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ORIGINAL ARTICLE

Prophylactic neck dissection for low-risk differentiated thyroid cancers: Risk-benefit analysis

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ABSTRACT: *Background.* The benefit of neck dissection is the subject of debate in differentiated thyroid cancer (DTC). We analyze the risk-benefit of neck dissection for low-risk DTC without detectable lymph nodes.

Methods. We conducted a retrospective study from 1983 to 2003; which included 295 patients without detectable lymph nodes who were treated by thyroidectomy with (C+) or without (C-) neck dissection. All patients had iodine131 therapy. We compared the frequency of remission, disease progression, and permanent complications between groups.

Results. Two hundred twelve patients comprised the C+ group, and 83 patients the C- group. Respectively for C+ versus C-, remission rates were 92% versus 89.2% ($p = .40$), and progressive disease observed was 3.3% versus 7.2% ($p = .10$). Permanent hypoparathyroidism occurred in 15.1% in C+ versus 3.6% in C- ($p = .006$).

Conclusion. The risk-benefit analysis of neck dissection in patients with low-risk DTC shows no benefit in terms of complete remission or occurrence of progression. However, risk of complications seems to be higher in patients with neck dissection. © 2016 Wiley Periodicals, Inc. *Head Neck* 38: 1091–1096, 2016

KEY WORDS: prophylactic, dissection, low-risk, thyroid, cancers

INTRODUCTION

Thyroid nodules are common in the general population. Some autopsy series[1] report that their frequency increases with age and may be >50% after 50 years of age. These nodules are often discovered during cervical palpation (4% to 8% of cases), cervical ultrasonography (10% to 41% of cases),[2–5] or during an imaging study performed for another pathology (from 1.1% to 2.9% in the positron emission tomography-fluorodeoxyglucose).[6] The frequency of differentiated thyroid cancer (DTC) in these nodules ranges from 5% to 10%[7] and these tumors count among the most common malignant endocrine tumors.[8]

The prognosis of DTC is excellent with disease-specific and overall survival >90% at 10 years.[8] The treatment is surgical and based primarily on thyroidectomy.[9] The benefit of neck dissection in the absence of detectable lymph nodes remains the subject of some debate in tumors measuring <40 mm. In this population, the frequency of lymph node involvement proved by the pathological assessment, in the absence of lymph nodes detected pre-

operatively either clinically or by ultrasound, ranges from 35% to 72.7% depending on the series.[10,11] However, for most authors, this lymph node involvement is not a risk factor for reduced survival in these patients. Thus, the recommendations of the American Thyroid Association (ATA) from 2009 do not recommend prophylactic neck dissection in these low-risk patients when no lymph node involvement is detectable preoperatively (recommendation rating C).[12]

Therefore, the main purpose of this study was to determine the utility of prophylactic cervical neck dissection in this population of patients treated for a thyroid nodule suspected to be malignant and measuring ≤40 mm without preoperative or intraoperative detected lymph nodes.

MATERIALS AND METHODS

Population

This was a retrospective, single-center study examining the records of 1260 patients, all primary thyroid tumor histologies combined, from 1983 to 2003 from the Marne–Ardennes Registry specializing in thyroid cancer. At the time (1983–2003), the protocol for surgical management implemented by surgeons in our region recommended systematic total thyroidectomy with central and lateral neck dissection association. Neck dissection was indicated when the diagnosis of cancer was established,

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namely either before surgery, or during surgery on extemporaneous examination of suspicious thyroid nodules if the tumor size was >10 mm.

All patients included in this study were over 18 years of age, had a thyroid nodule measure ≤40 mm in size with T1 or T2 disease, and were suspected of being a DTC either by preoperative cytology or during extemporaneous examination. No patient had signs of cervical lymph node involvement, clinically and/or ultrasonographically during the preoperative examination (N0), and no clinical suspicion of distant metastases (M0).

The patients included in this study underwent surgery, namely total thyroidectomy with prophylactic neck dissection (C+ group) or without prophylactic neck dissection (C- group). All patients were treated with only one ablative dose of 100 mci of ^{131}I. When neck dissection was performed, it was always selective and involved the central compartment (VIa or VIb) and/or lateral compartment (III, IV, or Vb). Only well-differentiated intrathyroid cancers, pT1 and pT2, M0 (TNM classification 2010[13]), were selected for the study.

