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1 **First plasma and tissue pharmacokinetic study of the YSNSG**  
2 **cyclopeptide, a new integrin antagonist, using microdialysis**

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4 Florian Slimano<sup>a,b,\*</sup>, Zoubir Djerada<sup>c</sup>, Salim Bouchene<sup>c</sup>, Laurence Van Gulicka<sup>a</sup>, Sylvie Brassart-  
5 Pasco<sup>a</sup>, Sylvain Dukic<sup>a,b</sup>

6  
7 <sup>a</sup>MEDyC Research Unit, UMR CNRS/URCA n°7369, SFR CAP-Santé, Reims University; Faculty of  
8 Pharmacy, 51, rue Cognacq-Jay; 51100 Reims

9 <sup>b</sup>Department of Pharmacy, University Hospital Reims, Avenue du General Koenig, 51100 Reims

10 <sup>c</sup>Department of Pharmacology and Toxicology, EA3801, SFR CAP-Santé, University Hospital Reims;  
11 Faculty of Medicine, 51, rue Cognacq-Jay; 51100 Reims

12  
13 \* *Corresponding author:*

14 Florian Slimano  
15 Faculty of Pharmacy  
16 Reims University  
17 51, rue Cognacq-Jay, 51100 Reims  
18 [florian.slimano@univ-reims.fr](mailto:florian.slimano@univ-reims.fr)  
19 Tel: (+33)3.26.91.80.81  
20  
21  
22

## 23 Abstract

24 The YSNSG peptide is a synthetic peptide targeting  $\alpha_v\beta_3$  integrin. This peptide exhibits  
25 promising activity *in vitro* and *in vivo* against melanoma. To determine pharmacokinetic  
26 parameters and predictive active doses in the central nervous system (CNS) and subcutaneous  
27 tissue (SC), we conducted microdialysis coupled with pharmacokinetic modeling and Monte  
28 Carlo simulation. After a recovery period of surgical procedures, a microdialysis probe was  
29 inserted in the caudate and in subcutaneous tissue. Plasma samples and dialysates collected 5  
30 hours after YSNSG intravenous administration (10 mg/kg) were analyzed by UPLC-MS/MS.  
31 A nonlinear mixed-effect modeling approach implemented in Monolix<sup>®</sup> 2016R1 was  
32 performed. Model selection and evaluation were based on the usual diagnostic plot, precision  
33 and information criteria. The primary plasma and tissue pharmacokinetic parameters were  
34 comparable with those of other integrin antagonists, such as cilengitide or ATN-161.  
35 Tissue/plasma and brain/plasma area under the curve (AUC) ratio were  $66.2\pm 21.6\%$  and  
36  $3.6\pm 4.7\%$ , respectively. Two models of 2-compartment with an additional microdialysis  
37 compartment, parameterized as rate constants ( $k$  for elimination,  $k_{12}/k_{21}$  and  $k_{13}/k_{31}$  for  
38 distribution) and volumes (central  $V_1$  and peripheral microdialysis compartment  $V_3$ ) with  
39 zero-order input were selected to describe the dialysate concentrations in CNS and SC. The  
40 inter-individual variability (IIV) was described by exponential terms, and residual variability  
41 was described by a combined additive and proportional error model. Individual AUC (plasma  
42 and tissues) values were derived for each animal using the Empirical-Bayes-Estimates of the  
43 individual parameters. The regimens needed to achieve an *in vitro* predetermined target  
44 concentration in tissues were studied by Monte Carlo simulations using Monolix<sup>®</sup> 2016R1.  
45 YSNSG pharmacokinetic parameters show promising results in terms of subcutaneous  
46 disposition. Further investigations into such processes as encapsulation and intratumoral  
47 disposition are currently being conducted.

## 1. Introduction

49 Currently, studies on the structure of the extracellular matrix (ECM) have been demonstrated  
50 to be fundamentally important to understand carcinogenesis mechanisms. Interactions  
51 between tumor cells and the endothelial wall via the ECM are dependent on cell adhesion  
52 molecules (CAM) (Hynes, 2002). Among these, integrins, heterodimeric transmembrane  
53 glycoproteins, play a fundamental role as cellular receptors in the carcinogenesis process  
54 (Francavilla et al., 2009; Shattil et al., 2010). Although many subfamilies of integrins exist  
55 with different subunits, the "alpha v beta 3" ( $\alpha_v\beta_3$ ) vascular integrin was reported to play an  
56 important role in cancer development, including angiogenesis and tumor growth (Brooks et  
57 al., 1994; Ley et al., 2016; Soldi et al., 1999). As such, deregulation of its expression and  
58 activity has been linked to cancer progression similar to that in melanoma (Danen et al., 1995;  
59 Edward, 1995; Kuphal et al., 2005). Researchers have found that a motif of only three amino  
60 acids (Arginine-Glycine-Aspartate, "RGD") can bind strongly to  $\alpha_v\beta_3$  (Felding-Habermann  
61 and Cheresch, 1993; Pierschbacher and Ruoslahti, 1984), although the non-RGD motif can  
62 also interact with this integrin (Ley et al., 2016). Cilengitide, a cyclic pentapeptide with an  
63 RGD motif, shows promising outcomes in glioblastoma despite its failure to improve overall  
64 survival in a phase 3 trial (Stupp et al., 2014). However, cilengitide is still being studied in  
65 metastatic melanoma, recurrent or metastatic head and neck tumors and non-small cell lung  
66 cancer clinical trials with uncertain results (Kim et al., 2012; Vansteenkiste et al., 2015;  
67 Vermorken et al., 2014). By contrast, ATN-161, a linear non-RGD-based peptide motif that  
68 binds  $\alpha_5\beta_1$  and  $\alpha_v\beta_3$  integrins has shown promising activity *in vivo* by inhibiting tumor growth  
69 and metastasis in preclinical and phase I clinical studies (Cianfrocca et al., 2006; Khalili et al.,  
70 2006; Livant et al., 2000; Stoeltzing et al., 2003). Our research group isolated a (linear)  
71 original peptide from collagen IV and showed anticancer activity in melanoma models both in

72 vitro and in vivo, especially in terms of tumor growth inhibition (Thevenard et al., 2006).  
73 Other similar studies based on human gastric carcinoma and human glioma cell lines have  
74 shown similar in vitro and in vivo results in terms of tumor growth inhibition, apoptosis  
75 induction, increased expression of Fas, FasL, caspase-3 and decreased expression of Vascular  
76 Endothelial Growth Factor (VEGF), basic Fibroblast Growth Factor (bFGF) and  
77 antiangiogenesis (He et al., 2010; Li et al., 2009; Ye et al., 2013). After reduction to an active  
78 sequence of four amino acids and cyclization with glycine [Tyr-Ser-Asn-Ser-Gly], the peptide  
79 [YSNSG] showed significantly inhibition of tumor growth in vivo after intraperitoneal  
80 administration in mice with a melanoma tumor (Thevenard et al., 2006). This synthetic  
81 cyclopeptide also exhibits anti-angiogenic activity as reflected by a reduced number of  
82 intratumoral microvessels (Thevenard et al., 2010).

83 No pharmacokinetic study has been performed to date. In this work, we investigated the first  
84 pharmacokinetic study of the main plasmatic pharmacokinetic parameters. In coherence with  
85 the anticancer potential of YSNSG in melanoma models, we also investigated the disposition  
86 of YSNSG in two tissues: subcutaneous tissue, which corresponds to the primary site of  
87 cutaneous melanoma, and cerebral tissue, which corresponds to the frequent metastatic  
88 localization of melanoma. Thereafter, we utilized a pharmacokinetic modeling approach and  
89 Monte Carlo simulation to predict and validate a pharmacokinetic model. From this model,  
90 we performed certain simulations of doses and constant rate of infusion to achieve  
91 pharmacologically active concentrations.

## 92 **2. Materials and Methods**

### 93 **2.1. Chemicals**

94 YSNSG (powder) was obtained from Ansynth (Le Roosendaal, the Netherlands). Isoflurane  
95 (ISOFLOR<sup>®</sup>) was from Centravet (Nancy, France). Sodium chloride, potassium chloride,

96 magnesium sulfate, sodium bicarbonate, glucose, sucrose, and calcium chloride were from  
97 Cooper (Melun, France). Saline solution (0.9%) VERSOL<sup>®</sup> was from Aguetant (Lyon,  
98 France). For brain microdialysis, artificial cerebrospinal fluid (aCSF) was prepared containing  
99 the following as previously described (Cold Spring Harbor Protocols, 2007): 124 mM NaCl,  
100 2.5 mM KCl, 2 mM MgSO<sub>4</sub>, 1.25 mM K<sub>2</sub>HPO<sub>4</sub>, 26 mM NaHCO<sub>3</sub>, 10 mM C<sub>6</sub>H<sub>12</sub>O<sub>6</sub>, 4 mM  
101 C<sub>12</sub>H<sub>22</sub>O<sub>11</sub>, 2.5 mM CaCl<sub>2</sub>, adjusted to pH 7.4.

