text{C}_{20}\text{H}_{23}\text{NO}_4$ molecular formula. Yet mass
13 spectrometry was here a good method to rapidly and sensitively detect a pool of alkaloids in
14 the crude extract of *Peumus boldus* leaves. But, in total, only two alkaloids of the eight proposed
15 by the ^{13}C NMR-based database search algorithm were confirmed. However, without more
16 detailed structural data, it remained very difficult to discriminate between the different other
17 molecular structures, and even hyphenated to liquid chromatography, standards molecules
18 would have been necessary to unambiguously identify the individual alkaloids present in the
19 extract. The database search algorithm described in this work thus appears as a highly useful
20 complementary tool to MS for the chemical profiling of natural extracts.

21 In order to go further, another ^{13}C NMR-based dereplication method including a fractionation
22 step was applied on the same extract. For this purpose, a pH-zone refining Centrifugal Partition
23 Chromatography (CPC) (REF J.-H. Renault, G. Le Crouerour, P. Thépenier, J.-M. Nuzillard,
24 M. Zèches-Hanrot, L. Le Men-Olivier, Isolation of indole alkaloids from *Catharanthus roseus*
25 by centrifugal partition chromatography in the pH-zone refining mode, J. Chromatogr. A 849

1 (1999) 421-431.) method was developed to fractionate *Peumus boldus* alkaloids on the basis of
2 their proton affinity and distribution coefficient. It may be mentioned here that it is the first
3 time that *Peumus boldus* alkaloids were separated by CPC. The experiment was performed
4 using a biphasic solvent system primarily composed of *Mt*BE and water while CH₃CN was
5 added as bridge solvent to reduce the polarity difference between the stationary and mobile
6 phases and ensure the solubility of all substances. The aqueous stationary phase was
7 supplemented with methane sulfonic acid (MSA) in order to ensure the protonation of all
8 alkaloids and therefore to promote their trapping into the aqueous stationary phase.
9 Triethylamine (TEA) was added to the organic mobile phase in order to progressively neutralize
10 the retainer and to selectively displace the alkaloids from the stationary to the mobile phase
11 according to their partition coefficients and acidity constants. As a result, the column effluent
12 remained at pH 2 for 45 minutes, then increased to pH 6 during the displacement of alkaloids
13 from 45 to 90 minutes, and finally increased up to pH 10 when the CPC fractionation was
14 completed. Eleven fraction pools P_I-P_{XI} were obtained and analyzed by ¹³C NMR. Automatic
15 peak picking and alignment of ¹³C NMR signals across spectra of the fraction series resulted in
16 a table with 11 columns (one per fraction) and 193 rows (one per chemical shift bin containing
17 at least one ¹³C NMR signal in at least one fraction). The table was submitted to Hierarchical
18 Clustering Analysis (HCA) on the rows. As a result, statistical correlations between ¹³C NMR
19 resonances belonging to a single structure within the fraction series were visualized as
20 “chemical shift clusters” in front of the corresponding dendrograms (Figure 3). Cluster A
21 corresponded to an intense cluster of 18 ¹³C NMR chemical shifts. After entering these chemical
22 shifts into the database, the structure of isocorydine (**1**) was proposed as the first hit of over 21
23 proposals. This structure was confirmed by checking all chemical shifts of isocorydine in raw
24 NMR data of P_I where the intensity of cluster 1 was predominant. Using the same method,
25 clusters B+B’ were identified as a mixture of *N*-methylcoclaurine (**8**) and reticuline (**4**). These

1 two metabolites have very similar molecular structures and were detected collected? in the same
2 fraction pools, thus their ^{13}C NMR chemical shifts were aggregated under the same clusters.
3 The other clusters highlighted on the HCA correlation map were identified as coclaurine (**5**),
4 rogersine (**2**), norisocorydine (**7**), laurotetanine (**6**), and boldine (**3**), as indicated in Figure 3.
5 The molecular structures of all these compounds were confirmed by checking NMR data of the
6 corresponding fractions. Yet, the eight alkaloids identified by the database search algorithm
7 directly from the ^{13}C NMR analysis of the crude *Peumus boldus* extract without separation were
8 also identified by the ^{13}C NMR-based dereplication method involving a fractionation step. On
9 the one hand, this means that time consuming separation procedures are not always necessary
10 to identify the major constituents of a crude mixture. Applying the database search algorithm
11 just after a single ^{13}C NMR analysis of an extract can help to initiate a good chemical profiling
12 process while saving time, materials and solvents and giving useful information for further
13 investigations such as biological or toxicological evaluation, fractionation and purification
14 process development. On the other hand, it must be noted that the signal-to-noise (S/N) ratios
15 of all ^{13}C NMR spectra recorded after CPC fractionation were much higher than that of the
16 crude extract spectrum (Figure 5) This brings us to the question of the minor constituents that
17 would be difficult to unambiguously identify by applying the database search algorithm just
18 after a single ^{13}C NMR analysis. Nevertheless, there is nothing to prevent the use of such
19 database search algorithm on fractions of simplified composition enriched with the minor
20 constituents of the extract. Mais Caramel ne permet pas de trouver d'autres constituants
21 minoritaires dans ce cas...

