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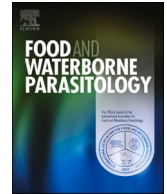
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Surrogates of foodborne and waterborne protozoan parasites: A review

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ABSTRACT

The protozoan parasites *Cryptosporidium parvum*, *Cyclospora cayetanensis*, and *Toxoplasma gondii* are major causes of waterborne and foodborne diseases worldwide. The assessment of their removal or inactivation during water treatment and food processing remains challenging, partly because research on these parasites is hindered by various economical, ethical, methodological, and biological constraints. To address public health concerns and gain new knowledge, researchers are increasingly seeking alternatives to the use of such pathogenic parasites. Over the past few decades, several non-pathogenic microorganisms and manufactured microparticles have been evaluated as potential surrogates of waterborne and foodborne protozoan parasites. Here, we review the surrogates that have been reported for *C. parvum*, *C. cayetanensis*, and *T. gondii* oocysts, and discuss their use and relevance to assess the transport, removal, and inactivation of these parasites in food and water matrices. Biological surrogates including non-human pathogenic *Eimeria* parasites, microorganisms found in water sources (anaerobic and aerobic spore-forming bacteria, algae), and non-biological surrogates (i.e. manufactured microparticles) have been identified. We emphasize that such surrogates have to be carefully selected and implemented depending on the parasite and the targeted application. *Eimeria* oocysts appear as promising surrogates to investigate in the future the pathogenic coccidian parasites *C. cayetanensis* and *T. gondii* that are the most challenging to work with.

1. Introduction

Foodborne and waterborne infectious diseases present a major public health issue. Besides the direct health impact on infected individuals, they can impair socioeconomic development at regional and national levels and can spread worldwide due to increasing travel opportunities and expanding international trade (Robertson et al., 2014). Foodborne and waterborne pathogens include bacteria, viruses, fungi, and parasites. Foodborne parasites are recognized as a neglected pathogen group (Robertson et al., 2018).

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Nevertheless, over the last decades, parasitic protozoa have been identified as a significant cause of waterborne and foodborne diseases (Dawson, 2005) with significant numbers of cases and exposed persons (Efstratiou et al., 2017; Guzman-Herrador et al., 2015; Ma et al., 2022; Wittler, 2023). A report from a FAO/WHO Expert Committee based on multi-criteria decision analysis identified 24 foodborne parasites of high global priorities (FAO/WHO, 2014). Among them, *Cryptosporidium parvum*, *Cyclospora cayetanensis*, and *Toxoplasma gondii* have been highlighted as parasitic protozoa of greatest concern in food production in Europe and worldwide (Bouwknegt et al., 2018; FAO/WHO, 2014).

These protozoa are coccidian parasites belonging to Apicomplexa, *T. gondii* and *C. cayetanensis* being the most phylogenetically closely related (Relman et al., 1996). *C. parvum* and *T. gondii* are zoonotic while *C. cayetanensis* is human-restricted (Votýpka et al., 2017). They exist as environmentally resistant oocyst stages, which originate from the feces of infected hosts and are transmitted to humans through the fecal-oral route, either directly (person-to-person or animal-to-person contact) or indirectly (through contaminated waters or foods). Due to the numerous services that water renders to humans (e.g. recreational activities, food and drinking water production), its quality is crucial. Nevertheless, it is the receptacle of multiple contaminants, originating from human activities, terrestrial contaminations (such as animal waste) and/or inadequate wastewater treatment (Dufour et al., 2012; United Nations World Water Assessment Program, 2017). The zoonotic parasites *Cryptosporidium* spp. and *T. gondii* are known to be widely spread in the environment by infected animals and can disseminate in aquatic environments (Li et al., 2022; Ziemer et al., 2010; Hutchison et al., 2005). As enteric pathogens, *Cryptosporidium* spp. and *C. cayetanensis* are widespread in wastewater (Almeria et al., 2019; Zahedi et al., 2018). Hence, these three parasites are of particular concern in terms of water quality for food and water production. Global changes and extreme weather events, especially intense raining, increase the probability of water contamination by these parasites through terrestrial runoff in the watersheds and/or wastewater treatment plant bypasses. *C. parvum*, *C. cayetanensis*, and *T. gondii* oocysts do not replicate in food and water but they can persist and survive for long periods under favorable environmental conditions, e.g. low temperatures and exposure to sunlight, and no desiccation (Ortega et al., 1998; Robertson et al., 1992; Shapiro et al., 2019; King et al., 2005, 2008). Although these parasites can be inactivated by certain UV and heat treatments, they may be resistant to: i) chemicals typically used as disinfectant in potable water or food industries, or ii) to some freezing conditions and non-thermal processes used by food processors (Erickson and Ortega, 2006; Gérard et al., 2019; Mirza Alizadeh et al., 2018; Hijnen et al., 2006). Hence, these three parasites constitute an issue for prevention and control that needs to be considered by all the stakeholders of food and water sectors (producers, processors, regulators, public health authorities) (Robertson et al., 2020).