## 102 **2.2. Animals**

103 The study protocol was approved by the Ethics Committee of Animal Experimentation of  
104 Reims University (the *comité d'éthique en expérimentation animale de Reims Champagne-*  
105 *Ardenne*; C2EA-56). Male Wistar rats (225-250 g in weight; Charles River, l'Arbesle, France)  
106 were penned in a controlled environment (temperature: 21 ± 2 °C; relative humidity:  
107 65 ± 15%; alternating natural light/dark cycles). The animals were fed a standardized diet  
108 (UAR, Villedieu sur Vilaine on barley, France), and tap water was provided *ad libitum*.

## 109 **2.3. Surgery**

110 Twenty-four healthy adult male Wistar rats were prepared seven days before pharmacokinetic  
111 experimentation. Surgery preparation involved the implantation of a microdialysis guide  
112 (CMA/11; Phymep, Paris, France) into the brain. Implantation was performed under  
113 anesthesia (isoflurane 3-5%) with an evaporator (Isotec 4; Ohmeda, Maurepas, France). The  
114 animal's head was then fixed to a stereotaxic apparatus (Model Stoelting 51600; Phymep,  
115 Paris, France), and a drilled hole was made in the skull bone to the right caudate nucleus  
116 (1 mm anterior, 4 mm lateral, 4 mm ventral, relative to the Bregma in the skull flat block).  
117 The microdialysis guides were secured to the skull with 3 anchor screws (Carnégie; Phymep,  
118 Paris, France) and dental cement (Autenal Dental, Harrow, England). Overall, the skull was  
119 drilled 4 times with a dental drill (Anthogyr, Sallanches, France). Between the surgery and

120 experiments, the animals were kept individually in cages for 7 days to recover from the  
121 surgical procedures.

## 122 **2.4 YSNSG dilution and administration**

123 YSNSG reconstitution for intravenous administration was performed by diluting in saline to  
124 obtain a concentration of approximately 2 mg/mL (depending on the dose for 10 mg/kg).  
125 YSNSG was administered via the penis vein at 1 mL/min.

## 126 **2.5. Pharmacokinetic experimentation**

127 All animals (n = 24), first anesthetized with isoflurane (1.5-5%), underwent the intravenous  
128 administration (i.v.) of YSNSG at 10 mg/kg. Anesthesia was administered for 300 min after  
129 the installation of a tracheal cannula (Harvard Apparatus, Les Ulis, France) connected to a  
130 respirator (Small Animal Ventilator, Harvard) during which the rat was kept at 37 °C with a  
131 heater bulb and a heating mat. The temperature was monitored through a rectal thermometer  
132 (Harvard Apparatus, Les Ulis, France), and the breathing frequency was adapted to each  
133 animal depending on its weight with an abacus (80/min), and the current volume delivered to  
134 the animal was continuously monitored by measuring tele expiratory CO<sub>2</sub> using a CO<sub>2</sub>  
135 analyzer (Engström eliza, Paris, France). The values were kept between 4.2 and 4.7%. An  
136 adapted microdialysis probe (CMA/11) was placed in the brain, and then a second one was  
137 placed subcutaneously (CMA/20) on the posterior surface on the left thigh with a 30-minute  
138 waiting period before administration. The probes were connected to a pump infusing artificial  
139 CSF and physiological saline to the brain and skin (perfusate), respectively, with a throughput  
140 of 1 µL/min. Blood samples were taken at the following time intervals: 2.5, 5, 10, 20, 40, 60,  
141 90, 120, 180, 240, and 300 minutes. They were then temporarily stored in heparin (0.2 IU)-  
142 coated Eppendorf cups before being centrifuged for 10 min at 4000 rpm. The plasma was then  
143 pipetted into clean Eppendorf cups and was stored at -20 °C for subsequent analysis.

144 Dialysates were collected (every 30 min) in vial cups (100  $\mu$ L) using a refrigerated fraction  
145 collector (820 Microsampler, UNIVENTOR) and were stored at  $-20^{\circ}\text{C}$  for subsequent  
146 analysis. At the end of the experiments, the animals were sacrificed.

## 147 **2.6. Recovery of microdialysis probes**

148 *In vivo* microdialysis probe recoveries of YSNSG were determined based on reverse dialysis  
149 (Stähle et al., 1991). The same surgery was performed on four Wistar rats. Seven days after  
150 the establishment of a microdialysis cannula, the animals were anesthetized with isoflurane. A  
151 microdialysis probe implanted in the brain parenchyma or subcutaneously was infused at a  
152 rate of  $1\ \mu\text{L}/\text{min}$  with a solution containing YSNSG (at a controlled concentration of  
153  $500\ \text{ng}/\text{mL}$  in CSF or normal saline, respectively). Thirty-minute-interval sample dialysates  
154 were collected between 30 and 300 min. *In vivo* recovery is defined as the ratio of the  
155 concentration difference between the dialysate ( $C_{\text{out}}$ ) and perfusion fluid ( $C_{\text{in}}$ ) over the  
156 concentration in the perfusion fluid (Eq. (1)) (Scheller and Kolb, 1991).

$$157 \text{ *In vivo* recovery} = \frac{C_{\text{in}} - C_{\text{out}}}{C_{\text{in}}} \quad (1)$$

## 158 **2.8. Plasma protein binding**

159 To determine the plasma protein binding (PPB) of YSNSG, plasma samples at different time  
160 points were pooled to span the entire concentration range. Plasma protein binding was  
161 determined using the Centrifree ultrafiltration device with a YM-T Ultracel<sup>®</sup> membrane  
162 (Dutscher SA, Brumath, France). All procedures were performed according to the user's  
163 manual. The ultrafiltrate was diluted 10 times with saline before the analysis.

## 164 **2.9. Sample pre-treatment and analysis**

165 YSNSG concentrations were determined by UPLC-MS/MS (Djerada et al., 2013) after a  
166 1/1000 dilution (with water + 0.1 % (V/V) formic acid) of plasma or microdialysates. All  
167 compounds (YSNSG and MRFA as the internal standard) were eluted within a 3.5-min run  
168 time using a programmed mobile-phase gradient of water/0.1 % (V/V) formic acid and  
169 acetonitrile/0.1 % (V/V) at a flow rate of 0.8 mL/min. Chromatographic separation was  
170 achieved using a Waters Acquity HSS T3 (2.1 × 50 mm) UPLC column (Waters Corp.,  
171 Milford, MA, USA), maintained at 50 °C. Mass spectrometry detection was performed using  
172 a Xevo TQ mass spectrometer (Waters Corp., Milford, MA, USA) after electro-spray  
173 ionization in the positive ion mode with the following parameters: capillary voltage of 1.0 kV,  
174 desolvation temperature at 450 °C, gas flow desolvation at 850 L/h and gas flow cone at  
175 50 L/h. Dry nitrogen (≥99.9%) was used as the desolvation and nebulization gas, and argon  
176 (>99.999%) was used as the collision gas (Air Liquid®, Paris, France). The molecules were  
177 used as parent ions for the MS/MS experiment, and the suitable product ions (daughters) were  
178 selected: YSNSG 509.30 → 136.10 with a cone voltage = 30 V and energy collision = 30 eV;  
179 MRFA 524.40 → 104.10 with a cone voltage = 50 V and collision energy = 30 eV. The  
180 system control and data acquisition were performed using MassLynx® software (version 4.1;  
181 Waters Corp., Milford, MA, USA). The lower limit of quantification for YSNSG was fixed to  
182 1 ng/mL with a coefficient of variation below 10%. The intra-assay precision and accuracy  
183 averaged 5.0% and 5.0%, respectively. The inter-assay precision and accuracy averaged  
184 11.0% and 11.0 %, respectively, which is in line with FDA analytical recommendations.

## 185 **2.10. Pharmacokinetic analysis**

### 186 **2.10.1 Non-Compartmental analysis**

187 For the non-compartmental analysis, the pharmacokinetic parameters of YSNSG were  
188 determined for each animal by linear and nonlinear regression, considering the profiles of

189 peptide concentrations in plasma using Prism<sup>®</sup> software (version 6.0; GraphPad Software, San  
190 Diego, California, USA) and MicroPharm-K (MicroPharm<sup>®</sup>, West Wales, UK). The choice of  
191 model describing the evolution of the concentration profiles of YSNSG was based on  
192 comparing the values of the Akaike information criterion (AIC). The values of the  
193 concentrations determined in the extracellular fluid peptide were corrected by returns or  
194 performance *in vivo*. The median value of each interval was selected as the sampling time for  
195 each concentration measured. The exposure of the caudate nucleus to YSNSG was  
196 determined by the ratio of the areas under the curve in the extracellular fluid (ECF) in the  
197 brain and plasma ( $AUC_{ECF} / AUC_{plasma}$ ). This ratio estimated the rate of passage through the  
198 area of interest of YSNSG.