22 In addition to the eight alkaloids reported above, 24 monoterpenes were also proposed by the
23 database search algorithm, all with a score value of 1, excepted for α -copaene and β -
24 oploplenone, δ -cadinene and bornyl acetate which were effectively revealed absent from the
25 *Peumus boldus* extract after checking their chemical shifts in the experimental ^{13}C NMR

1 spectrum. As carried out for alkaloids, molecular structure validation of the 20 monoterpenes
2 showing a score value of 1 was tentatively performed with the NMR dataset obtained after CPC
3 fractionation of the *Peumus boldus* extract. Unfortunately, only NMR signals of very low
4 intensity that could potentially correspond to monoterpenes were detected in the spectra of the
5 CPC fractions, thus making their interpretation impossible. The very low intensity of
6 monoterpene signals in the NMR spectra of the CPC fractions was probably due to the volatility
7 of these compounds, which resulted in their loss during fractionation and under-vacuum mobile
8 phase elimination. As an alternative, the crude *Peumus boldus* extract was analyzed by GC/MS.
9 As illustrated in Figure 4, several well-resolved monoterpene signals were obtained on the
10 resulting chromatogram. The retention times, molecular formula and electron ionization spectra
11 of these GC/MS-detected monoterpenes are given in Table 2. By comparing the MS spectra of
12 the different peaks of the chromatogram to the NIST MS Search library, six monoterpenes were
13 putatively identified among which *p*-cimene (**9**), eucalyptol (**10**), and α -terpinene (**11**) were
14 common to the list of hits proposed by our database search algorithm. The identity of the other
15 monoterpenes proposed by the score function approach were not confirmed, either because the
16 electron ionization MS spectra of the GC/MS-detected monoterpenes did not exactly match the
17 spectra of the NIST MS Search library, or because the monoterpenes of the list of hits were
18 absent from this library.

19 In summary, a database search algorithm was developed in order to chemically profile the major
20 metabolites of a natural extract from a ^{13}C NMR analysis and without physical separation of
21 the constituents. This algorithm was tested on a crude extract obtained from *Peumus boldus*
22 leaves, leading to the successful identification of eight alkaloids including isocorydine (**1**),
23 rogersine (**2**), boldine (**3**), reticuline (**4**), coclaurine (**5**), laurotetanine (**6**), norisocorydine (**7**),
24 and N-methylcoclaurine (**8**) as well as a range of monoterpenes, among which *para*-cymene
25 (**9**), eucalyptol (**10**), and α -terpinene (**11**) were unambiguously confirmed by GC/MS analysis

1 of the same extract. The strong point of this approach relies on the possibility to work with the
2 NMR tool directly on complex samples, which can be very useful to recover information about
3 natural extract composition at a very early stage of the chemical profiling process. This can help
4 to design most reliable and appropriate experimental conditions for further metabolite
5 separation and to focus only on the relevant compounds depending on the target before
6 engaging in time-consuming multi-step purification procedures. The method can also be
7 considered as a rapid and highly complementary approach to mass spectrometry-based
8 analytical tools such as MS infusion, LC/MS or GC/MS from which only molecular formula
9 and fragmentation patterns are recovered. Additional work is currently taking place in our
10 laboratory to further improve this dereplication process using not only 1D ^{13}C NMR chemical
11 shifts data, but also 2D HSQC and HMBC NMR data from which ^1H - ^{13}C connectivity
12 information should greatly enhance the performance of the algorithm to identify more
13 constituents in natural extracts.

14

15 EXPERIMENTAL SECTION

16 **Chemicals, reagents, plant material.** Methyl *tert*-butyl ether (MtBE), methanol, ethyl acetate,
17 petroleum ether, chloroform, and acetonitrile (CH_3CN) were purchased from Carlo Erba
18 Reactifs SDS (Val de Reuil, France). Sodium hydroxide (NaOH), methane sulfonic acid (MSA)
19 and triethylamine (TEA) were purchased from PROLABO (Paris, France). Deuterated
20 methanol (methanol-*d*4) was obtained from Sigma-Aldrich (Saint-Quentin, France). Deionized
21 water was used to prepare all aqueous solutions.

22

23 **Sample preparation.** *Peumus boldus* dry leaves (500 g) purchased from Cailleau Herboristerie
24 (Chemillé-Melay, France) were ground into a fine powder and macerated for 24 hours in 10 L
25 of petroleum ether for delipidation. Then, the marcs were alkalized with 180 mL of NH_3

1 (15 % in water) and macerated in ethyl acetate (12 L) for 24 hours. After leaching, three liquid-
2 liquid extractions were successively performed with 2 L of H₂SO₄ (0.5 N) each time. The
3 recovered aqueous phases were pooled and the pH was increased up to 9 with NH₃ (15 % in
4 water). Three successive extractions were again performed with 2 L of chloroform each time.
5 The organic phases were pooled and washed with H₂O (2 L). Chloroform was removed under
6 vacuum to recover 3.5 g of a crude alkaloid extract.