During the last decades, research on foodborne and waterborne protozoan parasites has led to a significant improvement of the methods to detect and characterize them in contaminated sources (Bartosova et al., 2021; Berrouch et al., 2020; Fradette et al., 2022; Slana et al., 2021). Unfortunately, human exposure to these parasites through food and water remains poorly known because data on the fate and behavior of their oocysts in contaminated sources are relatively scarce. Such data are crucial to enable risk managers to make a relevant assessment of the risk associated with these pathogens. However, they are difficult to obtain because studies on protozoan oocysts are hampered by economical, ethical, methodological, and biological constraints. To address these issues, efforts are increasing to propose surrogates to protozoan parasites for research and development purposes.

Surrogates are used in research as accessible, convenient, and tractable systems to study a particular area or a biology question in bioterrorism, water quality, occupational health/infection control, food safety, water microbiology, and industrial microbiology (Russell et al., 2017; Sinclair et al., 2012). A surrogate can be defined as a non-pathogenic organism, particle, or substance used to study the fate, removal or inactivation of a target pathogen in a specific environment or to define performance of specific process. Surrogates can be divided into two distinct groups: biological surrogates and non-biological ones. Biological surrogates are live organisms that are relatively well-characterized and for which viability can be easily assessed. They can be plants, microorganisms (e.g. bacteria, yeasts), or animals (e.g., flies, fishes, worms, and rodents) (Russell et al., 2017). Example of non-biological surrogates are inert microspheres. Turbidity, which can be used as an indicator of water quality regarding protozoan contamination (*Cryptosporidium*), is not addressed in this review for two key reasons: i) turbidity includes different particles with ill-defined characteristics in terms of size, shape and charge; ii) turbidity values do not provide a direct measurement of treatment efficiency. Hence, turbidity is not considered as a suitable surrogate for understanding the behavior of parasites and assessing treatment performance. Regarding their target(s), surrogates are typically chosen because (i) they can be readily produced in larger quantities and are ideally commercially available at low cost, (ii) they are non-pathogenic to humans and safe to handle, (iii) they display similar physical and/or biochemical features (e.g. size, shape, density, surface properties), (iv) they behave similarly to the target and/or show similar inactivation kinetics under given conditions (Montagnes et al., 2012; Russell et al., 2017). Non-biological surrogates are typically used to assess the transport or removal of the parasites while biological ones are intended to evaluate their survival and efficiency of inactivation treatments. Surrogates have been mainly evaluated in water, and there is a notable lack of research in more complex matrices such as food. Several types of surrogates have been described for *C. parvum* oocysts, which have received more attention than *C. cayetanensis* and *T. gondii* oocysts.

The main purpose of this review is to describe and discuss the features and applications of different surrogates for the foodborne and waterborne oocysts of *C. parvum*, *C. cayetanensis* and *T. gondii*. This review could serve as a guide for researchers in the selection of surrogates for protozoan parasites depending on the intended applications.