### 199 **2.10.2 Population Pharmacokinetic Modeling**

200 A population approach, with the nonlinear mixed-effect modeling implemented in Monolix  
201 (version 2016R1), was used to study the pharmacokinetic profile of YSNSG. The parameters  
202 were estimated by computing the maximum likelihood estimator without any approximation  
203 of the model, using the stochastic approximation expectation maximization (SAEM)  
204 algorithm combined with an MCMC (Markov Chain Monte Carlo: 5 for the number of chain)  
205 procedure (Djerada et al., 2014). All runs were carried out more than six times to ensure that  
206 the estimated parameters and likelihood remained stable. Using the MLXTRAN language  
207 included in Monolix (version 2016R1), one, two and three mammillary compartment models,  
208 with zero- or first-order input, a lag time, and first-order elimination were tested to describe  
209 the observed YSNSG concentrations in plasma and tissues (CNS and SC). Separate structural  
210 models of YSNSG concentrations were described using compartmental pharmacokinetic  
211 modeling (Fig. 1). All individual parameters were defined as log-normally distributed. Several  
212 error models (constant, proportional, additive or mixed, exponential and logit error model)  
213 were studied to describe the residual variability ( $\epsilon$ ). The between-subject variability (BSV) of

214 the pharmacokinetic parameters was described using an exponential model as follows:  $\theta_i =$   
215  $\theta_{TV} \times \exp(\eta_i)$ , where  $\theta_i$  is the estimated individual parameter,  $\theta_{TV}$  the typical value of the  
216 parameter and  $\eta_i$  the random effect for the  $i$ th animal. The values of  $\eta_i$  were assumed to be  
217 normally distributed, with mean 0 and variance ( $\omega^2$ ), which were parameterized as a diagonal  
218 matrix. The model best describing individual data was evaluated based on the usual diagnostic  
219 plot, precision and information criteria. The likelihood ratio test (LRT), including the  $-2 \log$ -  
220 likelihood, AIC and Bayesian information criterion (BIC), was used to test different  
221 hypotheses regarding the final model: residual variability model (proportional versus  
222 proportional plus additive error model) and the structure of the variance-covariance matrix for  
223 the interindividual variability parameters. In addition, eta ( $\eta$ ) shrinkage was quantified as  
224 recently described (Lavielle and Ribba, 2016).

225 To evaluate the accuracy and robustness of the model appropriateness across time, prediction-  
226 corrected visual checks with 1000 simulated data sets were used. The observed concentrations  
227 were overlaid on the prediction intervals and compared visually. The normal distribution of  
228 normalized prediction distribution errors (NPDE) metrics was tested. As for NPDE,  
229 population or individual weighted residuals (PWRES or IWRES) vs. time and PWRES or  
230 IWRES vs. predictions should be centered on zero, without systematic bias. Individual  
231 pharmacokinetic parameters were derived for each animal using the Empirical-Bayes-  
232 Estimates (EBE) of the individual parameters determined by the final model.

### 233 **3. Results**

234 All results are presented as average values  $\pm$  standard error of the mean, unless stated  
235 otherwise.

#### 236 **3.1. In vivo recovery and plasma protein binding**

237 The performance rates of the microdialysis probes of YSNSG in rats (n=3) using the reverse  
238 dialysis method were  $36.18 \pm 4.9\%$  and  $53.4 \pm 9.15\%$  in the brain and subdermal tissue,  
239 respectively. Both values were used to determine the true values of the tissue concentrations  
240 of YSNSG. All values shown in the *Results* were corrected. Plasma protein binding (PPB)  
241 was determined at  $5.4 \pm 2.5\%$  and, similarly, plasma values were corrected accordingly.

### 242 **3.2 YSNSG pharmacokinetic with non-compartmental analysis**

243 The main plasma and tissue pharmacokinetic parameters determined using the non-  
244 compartmental approach are shown in Table 1. The concentration-time profile of YSNSG in  
245 plasma showed a bicompartimental decrease with a volume of distribution of  
246  $433.7 \pm 272.2$  mL, an elimination half-life of  $2.56 \pm 1.52$  h and a total clearance of  
247  $10.7 \pm 6.3$  mL/min/kg. The area under the curve (AUC) was  $1473.19 \pm 1054.99$   $\mu\text{g}\cdot\text{min}/\text{mL}$ .  
248 The evolution of subcutaneous pharmacokinetic parameters showed a  $T_{\text{max}}$  between 0 and  
249 30 min with a  $C_{\text{max}}$  of  $8.57 \pm 2.13$   $\mu\text{g}/\text{mL}$ . The  $\text{AUC}_{\text{ECF}(\text{SC})}/\text{AUC}_{\text{plasma}}$  ratio was  $66.2 \pm 21.6\%$ .  
250 The evolution of the cerebral concentration showed a  $T_{\text{max}}$  between 60 and 90 min with a  $C_{\text{max}}$   
251 of  $183 \pm 200.4$  ng/mL. The  $\text{AUC}_{\text{ECF}(\text{CB})}/\text{AUC}_{\text{Plasma}}$  ratio was  $3.6 \pm 4.7\%$ .

### 252 **3.3. YSNSG pharmacokinetic models**

253 Two compartments with an additional microdialysis compartment, parameterized at constant  
254 rates (elimination k and distribution  $k_{12}/k_{21}$ ,  $k_{13}/k_{31}$ ) and volumes (central  $V_1$  and peripheral  
255 microdialysis compartment  $V_3$ ), with zero-order input, was selected to describe the dialysate  
256 concentrations in CNS and SC. The between-subject variability (BSV) was described by  
257 exponential terms, and the residual variability was described by a combined additive and  
258 proportional error model. Table 2 summarizes the results of the two pharmacokinetic models  
259 (plasma & SC, plasma & CNS). For the plasma and SC models, the coefficients of correlation  
260 between the observed and predicted concentrations (derived from EBE) of YSNSG in the

261 plasma model were  $r = 0.986$  ( $p < 0.0001$ , Spearman test, Fig. 2A) and  $r = 0.894$  ( $p < 0.0001$ ,  
262 Spearman test, Fig. 2B respectively). For the plasma and CNS models, the coefficients of  
263 correlation between the observed and predicted concentrations (derived from EBE) of  
264 YSNSG in plasma model were  $r = 0.974$  ( $p < 0.0001$ , Spearman test, Fig. 3A) and  $r = 0.711$   
265 ( $p < 0.0001$ , Spearman test, Fig. 3B). All of the parameters were reasonably estimated given  
266 their relative standard error (RSE%) and shrinkage values. The highest shrinkage value was  
267 for V2 (63% and 62% for subcutaneous and cerebral tissue, respectively), all other shrinkage  
268 values are within 26%.

269

#### 270 **3.4. Evaluation and validation of pharmacokinetic models**

271 The mean and median of the normalized prediction distribution error (NPDE) metrics for  
272 YSNSG in the plasma of the plasma and SC models (Fig. 4A to 4D) were not significantly  
273 different from 0 ( $p = 0.35$ , one sample t test;  $p = 0.10$ , Wilcoxon signed-rank test), and their  
274 distributions were not different from a normal distribution ( $p = 0.066$ , Kolmogorov-Smirnov  
275 test of normality). The mean and median of the normalized prediction distribution error  
276 (NPDE) metrics for YSNSG in the SC of plasma and SC model (Fig. 4E to 4H) were not  
277 significantly different from 0 ( $p = 0.36$ , One sample t test;  $p = 0.09$ , Wilcoxon signed-rank  
278 test), and their distributions were not different from a normal distribution ( $p = 0.067$ ,  
279 Kolmogorov-Smirnov test of normality). The mean and median of the normalized prediction  
280 distribution error (NPDE) metrics for YSNSG in the plasma of the plasma and CNS model  
281 (Fig. 5A to 5D) were not significantly different from 0 ( $p = 0.46$ , one sample t test;  $p = 0.16$ ,  
282 Wilcoxon signed-rank test), and their distributions were not different from a normal  
283 distribution ( $p = 0.09$ , Kolmogorov-Smirnov test of normality). The mean and median of the  
284 normalized prediction distribution error (NPDE) metrics for YSNSG in the CNS of the

285 plasma and CNS models (Fig. 5E to 5H) were not significantly different from 0 ( $p = 0.30$ ,  
286 One-sample t test;  $p = 0.08$ , Wilcoxon signed-rank test), and their distributions were not  
287 different from a normal distribution ( $p = 0.07$ , Kolmogorov-Smirnov test of normality).