7
8 **Database search algorithm.** The algorithm was implemented in the Python 2.7 programming
9 language. The open source cheminformatics package RDKit²⁸ was used to draw the molecular
10 structures and to read SDFfiles. A literature survey was performed in order to obtain the names
11 and the chemical structures of all the metabolites already described in the literature for the genus
12 *Peumus*. A total of 58 molecular structures and their predicted ¹³C NMR chemical shifts were
13 added to the spectral database (ACD/Labs, Ontario, Canada). Starting from the ¹³C NMR peak
14 list of the crude extract spectrum as input file, the principle of the algorithm is to perform a
15 search over a part of the spectral database containing the metabolites of the genus under
16 examination and to compare the ¹³C NMR chemical shifts of the crude extract spectrum to the
17 predicted chemical shifts of the database records. For each record, the algorithm constructs a
18 list of NMR signals from the crude extract spectrum that matches signals of the record under
19 examination (matching list). NMR signals are added to the matching list only if they satisfy two
20 main conditions:

- 21 • ¹³C NMR signals detected in the crude extract spectrum must have chemical shift values
22 equal to the database record chemical shifts within a user defined tolerance of typically
23 2 ppm (+/- 1 ppm). This tolerance value is used in order to avoid false negatives due to
24 variations between experimental and predicted chemical shifts.

- ¹³C NMR signals must have intensity values close to the mean intensity of all ¹³C NMR signals to be considered as belonging to the same molecular structure. All signals with an intensity greater or lower than the mean intensity of the matching list (¹³C NMR peak list) by more than a user defined tolerance, typically 2 times the standard deviation around the mean intensity, are discarded.

Each match between database records and the crude extract spectrum is evaluated using a score

value calculated as followed:
$$\text{Score} = \frac{\sum \text{matching signals from the database molecule}}{\text{total number of the database molecule signals}}$$

The score value is defined as the ratio between the numbers of chemical shifts of the crude extract that match a molecular structure of the database and the total number of carbon positions of this same record. Thus, the score values are comprised between 0 (no matching peaks between the crude extract spectrum and the database records) and 1 (a set of signals in the crude extract ¹³C NMR spectrum matches all predicted chemical shifts of a database record).

The algorithm returns a list of metabolites sorted in the order of decreasing score. We assume that the records with the highest scores have the highest probability to be present in the sample, however this assumption is accepted only if all NMR signals of the proposed record are found in the mixture spectrum. Therefore a second search is performed for the top ranked records using a larger error tolerance on the chemical shifts (+/- 1.5 ppm) in order to check the presence of potentially missing peaks. The workflow of the algorithm is illustrated in Figure 1.

Fractionation of the crude alkaloid extract by pH-zone refining Centrifugal Partition

Chromatography (CPC). The performance of the database search algorithm was evaluated by comparing the results to those obtained with a previously developed ¹³C NMR based dereplication method involving a fractionation step.¹⁸ The crude alkaloid extract of *Peumus boldus* leaves was fractionated by pH-zone refining²⁹ CPC on a laboratory-scale FCPE300® (Kromaton Technology, Angers, France) equipped with a rotor made of 7 circular partition

1 disks containing a total of 231 partition twin cells. The CPC column of 303.5 mL capacity was
2 connected to a KNAUER Preparative Pump 1800® V7115 (Berlin, Germany). Fractions of
3 20 mL were collected by a Pharmacia Superfrac collector (Uppsala, Sweden). A biphasic
4 solvent system (3 L) was prepared by mixing into a separatory funnel M_tBE, CH₃CN, and water
5 in the proportions 4/1/5 (v/v). After solvent system equilibration, the two liquid phases were
6 separated. Methane sulfonic acid (MSA) was used as retainer in the aqueous stationary phase
7 (4 mM, pH ≈ 2) and triethylamine (TEA) was used as displacer in the mobile organic phase (5
8 mM, pH ≈ 10). The column was filled at 200 rpm with the acid aqueous stationary phase and
9 the rotation speed was then adjusted at 1200 rpm. The crude alkaloid extract of the leaves of
10 *Peumus boldus* (2 g) was dissolved in a 30 mL mixture of aqueous phase/TEA-free organic
11 phase in the proportions 1/1 (v/v). The sample was adjusted to pH 2 with MSA before injection
12 to ensure alkaloid protonation. The sample solution was then loaded into the column through a
13 30 mL sample loop. The fractionation procedure was initiated by pumping progressively the
14 alkaline organic mobile phase in the ascending mode from 0 to 20 mL/min in 5 min. The flow
15 rate was then maintained at 20 mL/min for 90 minutes. The collected fractions (20 mL each)
16 were checked by thin-layer chromatography (TLC) on Merck 60 F254 pre-coated silica gel
17 plates and developed with chloroforme/methanol (93:7, v/v). Detection was performed under
18 UV light (254 and 366 nm) and by spraying with the Dragendorff reagent. Fractions were then
19 pooled on the basis of their TLC profile similarities, resulting in 11 pools noted from P_I to P_{XI}.