2. Target parasites

2.1. *Cryptosporidium parvum*

Cryptosporidium spp. are widespread intestinal parasites that can be transmitted to humans through the fecal-oral route and

responsible for cryptosporidiosis. Human infections have been reported all around the world and are mainly due to *C. parvum*, which has both human and animal reservoirs (zoonotic species), and *C. hominis*, which appears to be more restricted to humans. Human contamination occurs through ingestion of oocysts that are usually excreted in high numbers in the feces of infected hosts and are immediately infectious upon excretion. The infective dose is low, ranging from 100 to 300 oocysts for *C. parvum* in immunocompetent people (Dupont et al., 1995; Okhuysen et al., 2002). Cryptosporidiosis is an enteric disease that is self-limiting in immunocompetent individuals. The infection is characterized by diarrhea and other symptoms like abdominal pain, weight loss, nausea, vomiting, fever, and malaise (Chalmers and Davies, 2010). These symptoms can be life-threatening in immunocompromised, very young, and elderly patients. Infection rates vary from 0.6 to 2% in industrialized countries and from 4 to 32% in low-middle income countries. Numerous waterborne outbreaks have been reported in humans, with one of the largest incidents occurring in 1993 in Milwaukee, USA, resulting in over 400,000 infections and 69 deaths (Costa et al., 2020, 2022; Mac Kenzie et al., 1994). Furthermore, outbreaks of *C. parvum* have been traced back to swimming pools or recreational facilities (Chalmers, 2012). Foodborne outbreaks have been notified, mainly due to the consumption of fresh produce (Nasser, 2022), unpasteurized cider or cow's milk (Costa et al., 2022; Ma et al., 2022). *Cryptosporidium* spp. oocysts have also been reported in the gills and tissues of edible molluscan shellfish, including clams, cockles, mussels, and oysters worldwide (Hohweyer et al., 2013). This suggests that the parasite can be transmitted to humans by eating raw or undercooked shellfish, but to date, no infection due to contaminated shellfish has been reported. In the water field, *Cryptosporidium* oocysts are of key concern to both water utilities and public health authorities due to i) their significant prevalence in surface and treated wastewaters (Moulin et al., 2010), ii) their ability to survive for long periods in aquatic environments (Darai et al., 2021; Farrell et al., 2021), iii) their resistance to drinking water treatments such as chlorination (Korich et al., 1990), iv) their ability to escape filtration (Wood et al., 2019), and v) the lack of effective drugs to treat cryptosporidiosis (Khan and Witola, 2023). *C. parvum* oocysts are spherical and measure 4 to 6 μm in diameter. They contain four potential infectious sporozoites surrounded by the oocyst wall (Table 1). The oocyst wall (50–80 nm thick) has a multilayer structure that plays a crucial role in the oocyst resistance to environmental factors and inactivation treatments (Jenkins et al., 2010). Laboratory-scale experiments usually rely on *C. parvum* oocysts from commercial providers or academic laboratories, which produce oocysts in experimentally contaminated calves. The number of provided oocysts varies between 10^6 and 10^{11} per gram of stool (Fayer et al., 1997), which are suitable quantities for most studies. A few *in vitro* oocyst production systems exist; however, they are not reliable alternatives to animal infection due to their much lower oocyst yield (Ramírez-Flores et al., 2022). Mouse bioassay was traditionally considered as the gold standard method for assessing *C. parvum* oocyst infectivity. However, alternative *in vitro* cell culture methods have been developed to avoid the constraints and limits associated with animal models. These *in vitro* methods are now frequently used for testing drugs and decontamination treatments, and evaluating oocyst survival in environmental and food matrices (Kubina et al., 2021; Rousseau et al., 2018; Feix et al., 2023). Viability assays based on mRNA reverse-transcriptase qPCR assays have been also proposed, but they led to overestimation of the viability (Rousseau et al., 2018; Travillé et al., 2016; Kim et al., 2021).

2.2. *Cyclospora cayetanensis*

C. cayetanensis is a human-specific coccidian parasite that causes cyclosporiasis, a disease that is becoming a global issue and a significant cause of morbidity and mortality, especially in immunocompromised individuals. Although the infectious dose of *C. cayetanensis* is not well established, epidemiological data have suggested that it is low, as few as ten oocysts (Sterling and Ortega, 1999; Villena, 2023). Infected persons excrete low to modest numbers of oocysts in their stool, which further require days to weeks to

Table 1
Main characteristics of *Cryptosporidium parvum*, *Cyclospora cayetanensis*, and *Toxoplasma gondii* oocysts.