288 As shown in Fig. 4 and Fig. 5, the IWRES vs. time and IWRES vs. predictions were centered  
289 around zero, without systematic bias, and most values were within  $\pm 2$  standard deviations  
290 (SDs) (about the 5<sup>th</sup> and 95<sup>th</sup> percentiles of a normal distribution). The prediction-corrected  
291 visual predictive check for YSNSG (plasma or SC concentration of plasma and SC model;  
292 plasma or CNS concentration of plasma and CNS model) showed that the 5<sup>th</sup>, 50<sup>th</sup> and 95<sup>th</sup>  
293 percentiles of the observed data were within the 90 % confidence interval of the 5<sup>th</sup>, 50<sup>th</sup> and  
294 95<sup>th</sup> simulated percentiles, respectively (Fig. 2C and 2D; Fig. 3C and 3D). The observations  
295 were contained within the prediction intervals, and the models appeared appropriate to  
296 describe the observed data. Considering these above evaluations, the model performances  
297 appeared acceptable and could be used in the pharmacokinetic simulations.

### 298 **3.5. Simulations based on the pharmacokinetic model**

299 During preclinical *in vitro* studies, YSNSG was reported to inhibit UALL-903 melanoma cell  
300 proliferation by 29 % and 40 %, respectively, for 5.000 and 10.000 ng/mL ( $p < 0.01$  versus  
301 control) (Thevenard et al., 2006). Based on these data and our validated pharmacokinetic  
302 models, we carried out predictions for both active concentrations of YSNSG (Fig. 6). For a  
303 constant-rate, continuous infusion of both peptide concentrations, four infusion rates were  
304 determined for SC and CNS tissues, respectively. For SC tissue, the infusion rates were  
305 147.471 ng/min and 294.942 ng/min, respectively. For CNS tissue, the infusions rates were  
306 472.415 ng/min and 944.830 ng/min, respectively, for the same concentrations target.

## 307 **4. Discussion**

308 As part of the development of a molecule with antitumor properties, we investigated, for the  
309 first time, its plasma and tissue pharmacokinetics. After a single intravenous administration,

310 we showed a bicompartmental plasmatic elimination. Preliminary experimentation has  
311 already hypothesized a similar profile with the unique IV administration of 45 mg/kg (data  
312 not shown). The global volume of distribution at a steady state ( $V_{d_{ss}}$ ) from the central to  
313 peripheral compartments appears to be high ( $433.7 \pm 272.2$  mL) in male rats weighing 300 g  
314 for the non-compartmental approach, according to the mean blood volume of male Wistar rats  
315 ( $6.44$  mL/100 g) (Lee and Blaurock, 1985). As determined, the plasma protein binding appears  
316 to be low, so we can hypothesize that YSNSG pharmacokinetics is probably not influenced by  
317 plasma protein binding and, consequently, not exposed to drug-drug interactions with other  
318 drugs that are strongly bound to plasma proteins.

319 YSNSG is not the first molecule targeting integrin  $\alpha_v\beta_3$  to be studied for its  
320 antitumor properties. ATN-161 and cilengitide, for example, were also investigated in  
321 preclinical studies and are currently under clinical studies against many tumors. ATN-161 is a  
322 five-amino-acid peptide (without the RGD sequence) that was previously demonstrated to  
323 have anti-invasive and anti-metastatic properties (Khalili et al., 2006; Livant et al., 2000;  
324 Stoeltzing et al., 2003). Pharmacokinetic data showed in mice that, for 10 mg/kg, an order  
325 half-life elimination was visually determined between 73 and 79 min (Doñate et al., 2008). In  
326 a phase 1 study with 26 subjects, 0.1 to 16.0 mg/kg ATN showed an order half-life  
327 elimination higher than preclinical data (between 192 and 300 min) and total receptor  
328 saturation for high concentrations (Cianfrocca et al., 2006). Cilengitide (cyclo(-  
329 RGDf[NMe]V-)) also targets  $\alpha_v\beta_3$  and inhibits *in vivo* tumor growth as ATN-161 and  
330 YSNSG. Two preclinical studies each reported some plasma pharmacokinetic parameters  
331 after intraperitoneal intravenous injection. Mikkelsen *et al.* found the central and peripheral  
332 volumes of distribution to be 320.0 mL/kg and 28.8 mL/kg, respectively (Mikkelsen et al.,  
333 2009), and Dolgos *et al.* found a volume of distribution 340 mL/kg (Dolgos et al., 2016).  
334 While the central volume of distribution ( $V_c$ ) of cilengitide appears to be similar to that of

335 YSNSG, the peripheral volume of distribution ( $V_p$ ) of cilengitide is lower than that of  
336 YSNSG and indicates a significantly higher disposition of YSNSG from the central to  
337 peripheral compartments. Consequently, the half-life elimination values of cilengitide (1.2 h  
338 and 0.24-0.5 h) appear to be lower than those of YSNSG, and the total clearance values were  
339 higher (924 mL/h/kg and 980 mL/h/kg). In a phase 1 study with 37 subjects, dosages from 30  
340 to 1600 mg/m<sup>2</sup> showed a half-life elimination of 3-5 h, findings similar to those previously  
341 described in preclinical studies (Eskens et al., 2003). Considering the pharmacokinetics  
342 preclinical and clinical data for both molecules, YSNSG administration in humans appears to  
343 be feasible in practice while taking into account species differences (Dolgos et al., 2016).

344 The tissue pharmacokinetic approach using YSNSG focused on two peripheral tissues,  
345 subcutaneous and cerebral tissue. The choice of subcutaneous tissue as a superficial tissue  
346 seems to be consistent with previous preclinical studies that demonstrate antitumor activity *in*  
347 *vivo* against cutaneous melanoma in mice (Thevenard et al., 2010, 2006). The choice of  
348 cerebral tissue was based on two hypotheses. First, it is known that integrin  $\alpha_v\beta_3$  is well  
349 expressed in tumor cerebral tissue, whether primary (glioma) or secondary (cerebral  
350 metastasis from melanoma for example) (Kuphal et al., 2005; MacDonald et al., 2001;  
351 Schittenhelm et al., 2013). Second, cilengitide shows modest activity in glioblastoma (Nabors  
352 et al., 2015; Reardon et al., 2008; Stupp et al., 2014).

353 Microdialysis recovery using the reverse dialysis method without an internal standard  
354 indicated recovery rates consistent with the use of a perfusion rate of 1  $\mu$ L/min and a  
355 semipermeable membrane of 4 mm (Chaurasia et al., 2007; Plock and Kloft, 2005). For both  
356 tissues, we identified and quantified YSNSG after a unique intravenous administration. In  
357 subcutaneous tissue, the pharmacokinetic profile appears to be similar to the plasmatic  
358 pharmacokinetic profile.  $C_{max}$  ( $9.53 \pm 2.38 \mu\text{g/mL}$ ) was achieved rapidly, and elimination  
359 appeared as a bicompartamental profile. The penetration rate of YSNSG from plasma to

360 subcutaneous tissue was  $66.2 \pm 21.6\%$  (AUC ratios), a value that is consistent with a  
361 disposition from the central to superficial peripheral compartment. The concentrations of  
362 YSNSG appears to be compatible with the antitumor pharmacological activity (inhibition of  
363 cancer cell proliferation), according to the determined active concentrations ( $5.08$ -  
364  $10.16 \mu\text{g/mL}$ ) (Thevenard et al., 2006). Not surprisingly, the cerebral pharmacokinetic profile  
365 and concentrations of YSNSG differ from those of subcutaneous tissue.  $T_{\text{max}}$  was achieved  
366 between 60 and 90 minutes, probably due to BBB (Blood Brain Barrier) penetration.  $C_{\text{max}}$  was  
367 approximately fifty fold lower than the SC  $C_{\text{max}}$ . Consequently, the penetration rate from the  
368 central compartment to cerebral tissue was low ( $3.6 \pm 4.7\%$ ), and minimal antitumor  
369 pharmacological concentrations were not achieved. These data are consistent with the  
370 physiological function of the BBB as a functional protection barrier against exogenous  
371 molecules like drugs. Some therapeutic compounds entering development failed to achieve  
372 pharmacological concentrations in CNS due to the BBB, and the literature showed that only  
373  $7\%$  of drugs in development reach the marketplace (Kaitin, 2005). Moreover, this penetration  
374 rate may suggest that a 30-minute waiting period after cerebral probe implantation is  
375 sufficient to preserve the function of the BBB, as previously described (De Lange et al.,  
376 1995).

377 Our study has limitations. First, we used only one dosage ( $10 \text{ mg/kg}$ ) of YSNSG except for a  
378 preliminary experimentation with  $45 \text{ mg/kg}$ . Second, the number of rats was low but was in  
379 accordance with the ethics committee guidelines and microdialysis interest. Microdialysis is a  
380 good compromise in order to reduce the number of animals but can lead to an over-  
381 parameterized model and as a result the number of data necessary induces shrinkage. As  
382 shown in Table 2, the impact of shrinkage is important for V2 ( $63$  and  $62\%$ , respectively, in  
383 subcutaneous and cerebral tissue), demonstrates good identifiability properties of the  
384 proposed model and appears acceptable. All other shrinkage values are within  $26\%$ .