20

21 **NMR analyses and data processing.** All NMR analyses were performed using identical
22 acquisition and processing parameters. The crude alkaloid extract from *Peumus boldus* (15 mg)
23 and the fractions P_I to P_{XI} obtained by CPC were dissolved in 600 μL of DMSO-*d*₆. NMR
24 analyses were performed at 298 K on a Bruker Avance AVIII-600 spectrometer (Karlsruhe,
25 Germany) equipped with a cryoprobe optimized for ¹H detection and with cooled ¹H, ¹³C et ²D

1 coils and preamplifiers. ^{13}C NMR spectra were acquired at 150.91 MHz. A standard zgpg pulse
2 sequence was used with an acquisition time of 0.909 s and a relaxation delay of 3 s. For each
3 sample, 1024 scans were co-added to obtain a satisfactory signal-to-noise (S/N) ratio. The
4 spectral width was 238.9070 ppm and the receiver gain was set to the highest possible value. A
5 1 Hz line broadening filter was applied to each FID prior to Fourier transformation. Spectra
6 were manually phased and baseline corrected using the TopSpin 3.2 software (Bruker) and
7 calibrated on the central resonance (δ 47.60 ppm) of methanol-*d*₄. The S/N ratio of all spectra
8 was determined with the standard Bruker calculation method. Noise regions were selected from
9 205 to 225 ppm in all spectra and signal regions were selected differently for each spectrum as
10 the 10 ppm spectral width centered on the most intense signal (methanol-*d*₄ apart). A minimum
11 intensity threshold of 0.05 was then used to automatically collect all positive ^{13}C NMR signals
12 while avoiding potential noise artifacts. The peak list obtained from the crude extract analysis
13 was exported as a text file and used as input file of the database search algorithm. The 11 peak
14 lists obtained from the CPC fraction series were also exported as text files and processed exactly
15 as previously described ¹⁸.

16
17 **GC/MS analysis.** The crude alkaloid extract from *Peumus boldus* leaves was analyzed by
18 GC/MS. Chromatographic separation was carried out using a Trace 1300 gas chromatograph
19 (Thermo Scientific, Villebon sur Yvette, France) equipped with an AI 1310 injector. A TR-
20 5MS (Thermo Scientific) capillary column (30 m length, 0.25 mm internal diameter, and
21 0.25 μm film thickness) was installed in the GC oven. The injection volume was 1 μL . Initially
22 the temperature was set at 50 °C for 5 min followed by a 10 °C/min ramp to 300 °C. After 5 min
23 at 300 °C the temperature was increased up to 310 °C with a ramp of 30 °C/min and stayed for
24 2 min. The detector was an ISQ Single Quadrupole mass spectrometer (Thermo Scientific).
25 Helium was used as carrier gas at a flow rate of 1 mL/min. Data acquisition was performed

1 using the electron ionization (EI). The temperature of the transfer line and that of the ion source
2 were held at 250 °C and 230 °C, respectively. The mass range m/z 50-900 amu was scanned in
3 the full scan acquisition mode with a dwell time of 0.2 s. Data were processed using the
4 Xcalibur software. The oil components were identified by comparing the MS spectra with
5 Libraries of NIST MS Search 2.0 program.

6

7 **MS infusion analysis.** An aliquot of the crude alkaloid extract from *Peumus boldus* leaves was
8 solubilized in methanol and directly infused in a quadrupole time-of-flight hybrid mass
9 spectrometer (QTOF micro[®], Waters, Manchester, UK) equipped with an electrospray source.
10 The mass range of the instrument was set at m/z 100-1200 and scan duration was set at 1 s in
11 the positive ion mode. The capillary voltage was 3000 V, the cone voltage was 35 V, and the
12 temperature was 80 °C.

13

14 ASSOCIATED CONTENT

15 Supporting Information. Pseudocode of the database search algorithm

16

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20

21 NOTES

22 The authors declare no conflict of interest.

23

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6

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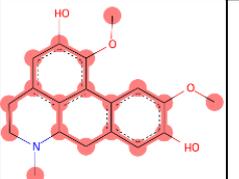
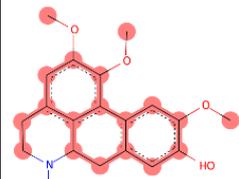
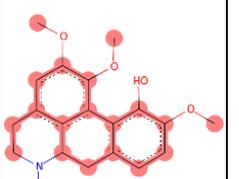
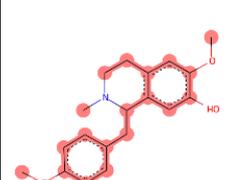
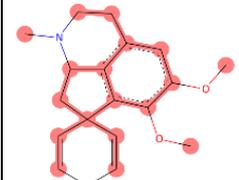
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6 TABLES