For each patient, we recorded the following variables: age at diagnosis, sex, histological type, tumor size in millimeters, multifocality, existence, and type of a dissection, number of lymph nodes removed and involved, and existence of complications and progressive disease.

Follow-up, complications, and complete remission

All patients were followed up according to the same protocol, in the same center, with an examination 6 to 8 months after surgery to determine whether or not there was complete remission. Then, a clinical follow-up, ultrasound imaging, and thyroglobulin test were performed once a year for 5 years, then at 7 years and 10 years after surgery, and then every 5 years thereafter. For each patient, the existence of complete remission, progressive disease, or cancer-related death was investigated. Secondary complications because of surgery were also recorded, as defined below.

Complete remission was defined 6 to 8 months after surgery as a normal clinical examination, a thyroid ultrasound without any detectable thyroid remnant and/or lymph nodes, and an undetectable level of thyroglobulin and antithyroglobulin antibodies with a high level of thyroid-stimulating hormone >30 mUI/L. If any one or more of these criteria were absent, then the patient was considered to have persistent disease.

Progressive disease was defined as the death of the patient directly related to DTC or documented recurrence with scintigraphical and/or cytological and/or histological and/or biological evidence, whether tumor-related, nodal, or metastatic (recurrent disease).

Complications occurring immediately after surgery were compressive cervical hematomas and cervical lymphatic effusions. We investigated the occurrence of recurrent laryngeal nerve paralysis or spinal accessory nerve injury up to 6 months after the intervention and we investigated the existence of permanent hypoparathyroidism (persistence of hypocalcemia requiring medical treatment) up to 1 year after surgery.

Excluded from this study were juvenile patients (aged <18 years) and patients who had a history of cervical radiation therapy, head and neck cancers, cervical neck dissection, thyroid cancer measuring >40 mm or with aggressive histology, extrathyroidal extension, metastases, preoperative clinically and/or ultrasonographically detected lymphadenopathy, recurrent laryngeal nerve paralysis, spinal accessory nerve injury, or hypoparathyroidism or hyperparathyroidism known before surgery.

No patients were lost to follow-up. Patients and their doctors were contacted by mail when necessary to obtain follow-up information. Patients who moved to a different region were followed up by their local correspondents whose reports were sent to the Marne–Ardennes Thyroid Cancer Registry and indexed in the patient's file.

Statistical Methods

A descriptive analysis was performed for all variables available in the population. Quantitative variables were described using mean (±SD), or median and range, and qualitative variables are described using frequencies and percentages. The characteristics of C+ and C- patients were compared using the Student t or Mann–Whitney tests for quantitative variables, and by the chi-square or Fisher's exact tests for qualitative variables, as appropriate.

RESULTS

Characteristics of the population studied

In total, 295 patients were included in this study. The mean age was 47 ± 13.6 years (range, 19–79 years) and the majority were women (81.7%). Mean tumor size was 23.4 ± 9.3 mm (range, 10–40 mm; 9.2% of the tumors were equal to 10 mm), with predominantly unifocal (67.1%) and papillary (78.3%) forms (Table 1).

Two hundred twelve patients underwent neck dissection (C+ group), of whom 72 (34%) had lymph node involvement. Twenty-nine patients (13.7%) had suspicious lymph nodes found during the operation, and 21 of 29 were confirmed with intraoperative frozen section biopsy.

A total of 83 patients did not undergo neck dissection because of refusal of the management protocols (C-group).

The overall median follow-up duration was 177 months (range, 108–360 months).

Complete remission was reached in 269 of cases (91.2%). Two patients had tumor recurrence (0.7%), 7 had a lymph node recurrence (2.4%), and 4 had a distant metastasis (1.4%). Lymph node recurrences were detected in 1 patient by iodine 131 imaging, in 4 cases by neck ultrasound, and in 2 cases on the basis of a progression of thyroglobulin levels leading to new ultrasound examinations. All patients with lymph node recurrence underwent surgery.

One patient died of their cancer. In terms of surgical complications, 35 patients had hypoparathyroidism (11.9%), 13 had recurrent laryngeal nerve paralysis (4.4%), 4 had spinal accessory nerve injury (1.4%), 4 had compressive cervical hematomas (1.4%), and 6 had cervical lymphatic effusions (2%).

Comparison of C+ and C- patients

Patients, tumors, and neck dissections. There was no difference between the 2 groups in terms of age, sex, tumor size, histology, and pT classification. In the C+ group,

TABLE 1. Description of the population.