385 Our results are promising. The main pharmacokinetics parameters of the plasma are  
386 consistent with human experimentation, in the same way as cilengitide and ATN-161 which  
387 were administered twice and three a week respectively in phase 1 studies without  
388 discontinuation (Cianfrocca et al., 2006; Eskens et al., 2003). Tissue pharmacokinetic  
389 parameters indicate strong distribution in superficial peripheral tissue like subcutaneous tissue  
390 with active pharmacological concentrations. Further studies are needed to investigate YSNSG  
391 peptide distribution in non-healthy tissue like the tumor environment, for example, in the  
392 mice using the previously used melanoma model (Thevenard et al., 2010, 2006). These  
393 investigations could highlight the influence of the tumor microenvironment on peptide  
394 pharmacokinetics. This might induce a resistance phenomenon, leading to lower tissue  
395 concentrations and, consequently, lower pharmacological efficacy (Trédan et al., 2007). By  
396 contrast, as previously shown with ATN-161, we hypothesized that tissue elimination might  
397 be lower due to durable interactions between YSNSG and its target  $\alpha_v\beta_3$  (Doñate et al., 2008).  
398 In further studies, we will use microdialysis, which appears to be a well-suited method to  
399 investigate the tissue distribution of exogenous drugs in healthy tissue like subcutaneous  
400 tissue. This method can also be used in other peripheral compartments or organs  
401 corresponding to frequent secondary localizations of melanoma metastasis such as the liver  
402 (Davies and Lunte, 1995; Stähle et al., 1991).

## 403 **5. Conclusions**

404 We conducted the first pharmacokinetic study of YSNSG, which is a promising member of  
405 the new therapeutic class of  $\alpha_v\beta_3$  antagonists. For tissue pharmacokinetics, we used  
406 microdialysis, which is one of the most appropriate methods to investigate drug distribution in  
407 some tissues of interest. The penetration rate of YSNSG from the plasma to subcutaneous  
408 tissue appears to be compatible with its pharmacological activity, which does not seem to be

409 feasible for CNS tissue. We currently hypothesized that YSNSG nanoencapsulation would  
410 enhance its penetration rate through BBB and reach pharmacological active concentrations.  
411 With plasmatic and tissue pharmacokinetic parameters, we carried out a pharmacokinetic-  
412 based model to describe our pharmacokinetic population. Combining microdialysis and  
413 pharmacokinetic modeling appears to be a successful method to predict minimum doses to be  
414 administered. Further investigations are needed to characterize dose-effect of YSNSG to  
415 appreciate pharmacokinetic distribution in a tumor tissue and investigate other tissues, such as  
416 the lung or liver, which are also the most preferred locations of melanoma metastasis.

417

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421

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557

## 558 List of Figures and Tables

559 **Fig. 1:** Schematic representation of structural pharmacokinetic models for peptide  
560 YSNSG. CL, clearance; Cmt, compartment; V: Volume of compartment; K: elimination  
561 constant; k13, k31, k12 and k12: transfer microconstants.

562 **Fig. 2:** Goodness-of-fit plots for the final population-based plasma-subcutaneous model. A)  
563 Observed (Obs) YSNSG plasma concentrations [ng/mL] vs. individual-predicted (Ind.pred)  
564 YSNSG concentrations [ng/mL] B) Observed (Obs) YSNSG subcutaneous concentrations  
565 [ng/mL] vs. individual-predicted (Ind.pred) YSNSG. Prediction-corrected visual predictive  
566 check (PC-VPC) based on 1000 simulated data sets for YSNSG plasma concentrations  
567 [ng/mL] (C) and for YSNSG subcutaneous concentration [ng/mL] over the time [min] (D).  
568 The green lines show the 5<sup>th</sup>, 500<sup>th</sup> and 95<sup>th</sup> percentiles of observed data; the areas represent  
569 the 90% confidence interval around the simulated percentiles.

570 **Fig. 3:** Goodness-of-fit plots for the final population-based plasma-CNS model. A) Observed  
571 (Obs) YSNSG plasma concentrations [ng/mL] vs. individual-predicted (Ind.pred) YSNSG  
572 concentrations [ng/mL] B) Observed (Obs) YSNSG CNS concentrations [ng/mL] vs.  
573 individual-predicted (Ind.pred) YSNSG. Prediction-corrected visual predictive check (PC-  
574 VPC) based on 1000 simulated data sets for YSNSG plasma concentrations [ng/mL] (C) and  
575 for YSNSG CNS concentration [ng/mL] (D) over the time [min]. The green lines show the  
576 5th, 500th and 95th percentiles of observed data; the areas represent the 90% confidence  
577 interval around the simulated percentiles.

578 **Fig. 4:** Diagnostic plots for YSNSG plasma subcutaneous model.

579 For YSNSG plasma concentration or y1 [: individual Weighted RESiduals (IWRES) vs. time  
580 [min] (A) and versus prediction y1 (B); Normalized Prediction Distribution Error (NPDE) as  
581 a function of time [min] (C) and as a function of population predicted y1 (D).

582 For YSNSG subcutaneous concentrations of YSNSG or y2: IWRES vs. time [min] (E) and  
583 versus prediction y1 (F); NPDE as a function of time [min] (G) and as a function of  
584 population predicted prediction y1 (H).

585 **Fig. 5:** Diagnostic plots for plasma CNS model.

586 For YSNSG plasma concentration or y1: individual Weighted RESiduals (IWRES) vs. time  
587 [min] (A) and versus prediction y1 (B); Normalized Prediction Distribution Error (NPDE) as  
588 a function of time [min] (C) and as a function of population predicted y1 (D).

589 For YSNSG CNS concentrations of YSNSG or y2: IWRES vs. time [min] (E) and versus  
590 prediction y1 (F); NPDE as a function of time [min] (G) and as a function of population  
591 predicted prediction y1 (H).

592 **Fig. 6:** Predictions for both active concentrations of YSNSG cyclopeptide with a fixed rate  
593 and continuous infusion in subcutaneous and CNS tissue. In order: prediction for active  
594 concentration of YSNSG cyclopeptide with a constant rate of 5,000 ng/mL in plasma (A) and  
595 subcutaneous tissue (B); prediction for active concentration of YSNSG cyclopeptide with a  
596 constant rate of 10,000 ng/mL in plasma (C) and subcutaneous tissue (D). Median values of  
597 simulated concentrations were performed using MlxPlore V.1 software (lixoft, France) and  
598 validated population pharmacokinetic model. *This graphical image has been built from*  
599 *MlxPlore v.1 to enhance clarity without deliberate wish to manipulate their interpretation*

600 **Fig. 6 (continuum):** Predictions for both active concentrations of YSNSG cyclopeptide with  
601 a fixed rate and continuous infusion in subcutaneous and CNS tissue. In order: prediction for

602 active concentration of YSNSG cyclopeptide with a constant rate of 5,000 ng/mL in plasma  
603 (E) and cerebral tissue (F); prediction for active concentration of YSNSG cyclopeptide with a  
604 constant rate of 10,000 ng/mL in plasma (G) and cerebral tissue (H). Median values of  
605 simulated concentrations were performed using MlxPlore V.1 software (lixoft, France) and  
606 validated population pharmacokinetic model. *This graphical image has been built from*  
607 *MlxPlore v.1 to enhance clarity without deliberate wish to manipulate their interpretation*

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610 **Table 1:** Individual plasma and tissue parameters of peptide YSNSG after a single injection  
611 (10 mg/kg) on Wistar rat (n=12).

612 **Table 2:** Parameter Estimates of the final YSNSG peptide population-based pharmacokinetic  
613 Model.

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617 Table 1: Plasma and tissue pharmacokinetic of peptide YSNSG in Wistar Rats (n=12)

<b>Pharmacokinetic parameters</b>	<b>Mean±S.D.</b>	<b>R.S.E (%)</b>
<b><i>Plasma pharmacokinetic parameters</i></b>		
Dose of peptide YSNSG (µg)	3073±134	1
A (µg)	16.581±4.957	7
α (min <sup>-1</sup> )	0.08694±0.06723	21
t <sub>1/2</sub> α (min)	12.7±6.9	9
B (µg)	4.61±4.23	21
β (min <sup>-1</sup> )	0.00678±0.00479	12
t <sub>1/2</sub> β (min)	154.0±91.0	10
V <sub>c</sub> (mL)	158.3±52.1	6
V <sub>p</sub> (mL)	275.4±243.3	18
V <sub>dss</sub> (mL)	433.7±272.2	13
k <sub>21</sub>	0.0288±0.0304	27
k <sub>10</sub>	0.0251±0.010	10
k <sub>12</sub>	0.0398±0.0412	27
Cl (mL/min)	3.8±1.6	14
AUC <sub>p</sub> (µg/min/mL)	1059.94±744.42	14
<b><i>Subcutaneous pharmacokinetic parameters</i></b>		
C <sub>max</sub> (µg/mL)	8.57±2.13	5
t <sub>max</sub> interval (min)	0-30	-
AUC (µg/min/mL)	885.172±508.149	11
<b><i>CNS pharmacokinetic parameters</i></b>		
C <sub>max</sub> (ng/mL)	183.4±200.4	22
T <sub>max</sub> interval (min)	60-90	-
AUC (µg.min/mL)	24.22±20.97	17
<b><i>Plasma – SC and Plasma/CNS AUC ratio</i></b>		
AUC <sub>sc</sub> /AUC <sub>p</sub> ratio (%)	66.2±21.6	-
AUC <sub>cns</sub> /AUC <sub>p</sub> ratio (%)	3.6 ±4.7	-