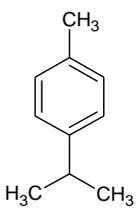
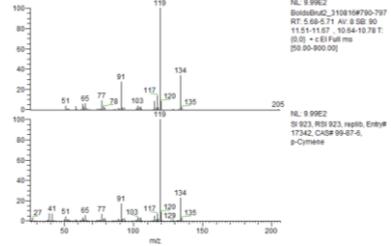
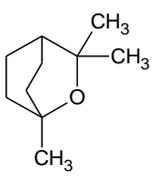
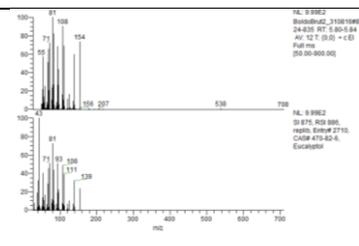
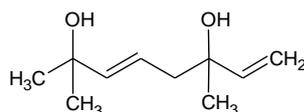
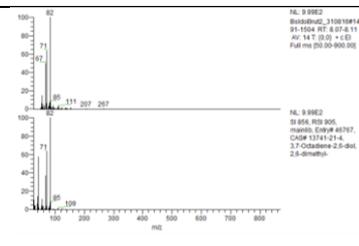
Table 1. Proposals (structures and scores) of the database search algorithm applied to a crude extract of *Peumus boldus* leaves. 33 molecules with a score higher than “0.9”, 28 molecules with a score of “1”. Highlighted carbons correspond to ^{13}C chemical shifts that match peaks from the crude extract spectrum.

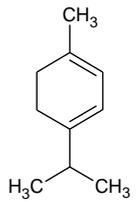
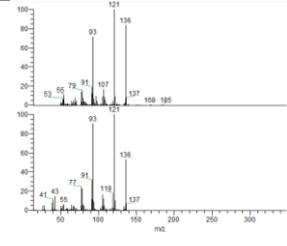
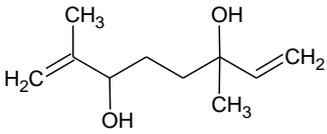
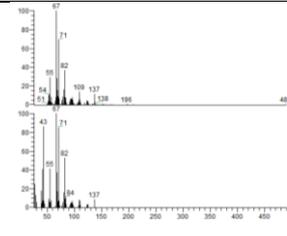
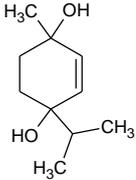
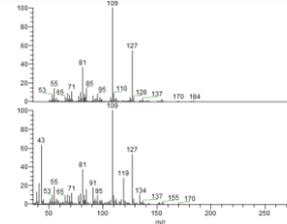
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Norisocorydine Score = 1		Boldine Score = 1		Laurotetanine Score = 1	
Rogersine Score = 1		Isocorydine Score = 1		N-methylcoclaurine Score = 1	
Reticuline Score = 1		Coclaurine Score = 1		Pronuciferine Score = 0.947	

Terpinen-4-ol <i>Score = 1</i>		p-cimene <i>Score = 1</i>		α-thujene <i>Score = 1</i>	
α-terpinol <i>Score = 1</i>		α-terpinene <i>Score = 1</i>		Ascaridole <i>Score = 1</i>	
β-elemene <i>Score = 1</i>		Thymol <i>Score = 1</i>		trans-p-menth-2-en-ol <i>Score = 1</i>	
α-pinene <i>Score = 1</i>		Dehydro-1,8-cineole <i>Score = 1</i>		Eucalyptol <i>Score = 1</i>	
2-carene <i>Score = 1</i>		β-Pinene <i>Score = 1</i>		3-carene <i>Score = 1</i>	
Sabinene hydrate <i>Score = 1</i>		Phellandrene <i>Score = 1</i>		α-copaene <i>Score = 0.933</i>	
Linalol <i>Score = 1</i>		Caryophyllene <i>Score = 1</i>		β-caryophyllene oxide <i>Score = 1</i>	
β-oploplene <i>Score = 0.928</i>		δ-cadinene <i>Score = 0.928</i>		Bornyl acetate <i>Score = 0.916</i>	

Score =					
0.933					

1 **Table 2.**

Retention time (min)	Identification (Molecular formula)	MS spectra
3.2	Residual DMSO	
5.7	<i>p</i> -cymene (C ₁₀ H ₁₄) 	
5.82	Eucalyptol (C ₁₀ H ₁₈ O) 	
8.1	3,7-octadiene-2,6-diol,2,6-dimethyl (C ₁₀ H ₁₈ O ₂) 	