Oocysts	<i>Cryptosporidium parvum</i>	<i>Cyclospora cayetanensis</i>	<i>Toxoplasma gondii</i>
Shedding hosts	Mainly ruminant & humans	Humans	Felids
Transmission route to humans	Fecal–oral	Fecal–oral	Fecal–oral
Shape	Spherical	Spherical	Subspherical to ellipsoidal
Size [Length/width ratio]	4–6 μm [~1]	8–10 μm [~1]	11 \times 13 μm [1.2]
Content	4 sporozoites	2 sporocysts \times 2 sporozoites	2 sporocysts \times 4 sporozoites
Characteristics of the outermost surface			
■ Structure [Thickness]	■ Trilayered oocyst wall [50–80 nm]	■ Bilayered oocyst wall [~110 nm]	■ Bilayered oocyst wall [50–100 nm]
■ Main composition of the outermost layer	■ Carbohydrates ^a > proteins > lipids	■ No published data	■ Proteins ^b > lipids > carbohydrates
Zeta potential	–25.0 mV in deionized H ₂ O –12.0 mV in tap water	No published data	–43.7 mV in ultrapure H ₂ O –16.1 mV in freshwater –1.8 mV in estuarine water –2.8 mV in seawater
Specific gravity	1.009–1.08	No published data	1.050–1.100
Settling velocity ($\mu\text{m/s}$)	0.35–1.31	No published data	No published data
Reliable oocyst production systems	Bioassay in calves	None	Bioassay in cats
Infectivity/viability assays	Mouse bioassay/ <i>In vitro</i> cell culture	Sporulation rate	Mouse bioassay/ <i>In vitro</i> cell culture

^a Glucose-rich glycolyx.

^b Cys- and Tyr-rich proteins.

become infectious (Strausbaugh and Herwaldt, 2000; personal communication). Thus, direct person-to-person transmission is unlikely and transmission to humans is mainly indirect, though the consumption of contaminated food. The parasite leads to mild to moderate, self-limiting diarrhea in immunocompetent people but can cause severe intestinal disease and prolonged diarrhea in immunocompromised individuals (Almeria et al., 2019). Although *C. cayetanensis* occurs worldwide, it is most common in tropical and subtropical areas. Endemic cases of cyclosporiasis occur mainly seasonally all around the world, with most cases recorded from May to August (Centers for Disease Control and Prevention, 2022). Most outbreaks were reported in the United States and Canada and have been linked to the consumption of imported fresh produce such as berries, mesclun lettuce, snow peas, basil, and cilantro from endemic areas. More occasionally, some outbreaks were related to travelers from regions where the parasite is endemic (Hadjilouka and Tsaltas, 2020). Overall, the true prevalence of cyclosporiasis remains largely unknown due to the possibility of asymptomatic infections or unreported cases or undiagnosed since cyclosporiasis is unrecognized by physicians and needs specific stain coloration for microscopic analyses.

The oocysts of *C. cayetanensis* are spherical and measure between 8 and 10 μm in diameter (Table 1). They become infective through sporulation in 7–14 days under optimal conditions of temperature, oxygenation, and hygrometry, leading to two sporocysts, each enclosing two infective sporozoites (Ortega et al., 1993, 1994). The oocyst wall is bilayered and ~ 110 nm thick (Ortega et al., 1994). It probably contributes to the oocyst survival under harsh conditions including disinfection treatments (Mansfield and Gajadhar, 2004; Rabold et al., 1994; Siński and Behnke, 2004; Soave and Johnson, 1995). As *C. cayetanensis* is strictly limited to humans (Bartosova et al., 2021), access to oocysts for laboratory investigations is very difficult. The most reliable method to assess the viability of the parasite is the determination of the oocyst sporulation rate, which can only be obtained within a short timeframe following the shedding of oocysts.

2.3. *Toxoplasma gondii*

T. gondii is a protozoan parasite with a worldwide distribution. Approximately 30% of the human population are infected although *T. gondii* seroprevalence varies significantly across regions and countries (Dubey, 2010). Very few outbreaks have been reported to date because most infections are asymptomatic and remain therefore undiagnosed. Severe illness is mainly reported in fetuses and people with weakened immune system such as those with AIDS, cancer, or transplant surgery history (Robert-Gangneux and Darde, 2012). The parasite has a complex life cycle, with sexual reproduction occurring only in felids, and asexual phases in both felids and other warm-blooded animals including humans (Vanwormer et al., 2013). Humans can become infected by ingesting: i) oocysts either directly by contact with felid waste or indirectly by consuming vegetables, fruits, and water contaminated with sporulated oocysts; ii) by eating raw or undercooked meat containing tissue cysts of the parasite (Meireles et al., 2015). While transmission to humans through tissue cysts is well known and can be easily prevented with proper meat cooking and kitchen hygiene, the transmission of oocysts through food and water remains underestimated and challenging to prevent due to: i) their large distribution in aquatic environments; ii) their resistance to common inactivation procedures. Though the infectious dose of *T. gondii* oocysts in humans is not established, studies in rodent models suggest that it might be between 1 and 10 oocysts (Dubey, 2009). In this context, *T. gondii* oocysts pose a significant public health concern and their management in food and water industries is challenging.