618 SD: Standard Deviation; R.S.E.: Relative Standard Error; A: B:  $\alpha$ :  $\beta$ : Vc: Volume of distribution for central compartment; Vp: Volume of  
 619 distribution for peripheral compartment; Vd: volume of distribution; k21, K10 and k12: microtransfer constants; Cl: Total clearance; Cmax:  
 620 Peak concentration; Tmax: Time to reach Cmax; SC: Subcutaneous; CNS: Central Nervous System  
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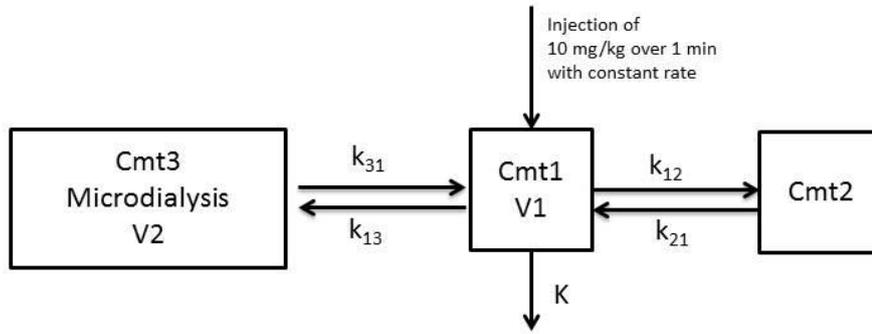
622 Table 2: Parameter Estimates of the final YSNSG peptide physiologically-based  
 623 pharmacokinetic Model

<b>Pharmacokinetic population parameters</b>				
<b>Mean (R.S.E, %) and shrinkage values</b>				
<b>Parameter</b>	<b>Plasma - subcutaneous model</b>	<b>Shrinkage</b>	<b>Plasma - CNS model</b>	<b>Shrinkage</b>
K	0.579 (10)	19%	0.669 (8)	8%
V1 (mL)	3.18 (6)	5%	3.27 (6)	21%
K12	0.262 (16)	2%	0.916 (3)	-12%
K21	0.00227 (33)	-1%	0.0302 (7)	-11%
K13	0.813 (8)	3%	0.00919 (13)	26%
K31	0.0535 (5)	-1%	NA <sup>a</sup>	NA <sup>a</sup>
V2 (mL)	97.6 (13)	63%	271 (51)	62%
Omega_k	0.309 (23)		0.262 (22)	
Omega_v1	0.0934 (74)		0.0834 (11.7)	
Omega_k12	0.506 (25)		0.0948 (22)	
Omega_k21	1.01 (24)		0.158 (43)	
Omega_k13	0.263 (21)		0.0814 (37.3)	
Omega_k31	0.0844 (70)		NA <sup>a</sup>	
Omega_v2	0.22 (51)		1.13 (32)	
B_1	0.543 (51)		0.519 (58)	
C_1	0.91 (7)		0.974 (7)	
B_2	0.218 (54)		0.269 (54)	
C_2	1.06 (7)		1.13 (11)	

624 Abbreviations: R.S.E.: Relative Standard Error ; CNS: Central Nervous System

625 <sup>a</sup>For both k31 = k13 and omega\_k31 = omega\_k13

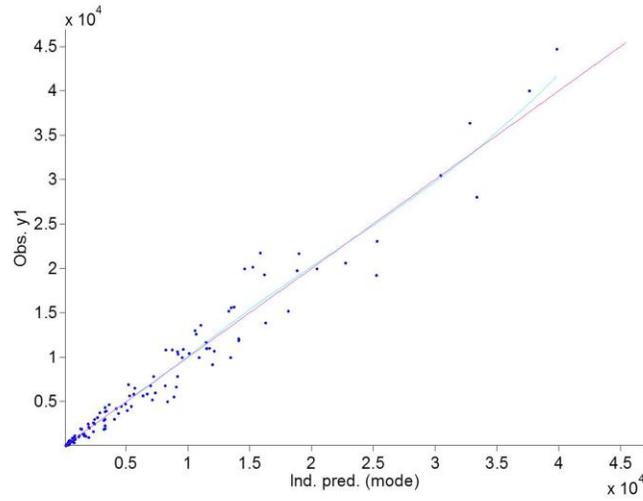
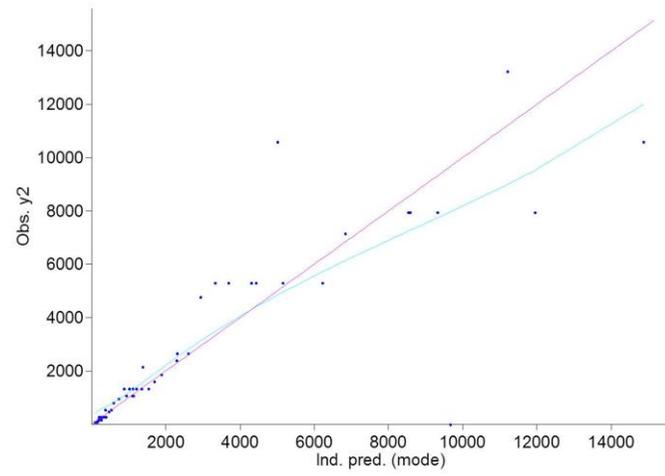
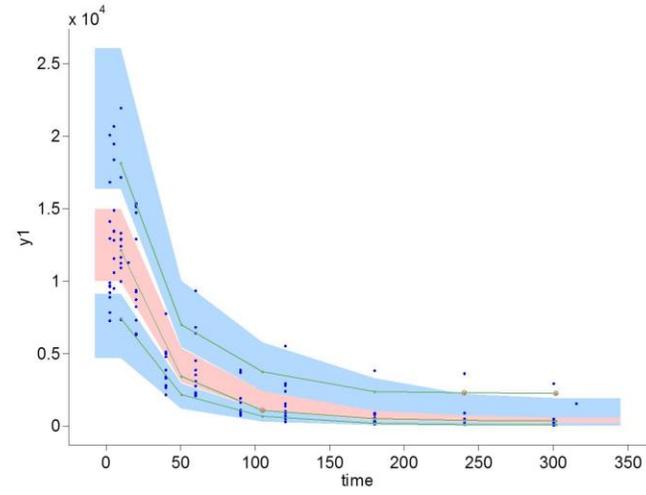
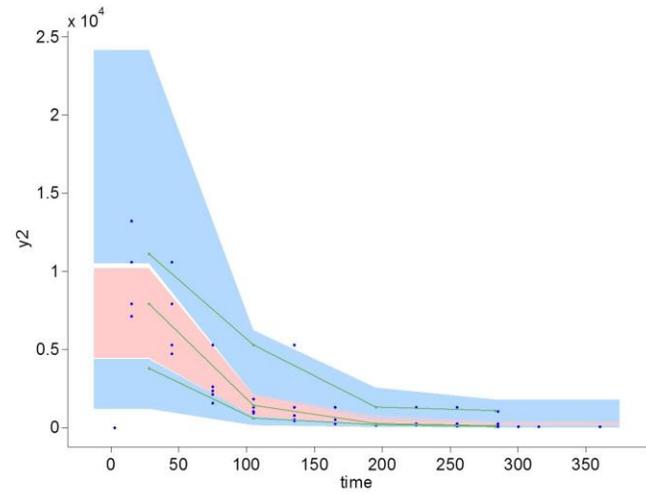
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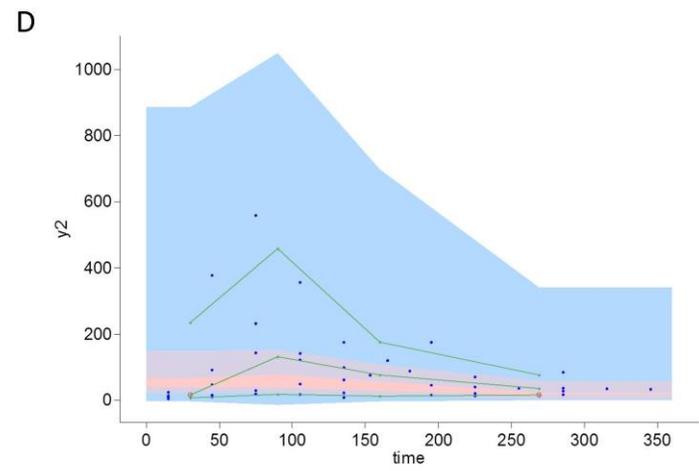
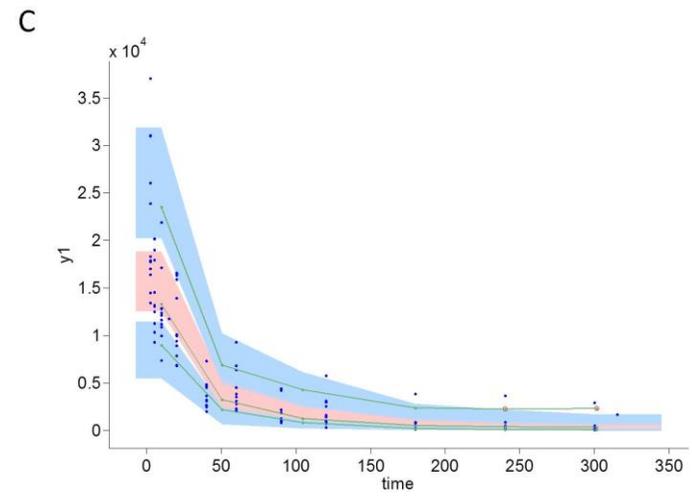
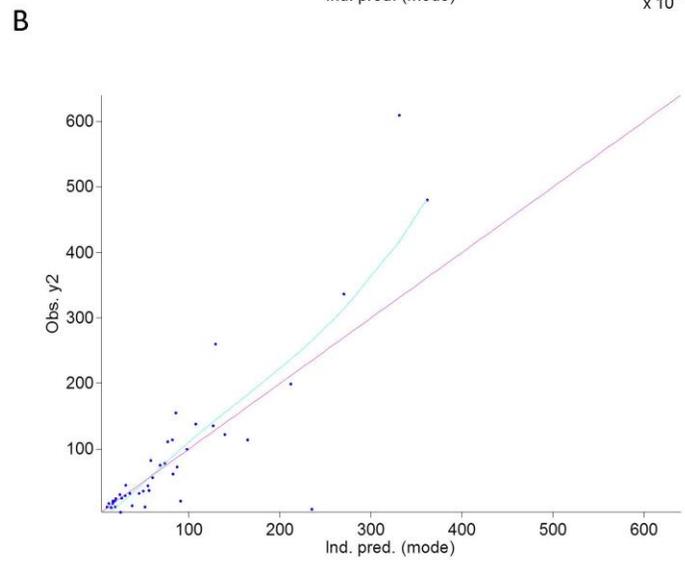
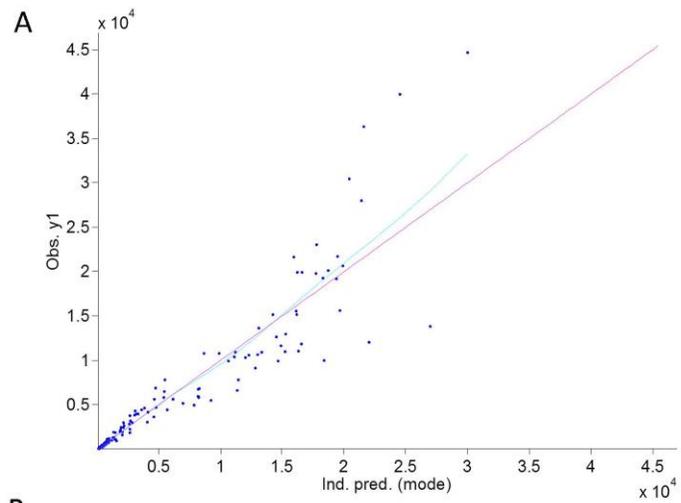