9.0	<p>α-terpinene ($C_{10}H_{16}$)</p> 	 <p> <small> NL: 9.99E2 Bin: 0.0001 0-1770 RT: 8.98-9.02 Acq: 11.1.000: +1.00 Full ms (50.00-900.00) </small> </p> <p> <small> NL: 9.99E2 0-1770 RT: 8.98-9.02 Entry: 1771, C049 99-99-5 1,3-Cyclohexadiene, 1-methyl-4-(1- methylethyl)- </small> </p>
9.3	<p>1,7-octadiene-3,6-diol-2,6-dimethyl ($C_{10}H_{18}O_2$)</p> 	 <p> <small> NL: 9.99E2 Bin: 0.0001 0-1770 RT: 9.31-9.33 Acq: 11.1.000: +1.00 Full ms (50.00-900.00) </small> </p> <p> <small> NL: 9.99E2 0-1770 RT: 9.31-9.33 Name: Entry# 29851, C049 91276-334, 1,7-Octadiene-3,6-diol, 2,6-dimethyl- </small> </p>
9.4	<p>1,4-dihydroxy-<i>p</i>-menth-2-ene</p> <p>$C_{10}H_{18}O_2$</p> 	 <p> <small> NL: 9.99E2 Bin: 0.0001 0-1770 RT: 9.45-9.50 Acq: 14.7. (0.0) - +0.0 Full ms 50.00-900.00) </small> </p> <p> <small> NL: 9.99E2 0-1770 RT: 9.45-9.50 Name: Entry# 77206, C049 F04 1,4-dihydroxy-<i>p</i>-menth- 2-ene </small> </p>
9.7	$C_{10}H_{18}O_2$	<i>not identified</i>
9.8	$C_{10}H_{18}O_2$	<i>not identified</i>
10.1	$C_{10}H_{18}O_2$	<i>not identified</i>
10.6	$C_{10}H_{18}O_2$	<i>not identified</i>
11.0	$C_{10}H_{16}O$	<i>not identified</i>
11.2	$C_{10}H_{18}O_2$	<i>not identified</i>
11.3	$C_{10}H_{16}O_2$	<i>not identified</i>
13.1	$C_{10}H_{18}O_2$	<i>not identified</i>

1 FIGURES

Figure 1. ^{13}C NMR spectrum of the crude *Peumus boldus* extract with the two major alkaloids norisocorydine and rogersine annotated.

Figure 2. Workflow of the database search algorithm. (1) Chemical shift prediction for known metabolites for the studied genus and creation of the database. (2) ^{13}C NMR analysis of the crude extract and automatic peak picking. (3) Search algorithm: comparison of chemical shifts values of database records to those of the crude extract spectrum and prioritization of a list of putative molecules present within the crude extract. (**) Results confirmed by experimental analysis.

2 **Figure 3.** MS spectrum of the crude extract of *Peumus boldus* leaves (MS infusion, positive
3 ionization mode). A) m/z 286.1 coclaurine $\text{C}_{17}\text{H}_{19}\text{NO}_3$; B) m/z 300.1 N-methylcoclaurine
4 $\text{C}_{18}\text{H}_{21}\text{NO}_3$; C) m/z 311.1 not identified; D) m/z 328.1 norisocorydine OR boldine OR
5 laurotetanine $\text{C}_{19}\text{H}_{21}\text{NO}_4$; E) m/z 330.1 reticuline $\text{C}_{19}\text{H}_{23}\text{NO}_4$; F) m/z 342.1 isocorydine OR
6 rogersine $\text{C}_{20}\text{H}_{23}\text{NO}_4$.

7 **Figure 4.** ^{13}C NMR chemical shift clusters obtained by applying HCA on CPC fractions of
8 *Peumus boldus*.

9 **Figure 5.** Comparison between the ^{13}C NMR profiles of the crude extract of *Peumus boldus*
10 leaves and that of the CPC fractions (from P₁ to P_{XI}). S/N: signal-to-noise ratio

11 **Figure 6.** GC/MS chromatogram obtained from the analysis of the crude extract of *Peumus*
12 *boldus* leaves.

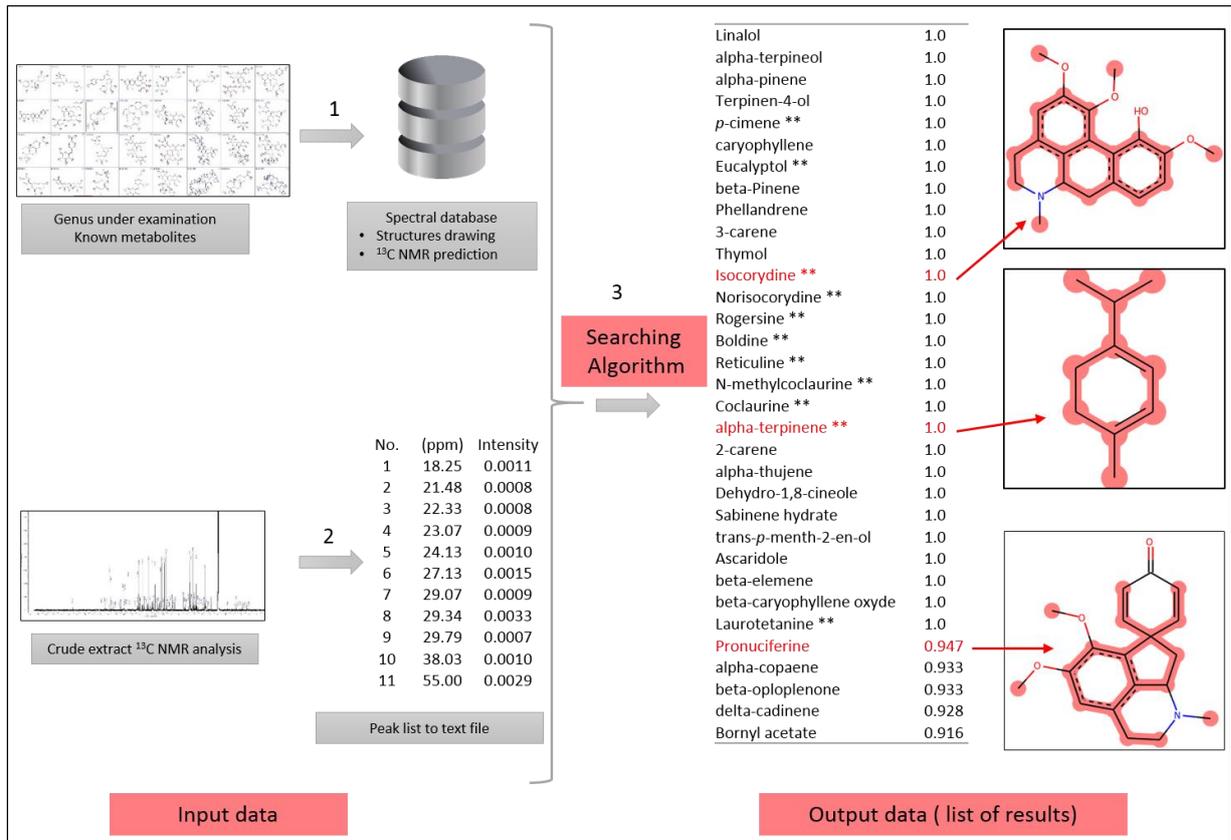
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1 **Figure 2.**