Oocysts released into the environment are unsporulated and therefore non-infectious (Dubey, 1998). Oocysts then acquire infectivity through sporulation, usually within a week, under appropriate conditions of temperature, humidity, and oxygenation (Frenkel and Dubey, 1972; Tenter, 2009). The sporulated oocyst has a subspherical to ellipsoidal shape, measures approximately 11×13 μm (Freppel et al., 2019) and possesses a resilient, bilayered wall measuring 50–100 nm in thickness (Table 1). This wall shields two sporocysts, each enclosing four infective sporozoites, which are protected from harsh environmental conditions and commonly used disinfectants (Dubey, 1998; Freppel et al., 2019; Vanwormer et al., 2013). The *T. gondii* oocyst wall is thought to be similar in structure and biochemical composition to the wall of other coccidian oocysts including *Eimeria* parasites and probably *C. cayetanensis* (Belli et al., 2006).

In addition to the potential biohazard of handling *T. gondii* oocysts, oocyst production requires the infection of laboratory cats. Besides ethical concerns and the complexity of implementing such assays, the quantity of oocysts a cat can produce depends on several factors including its age and immune status at the time of the infection (Dubey and Beattie, 1988), and the strain and infectious stage of the parasite (Dubey, 1995, 2009). Recent efforts to produce oocysts *in vitro* or in “felinised” mice do not give similar oocyst yields as obtained in cats (Martorelli Di Genova et al., 2019). To date, mouse bioassay remains the most reliable method over *in vitro* cell culture techniques to assess the infectivity of oocysts from environmental matrices. However, it requires specific facilities and authorization, and also raises ethical concerns (Rousseau et al., 2018). As for *C. parvum* oocysts, viability assays based on mRNA detection were also described (Rousseau et al., 2018; Kim et al., 2021). All these drawbacks render investigations on *T. gondii* oocysts challenging.

3. Biological surrogates

Many studies have sought to identify microorganisms that are ubiquitous in the environment and can be easily produced and/or cultured in laboratories to serve as surrogates for protozoan parasites (Table 2). Among them, the anaerobic and aerobic spore-forming bacteria including *Clostridium* and *Bacillus* genera respectively, are the most studied as *Cryptosporidium* oocysts surrogates. To a lesser extent, microalgae and oocysts of the non-human pathogenic *Eimeria* parasites have also been proposed as surrogates for *Cryptosporidium*, *T. gondii* and/or *C. cayetanensis* oocysts.

Table 2

Main characteristics of surrogates described in this review.