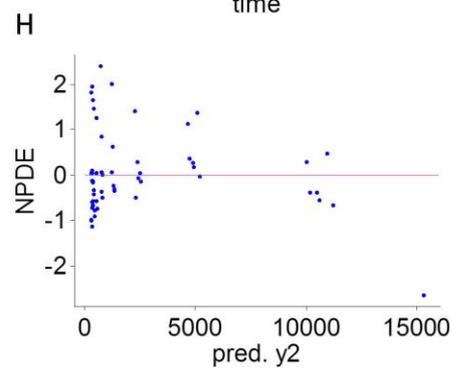
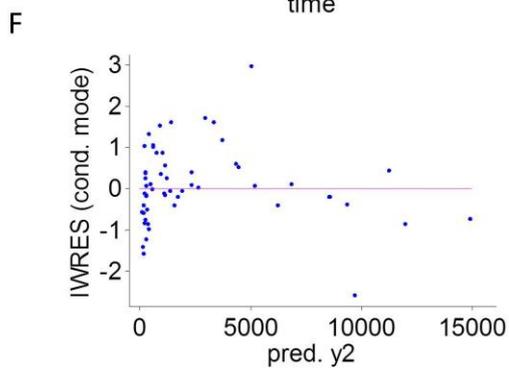
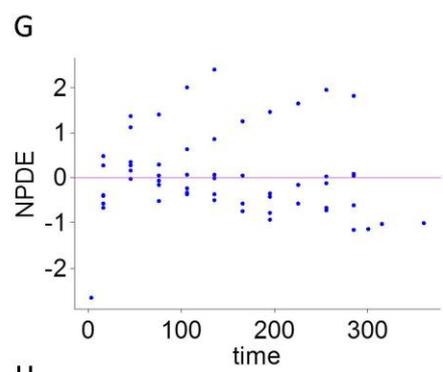
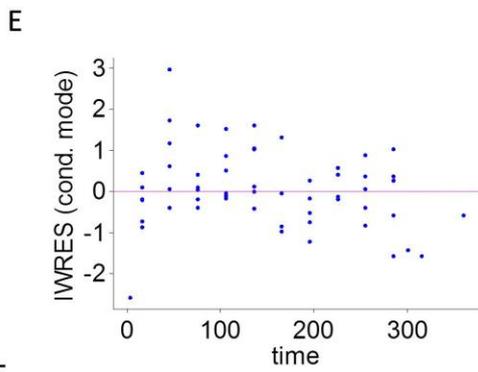
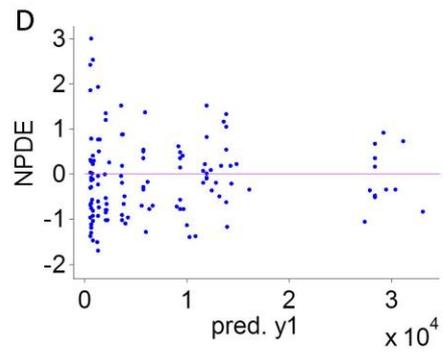
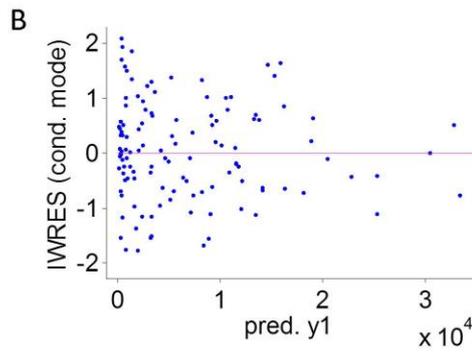
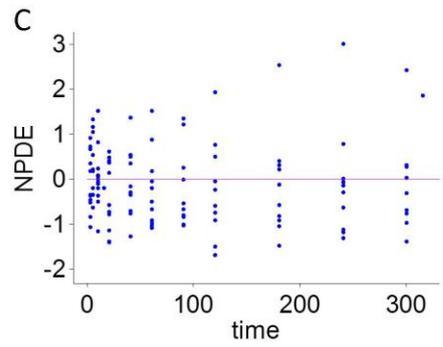
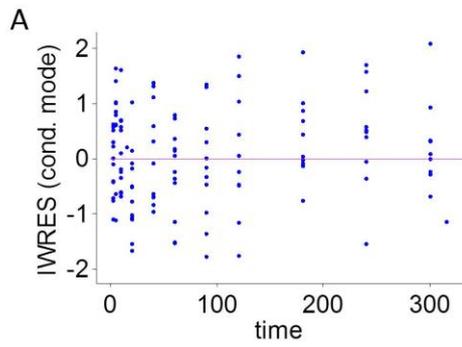
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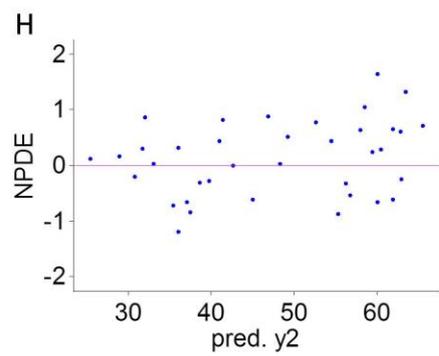
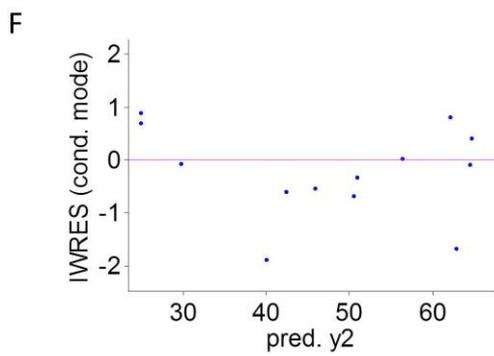
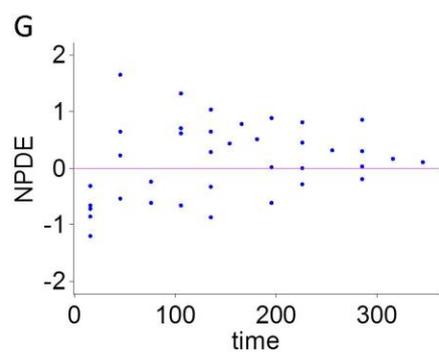
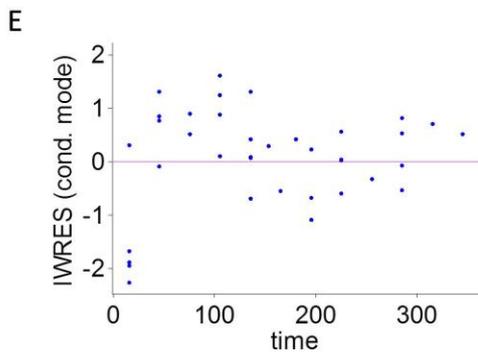
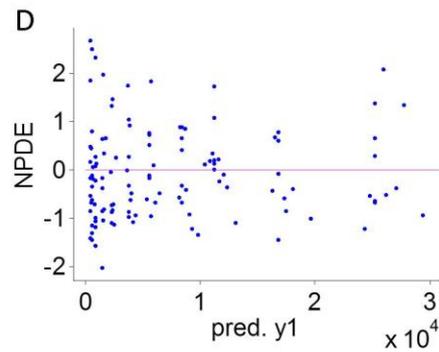
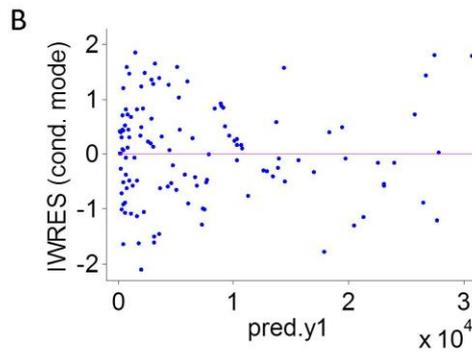
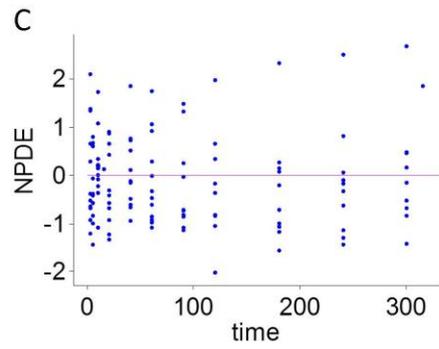
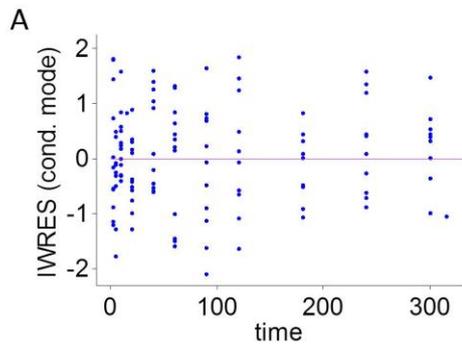
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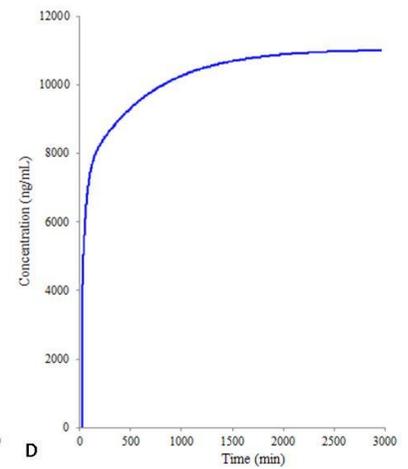
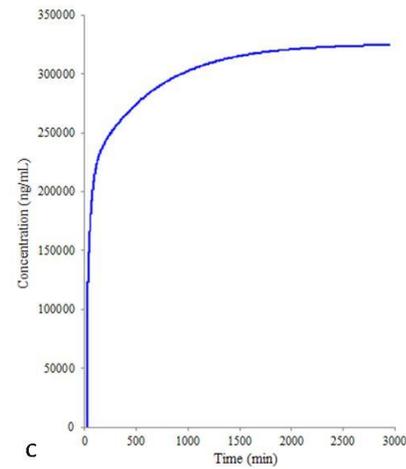
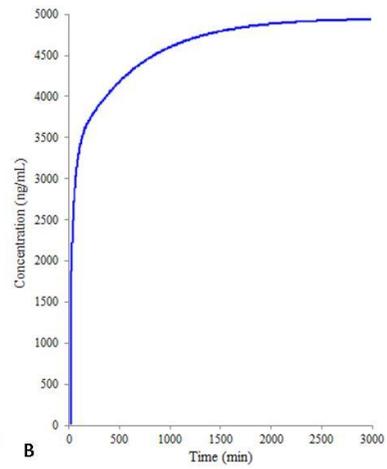
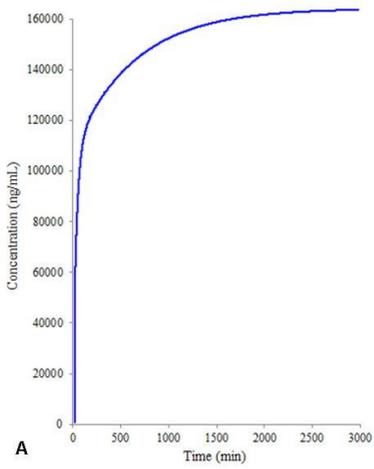