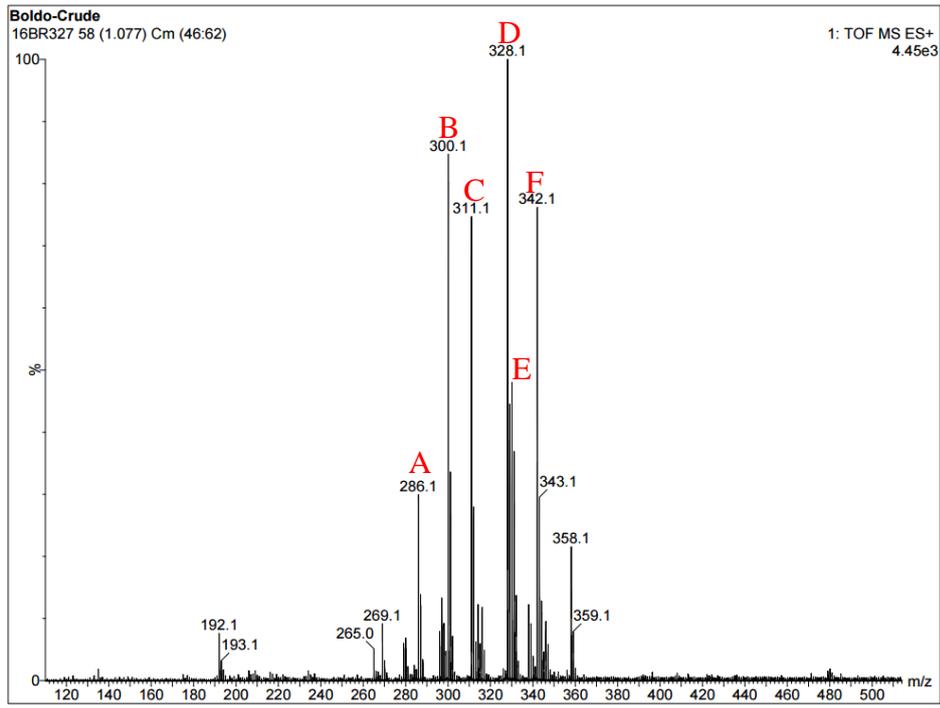
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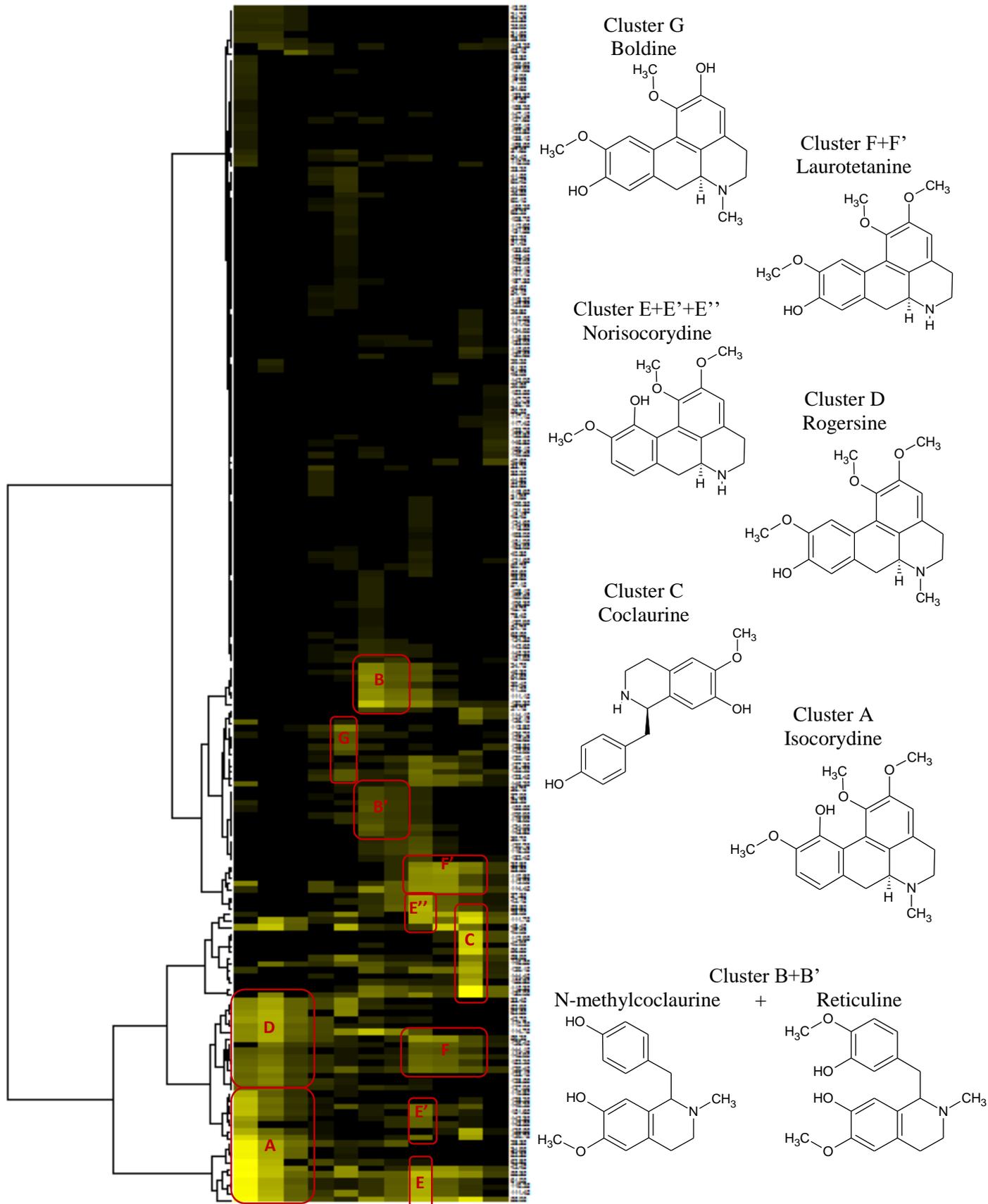
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1 **Figure 3.**