Surrogate types	Species [host] - Materials (for microspheres)	Pathogenicity to humans	Stage & Shape	Size	Characteristics of the outermost surface ■ Structure [Thickness] ■ Main composition of the outermost layer	Production	Methods to measure removal (R) and/or inactivation (I)
Anaerobic SFB	<i>Clostridium perfringens</i>	Y/N ^a	Spheric spores	1–1.5 µm ^c	■ Monolayered coat [10–100 nm] ■ Proteins > lipids = carbohydrates	Culture	R/I: Culture; Spectrophotometry
Aerobic SFB	<i>Bacillus subtilis</i>	N	Ellipsoid spores	1.0–1.6 × 0.7–1 µm	■ Bilayered coat [10–100 nm] ■ Proteins >> carbohydrates	Culture	R/I: Culture; Spectrophotometry
Microalgae							
Cyanobacteriota	<i>Microcystis viridis</i> <i>Microcystis aeruginosa</i>	N ^b N ^b	Spheric cells	3–8 µm ^c 2–5 µm ^c	■ Multiple layered membrane [20–40 nm] ■ Carbohydrates	Culture	R: Microscopy
Chlorophyta	<i>Selenastrum capricornutum</i>	N	Crescent cells	11 µm x 2.5 µm	■ Bilayered wall [200 nm] ■ Proteins > carbohydrates > lipids	Culture	
Diatoms	<i>Stephanodiscus hantzschii</i> <i>Nitzschia</i> spp.	N N	Spheric cells Linear cells	4–7 µm ^c 3–5 µm x 15–70 µm	■ Monolayered wall [15–20 nm] ■ Silica	Culture	
<i>Eimeria</i> spp. coccidia	<i>Eimeria acervulina</i> [chicken] <i>Eimeria papillata</i> [mouse]	N N	Ovoid oocysts Ovoid oocysts	17 × 21 µm 22 × 26 µm	■ Bilayered wall [200–290 nm] ■ Proteins > lipids > carbohydrates ■ Bilayered wall [180–240 nm] ■ Proteins > lipids > carbohydrates	Chicken infection Mouse infection	R: Real-time PCR based methods; microscopy I: Chicken bioassays R: Real-time PCR based methods; microscopy I: Mouse bioassays
Microspheres	Latex/polystyrene	N	Spheric beads	1–10 µm ^c	■ Not applicable ■ Uncoated or protein-coated surface	Manufacturing	R: EM and/or solid-phase/flow cytometry

^a Y/N, i.e. depending on the strain.^b *Microcystis* species can release toxins for humans and animals upon disruption and death.^c Dimensions correspond to the diameter. SFB: spore-forming bacteria. EM: Epifluorescence microscopy.

Table 3Surrogates of *Cryptosporidium parvum*, *Cyclospora cayentanensis*, and *Toxoplasma gondii* oocysts used in various applications.

Target parasite	Surrogate	Applications [surrogate's species if specified]	Surrogate detection methods	References	
<i>Cryptosporidium parvum</i>	BIOLOGICAL - Spores of anaerobic spore-forming bacteria	Raw water monitoring	Culture	Chauret et al., 1995	
		Removal assessment at drinking water treatment plant	Culture	Chauret et al., 1995; Hijnen et al., 2004*; Galofré et al., 2004; Payment and Franco, 1993; Ribas et al., 2000; Schijven et al., 2003*	
		Conventional treatment removal ^a	Culture	Monis et al., 2017	
		Filtration removal (Slow sand)	Culture	Hijnen et al., 2004, 2007	
		Transport (granular porous media)	Culture	Hijnen et al., 2005	
		Chemical's inactivation (chlorine dioxide, mixed-oxidant disinfectant, free chlorine, ozone)	Culture	Chauret et al., 2001; Hijnen et al., 2002; Venczel et al., 1997; Verhille et al., 2003*	
		Raw water monitoring	Culture	Headd and Bradford, 2016	
	BIOLOGICAL - Spores of aerobic spore-forming bacteria	Removal assessment at drinking water treatment plant	Culture	Brown and Cornwell, 2007*; Cornwell et al., 2003*; Galofré et al., 2004; Mazoua and Chauveheid, 2005; Nieminski et al., 2000; Rice et al., 1994*	
		Conventional treatment removal	Culture	Rice et al., 1994*	
		Filtration removal (granular media) [<i>B. subtilis</i>]	Culture	Emelko, 2001	
		Transport (granular porous media) [<i>B. subtilis</i>]	Spectrophotometry	Bradford et al., 2016; Kim et al., 2010	
		Chemical's inactivation (ozone) – [<i>B. subtilis</i>]	Culture	Facile, 2000*	
		Physical's inactivation (pulsed-UV light) [<i>B. megaterium</i> , <i>B. cereus</i> , <i>B. pumilus</i>]	Culture	Garvey et al., 2013	
		Removal assessment at drinking water treatment plant	Microscopy	Akiba et al., 2002*	
	BIOLOGICAL - Microalgae	[Total blue/green/brown algae]			
		Conventional treatment removal – [Total microalgae]	Flow cytometry	Monis et al., 2017	
		[<i>Microcystis viridis</i> , <i>M. aeruginosa</i> , <i>Selenastrum capricornutum</i>]	Microscopy	Akiba et al., 2002	
		Filtration removal (slow sand) - [<i>Stephanodiscus hantzschii</i>]	Microscopy	Hijnen et al., 2007	
		Inactivation in oysters (accumulation/depuration) [<i>E. acervulina</i>]	Poultry bioassays	Lee and Lee, 2003*	
	BIOLOGICAL – <i>Eimeria</i> oocysts	Control of oocysts & DNA extraction [<i>E. papillata</i>]	Real-time qPCR	Lalonde and Gajadhar, 2016a, 2016b*	
NON BIOLOGICAL - FCM		Filtration removal - (dual media) (conventional and biological media)	EM	Brown and Emelko, 2009; Emelko et al., 2003	
	Filtration removal (conventional and sand media)		Amburgey et al., 2005; Emelko and Huck, 2004		