Characteristics	Population (n = 295)
Age, y	47 ± 13.6 (19–79)
Ratio men/women	54/241 (18.3/81.7)
Tumor size, mm	23.4 ± 9.3 (10–40)
Papillary	231 (78.3)
Vesicular	64 (21.7)
Tumor distribution	
Unifocal	198 (67.1)
Multifocal	97 (32.9)
TNM classification*	
pT1a	27 (9.2)
pT1b	114 (38.6)
pT2	154 (52.2)
C+ patients[†]	
No. of patients	212 (71.9)
C+ patients with lymph node involvement	72 (34)
C+ patients without lymph node involvement	140 (66)
C- patients[‡]	
No. of patients	83 (28.1)
Complete remission	269 (91.2)
Persistence	26 (8.8)
No. of events	13 (4.4)
Tumor event	2 (0.7)
Lymph node event	7 (2.4)
Metastatic event	4 (1.4)
No. of complications	
Permanent hypoparathyroidism	35 (11.9)
Recurrent laryngeal nerve paralysis	13 (4.4)
Spinal accessory nerve injury	4 (1.4)
Compressive hematoma	4 (1.4)
Cervical lymphatic effusion	6 (2)
Death	1 (0.3)

* TNM classification = Tumor, Node, and Metastatic 2010 classification.
[†] C+ patients = total thyroidectomy with prophylactic neck dissection.
[‡] C- patients = total thyroidectomy without prophylactic neck dissection.
Note: Data are expressed as mean ± SD (range) and number (%).

140 were classified pN0 (66%) and 72 were pN1 (34%; including 22 pN1a [10.4%] and 50 pN1b [23.6%]; Table 2). The type of neck dissection that was performed in the majority of cases was central and lateral (74.5%), 8% had a central dissection and 17.5% had a simple lateral dissection. The average number of lymph nodes removed regardless of the dissection type was 14.2 ± 11.2.

Surgical complications. In the C+ group, 4 of the patients (1.9%) developed compressive cervical hematoma, 6 (2.8%) suffered cervical lymphatic effusion, and 4 (1.9%) had spinal accessory nerve injury. Conversely, in the C- group, these complications were not observed. Permanent hypoparathyroidism was found in 32 of C+ patients (15.1%), and in 3 of C- patients (3.6%; p = .006). Recurrent laryngeal nerve paralysis was found in 12 of C+ patients (5.7%) and 1 of the C- patients (1.2%; p = .09). There were no reported nosocomial infections and no perioperative deaths were observed.

Progressive disease. Complete remission was observed in 195 C+ patients (92%) compared to 74 C- patients (89.2%; p = .4). The frequency of recurrence was 7 in C+ patients (3.3%), and 6 in C- patients (7.2%; p = .1). Tumor recurrence was observed in 2 C+ patients (0.9%), and no tumor recurrence was observed among C- patients. We observed lymph node recurrence in 4 C+ patients (1.9%) and in 3 C- patients (3.6%; p = .41). A peripheral metastasis was found in 1 patient in the C+ group (0.5%) compared to 3 in the C- group (3.6%; p = .068).

In this study, only 1 C- patient died because of the papillary type of DTC.

DISCUSSION

This work was a retrospective study involving a homogeneous cohort of 295 patients, monitored in the same center with 100% complete follow-up over a long period of 14 years, presenting low-risk DTC treated with total thyroidectomy and [131]I. In this population, there was no significant benefit of neck dissection in terms of progression and survival of patients treated for a T1 or T2 nodule suspected of being thyroid cancer, without detectable lymph nodes. However, there is a significantly greater frequency of complications, especially hypoparathyroidism, when neck dissection is performed.

Neck dissection in patients with low-risk DTC is controversial. International recommendations are divided. Indeed, the guidelines of the ATA from 2009 are not in favor of this procedure[12] in low-risk DTC. Others, such as those of the National Comprehensive Cancer Network from 2012, or the Latin America Thyroid Society from 2009, the British Thyroid Association/Royal College of Physicians guidelines published in 2007 or those of the European Thyroid Association from 2006 do not take a clear position.[14–17] Only the Japan Society for the Promotion of Science has recommend the practice of more aggressive surgery with prophylactic neck dissection since 2011.[18]

In our study, the cumulative frequency of local, regional, and distant recurrences was low (4.4%). Literature data is discordant in this regard. Shaha et al[19] found a higher recurrence rate of 13% in the group considered low-risk: age ≤45 years, tumor size <4 cm, no extrathyroidal extension, no metastatic disease, and papillary form.[19,20] This difference could be explained by the fact that their cohort was longer (from 1930 to 1985). In addition, the patients included in the Shaha et al[19] study did not all undergo total thyroidectomy.