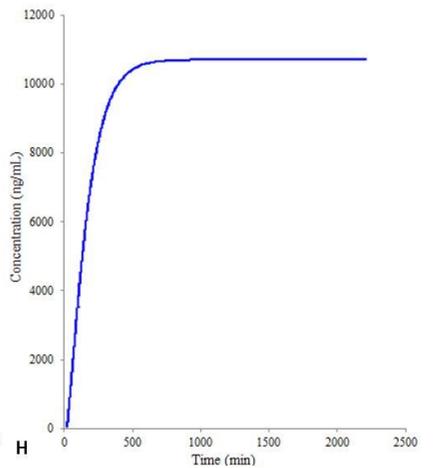
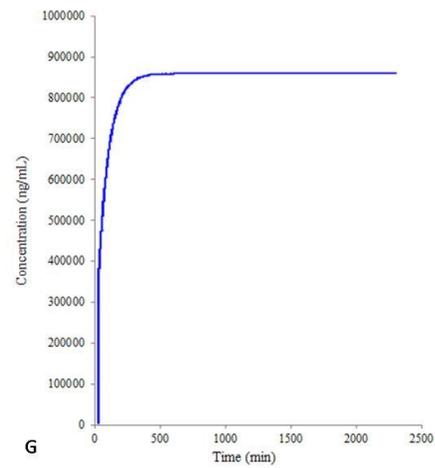
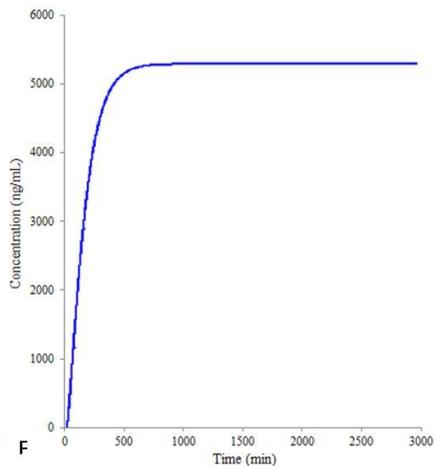
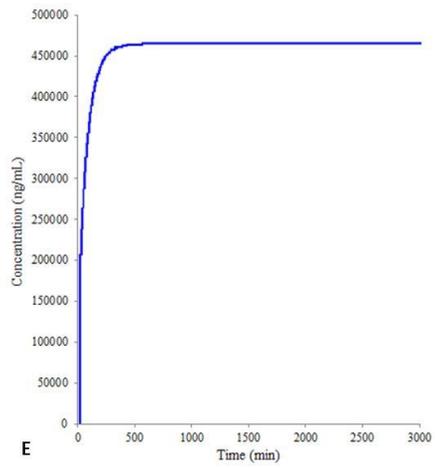
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