(continued on next page)

Table 3 (continued)

Target parasite	Surrogate	Applications [surrogate's species if specified]	Surrogate detection methods	References
		Transport (granular porous media)	Flow cytometry and/or EM	Dai and Hozalski, 2003; Harvey et al., 2008; Mohanram et al., 2010; Pang et al., 2012; Metge et al., 2007; Tufenkji et al., 2004; Tufenkji and Elimelech, 2005
	NON BIOLOGICAL - F-GC-CM	Chemical's inactivation (ozone) Conventional treatment removal	Flow cytometry EM	Tang et al., 2005*; Mariñas et al., 1999* Monis et al., 2017
		Coagulation and filtration removal Filtration removal (granular media)	EM EM and/or solid-phase/flow cytometry	Liu et al., 2019a* Liu et al., 2019b; Pang et al., 2012, 2022*; Stevenson et al., 2015; Zhang et al., 2017*
	NON BIOLOGICAL - F-BC-CM	Domestic filtration removal Filtration removal (granular media)	EM EM and/or solid-phase cytometry	Pang et al., 2021* Pang et al., 2012; Stevenson et al., 2015
<i>Cyclospora cayentanensis</i>	BIOLOGICAL - <i>Eimeria</i> oocysts	Physical's inactivation (HPP, UV radiation, washing, freezing, heating, gamma irradiation) Control of oocysts & DNA extraction [<i>E. acervulina</i>]	Poultry bioassays Real-time qPCR	Kniel et al., 2007*; Lee and Lee, 2001* Lalonde and Gajadhar, 2016a, 2016b*
<i>Toxoplasma gondii</i>	BIOLOGICAL - <i>Eimeria</i> oocysts	Inactivation in oysters (accumulation/depuration) [<i>E. acervulina</i>] Control of oocysts & DNA extraction [<i>E. papillata</i>]	Poultry bioassays Real-time qPCR	Lee and Lee, 2003* Lalonde and Gajadhar, 2016a, 2016b*
	NON BIOLOGICAL -FCM	Transport [water] Development of filtration-based concentration method	Flow cytometry and/or EM	Shapiro et al., 2010a* Shapiro et al., 2010b

^a Conventional treatment, i.e. coagulation/sedimentation/granular media filtration. * No simultaneous comparison was made between the target and the surrogate. FCM: fluorescent carboxylated microspheres. F-GC/BC-CM: fluorescent carboxylated microspheres coated with glycoproteins (G) or biotin (B). HPP: High hydrostatic pressure. EM: Epifluorescence microscopy.