The meta-analysis by Wang et al[21] showed, as in our work, that among 1740 patients, there was no difference in terms of recurrence among those who underwent total surgical procedure (thyroidectomy and prophylactic central neck dissection) and those who underwent total simple thyroidectomy without neck dissection (respectively, 4.3% and 7.9%; p = .08). Yet other studies,[19,22–25] including 1 report by Mazzaferri et al,[24] showed that the presence of lymph node metastases increased the recurrence rate in patients, >30 years after initial care. However, we note that the findings of that study were based on the analysis of tumors >1.5 cm without differentiating the patient risk group. Moreover, recurrences were only evaluated from the final nodal status without considering the preoperative lymph node presentation of the patient.

In our study, among all the patients, 1 death was directly related to thyroid cancer. In the literature, only

TABLE 2. Comparison of the clinical and tumor characteristics of the C+* and C-† patients.

Characteristics	C+ (n = 212)	C- (n = 83)	p value
Age, y	46.2 ± 13.5 (19–76)	49.1 ± 13.8 (19–79)	.1
Ratio men/women	(40/172) (18.9/81.1)	(14/69) (16.9/83.1)	.7
Tumor size, mm	23.4 ± 9.3 (10–40)	23.4 ± 9.1 (10–40)	.9
Papillary	170 (80.2)	61 (73.5)	.2
Vesicular	42 (19.8)	22 (26.5)	.2
Tumor distribution			
Unifocal	143 (67.5)	55 (66.2)	.8
Multifocal	69 (32.6)	28 (33.7)	.8
TNM classification‡			
pT1a	22 (10.4)	5 (6)	.36
pT1b	80 (37.7)	34 (41)	.8
pT2	110 (51.9)	44 (53)	.91
Lymph node distribution§			
N0	140 (66)	–	–
N1a	22 (10.4)	–	–
N1b	50 (23.6)	–	–
Complete remission	195 (92)	74 (89.2)	.4
Persistence	17 (8)	9 (10.8)	.4
Events			
Number	7 (3.3)	6 (7.2)	.1
Tumor event	2 (0.9)	0 (0)	–
Lymph node event	4 (1.9)	3 (3.6)	.41
Metastatic event	1 (0.5)	3 (3.6)	.068
Complications			
Permanent hypoparathyroidism	32 (15.1)	3 (3.6)	.006
Recurrent laryngeal nerve paralysis	12 (5.7)	1 (1.2)	.09
Spinal accessory nerve injury	4 (1.9)	0 (0)	–
Compressive hematoma	4 (1.9)	0 (0)	–
Cervical lymphatic effusion	6 (2.8)	0 (0)	–
Death	0 (0)	1 (1.2)	–

* C+ = total thyroidectomy with prophylactic neck dissection.
† C- = total thyroidectomy without prophylactic neck dissection.
‡ TNM classification = Tumor, Node, and Metastatic 2010 classification.
§ Lymph node distribution by TNM 2010 classification.
Note: Data are expressed as mean ± SD (range) and number (%).

the study by Tisell et al[26] in 195 patients showed a beneficial effect of prophylactic neck dissection on patients' survival. These results have never been confirmed since, whereas several studies have confirmed that prophylactic neck dissection does not yield any benefits in terms of survival.[19,22–25,27,28] These results seem surprising because, in the absence of "prophylactic" neck dissection, involved lymph nodes were left in 35% to 72.7% of the patients.[10,11] Two hypotheses have been proposed to explain the lack of benefit on survival despite the high rate of lymph node involvement (up to 80% of involved lymph nodes taking into account the micrometastases[29]).