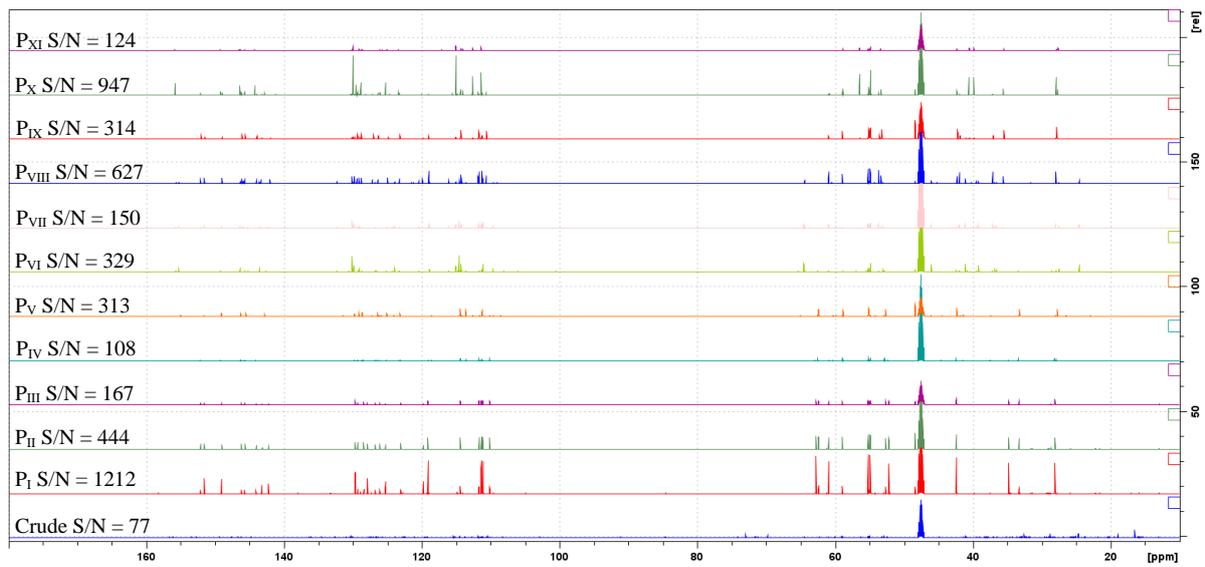


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1 **Figure 4.**



1 **Figure 5.**



2
3

1 **Figure 6.**

RT: 0.21 - 30.00

NL:
8.16E7
TIC MS
BoldoBrut2
_310816