The first is that a certain number of these metastases remain dormant and do not progress into more aggressive forms.[30]

The second potential explanation is related to the adjuvant therapy with ^{131}I, which could eliminate most of these lymph node micrometastases, thereby preventing their short-term, medium-term, or long-term repercussion on survival.[31]

Some authors have shown that although the absence of prophylactic neck dissection can favor the occurrence of lymph node recurrences,[32] these local recurrences do not worsen the prognosis of patients with a low-risk differentiated cancer.[24,33,34] These lymph node recurrences generally remain localized and are treated surgically. This explains why lymph node involvement is not recognized as a prognostic factor of survival and is therefore not included in the prognostic classification of patients with DTC, such as the age, distant metastases other than neck lymph nodes, extent of primary tumor, size of the tumor (AMES), age, tumor grade, extent, and size (AGES), and metastases, patient age, completeness of resection, local invasion, and tumor size (MACIS) classifications.[24,25,35,36]

In our study, hypoparathyroidism was the leading cause of surgical morbidity, and was significantly more frequent in case of prophylactic neck dissection (C+: 15.1%) than in its absence (C-: 3.6%; p = 0.006*). This frequency is higher than that reported in the literature, which ranged from 4% to 7% after neck dissection and from 0% to 2% without neck dissection dissection,[27,37] except for the only recent prospective study[38] in which the permanent hypoparathyroidism rate was significantly higher in case of prophylactic neck dissection (19.4% vs 8%; p = .02).

One hypothesis to help explain this difference is that the definition of hypoparathyroidism is not homogeneous in all studies. It is sometimes determined 6 months after the initial surgery[39,40] and sometimes 12 months after.[41] The difference of 1% to 12% between the reported rates of hypoparathyroidism by English surgeons evaluated by the British Association of Endocrine and Thyroid Surgeon, highlights this finding.[42]

Recurrent laryngeal nerve paralysis and spinal accessory nerve injury are the other 2 main causes of morbidity. Their frequencies were equivalent between C+ and C− groups. We found that the rate of recurrent laryngeal nerve paralysis reported in the literature was lower than that observed in our study only in case of neck dissection (5.7% found in our study vs 0 to 3.6% in the literature if C+; and 1.2% found in our study vs 0 to 2.7% in the literature for C−).[37,43] Regarding spinal accessory nerve injury, the rate is consistent with that of the literature (1.4% found in our study vs 1.7% in the literature).[44]

The prophylactic neck dissection is therefore likely the cause of significant morbidity[26,45–50] although there is no benefit in terms of survival. Some authors recommend performing a diagnostic neck dissection in the area where lymph node involvement is the most common, at the level VI compartment,[45,51,52] in order to determine the patient's lymph node status.[17] This allows for the adaptation of therapeutic management by further treatment with ^{131}I according to the presence or absence of lymph node involvement.

In our study, all patients, whether C+ or C−, received additional treatment with [131]I. At that time, treatment by radioactive iodine 131 therapy was indicated for thyroid cancers >10 mm with a good prognosis. The recommendations and indications for ^{131}I therapy have been refined over the years, and are different now to what they were during the study period. Indeed, we previously showed elsewhere that iodine 131 therapy does not impact on survival of patients with low-risk DTC classified pT1/pT2NXM0 after more than 10 years of follow-up.[53]

We also noted that iodine 131 treatment, which has the ability of destroying lymph node micrometastases especially in the absence of neck dissection, may explain why, in our population, recurrence rates are not very different between C+ and C− patients.

Although it would not be impossible to perform prospective studies to evaluate the potential utility of neck dissection in DTC, the number of patients required, the follow-up period, and the cost of these studies represent major obstacles.[54] A feasibility study was conducted in 2011 by the ATA[54] to assess the possibility of a randomized clinical trial on the interest of prophylactic central neck dissection in patients with DTC without any preoperatively documented lymph node involvement. However, it was calculated that 5840 patients would need to be included to show a decrease of 3% per year in the central neck dissection group, and, therefore, the implementation of such a trial was deemed impossible.

However, a prospective study,[38] with a total of 181 patients followed up for 60 months, demonstrated that a prophylactic neck dissection did not provide any benefit in terms of survival or a decrease in recurrences. On the contrary, it induced a significant increase in hypoparathyroidism (8% vs 19.4%; $p = .02$). The authors drew similar conclusions to ours concerning the lack of utility of prophylactic neck dissection in patients with a DTC without detectable lymph nodes.

CONCLUSIONS

In our study, the risk-benefit analysis of prophylactic neck dissection in patients with low-risk DTC, without lymph nodes detected in the preoperative examination, and treated by total thyroidectomy and ^{131}I, is not in favor of performing neck dissection because of its high morbidity and low rate of progression observed during follow-up.

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