3.1. Anaerobic spore-forming bacteria

Clostridium spp. are Gram-positive anaerobic bacteria that produce spores in natural conditions (soil and intestine). More than 300 species of *Clostridium* have been described (List of prokaryotic names with standing in nomenclature – genus *Clostridium*, <https://lpsn.dsmz.de/search?word=clostridium>), with the majority of them being harmless. However, a few species have significant impact on public health, causing severe diseases such as tetanus (*C. tetani*), botulism (*C. botulinum*) and gastrointestinal illnesses (*C. difficile*, *C. perfringens*) (Mehdizadeh Gohari et al., 2021; Gibbs, 2009). Spores are shed in feces and can persist for long periods in waters (Stelma, 2018). Consequently, spores of *Clostridium* spp., and more specifically of *C. perfringens* (Table 2), are considered as fecal indicators of enteric pathogens in water resources, including *Cryptosporidium* oocysts (Table 3). In raw surface waters used for drinking water production, *C. perfringens* spores were equally or more frequently detected than *Cryptosporidium* oocysts (Chauret et al., 1995; Nieminski et al., 2000; Payment and Franco, 1993; Ribas et al., 2000). However, only one study found a positive correlation between the concentrations of oocysts and *Clostridium* spores in raw waters (Payment and Franco, 1993). In most studies, *C. perfringens* spores were 1 to 3 log₁₀ more abundant than *Cryptosporidium* oocysts and no correlation was observed (Chauret et al., 1995; Galofré et al., 2004; Mazoua and Chauveheid, 2005; Nieminski et al., 2000; Ribas et al., 2000). The specificities of the sampling sites, the seasonal presence of *Cryptosporidium* oocysts in the environment and the performances of the different methods applied to detect oocysts in waters could explain discrepancies between studies. Overall, these data demonstrate that *C. perfringens* spores are not reliable indicators to predict the contamination of raw waters by *Cryptosporidium* oocysts. *C. perfringens* spores range between 1 and 1.5 µm in diameter and are able to resist some physical treatments (wet and dry heat, desiccation, UV and γ-radiation), and chemical factors such as enzymes, genotoxic agents, oxidizing agents, aldehydes, acids, and alkalis (Setlow, 2014). Because of their structural features, they have been proposed as surrogates of *Cryptosporidium* oocysts to assess drinking water treatment performances, and more specifically during removal treatments (Table 3). However, comparative studies performed on industrial sites and based on indigenous concentrations of the spores and oocysts showed contradictory results: *C. perfringens* spores were either removed at higher levels than *Cryptosporidium* oocysts (1.5 to 4 times higher decimal reduction, (Chauret et al., 1995; Galofré et al., 2004; Payment and Franco, 1993), or at lower levels (1 to 1.8 times lower decimal reduction; Chauret et al., 1995; Ribas et al., 2000). These different results are probably due to the large diversity of applied drinking water treatments (settling, filtration and disinfection modes and numbers). The low and/or non-detectable concentrations of both microorganisms in raw and treated waters respectively, also represent a limit to accurately measure a decimal reduction and could contribute to such opposite results. To circumvent these drawbacks, other studies assessed slow sand filtration by spiking the two microorganisms at controlled high doses (10³ to 10⁵/mL) in the influent waters of a mature pilot plant filter or sand columns. In these conditions, decimal reduction ranging from 2.3 to 3.9 log₁₀ for *C. perfringens* spores and from 5.3 to >6.5 log₁₀ for *Cryptosporidium* oocysts could be measured (Hijnen et al., 2004, 2007), demonstrating that spores were less efficiently removed than the parasites. Such findings were also found for *C. sporogenes* spores that showed up to 2.5 log₁₀ removal following conventional treatment with dual media filtration at pilot-scale, while up to 4 log₁₀ were observed for *C. parvum* oocysts (Monis et al., 2017). Hence, *Clostridium* spp. spores could be relevant surrogates of *Cryptosporidium* oocysts to assess removal performance in controlled conditions but appeared not recommended for evaluation on industrial sites.

The features of *C. perfringens* spores also led some authors to evaluate their potential to address the transport of *C. parvum* oocysts in two different soil types (Table 3): gravel aquifer and fine dune sand (Hijnen et al., 2005). They showed that the elimination rate of *C. parvum* oocysts was higher than *C. perfringens* spores in the gravel soil with a removal of >6.7 log₁₀ and 2.4 log₁₀, respectively. Conversely, in the sandy soil, *C. parvum* showed an elimination rate >3 log₁₀ while *C. perfringens* spores removal was >4.5 log₁₀. Hence, depending on the soil type, *C. perfringens* can act as a conservative surrogate for *C. parvum* oocyst transport. This transport/retention behavior difference is not surprising considering that the size, the surface properties of the microorganisms and the soil characteristics govern such mechanisms. Therefore, using surrogates to model the pathogen transports needs to be carefully evaluated, to ensure that the surrogate is suitable for this application.

Clostridium spp. spores have finally been evaluated as surrogates of *C. parvum* oocysts in oxidant-induced inactivation studies at laboratory scale (Table 3). Spores of *Clostridium* spp. and specifically *C. sporogenes* were found to be 3 to 100,000 times more sensitive than *C. parvum* oocysts to chlorine dioxide (Chauret et al., 2001; Verhille et al., 2003). It was also shown that the spores of *C. perfringens* were more sensitive than oocysts to 5 mg/L free chlorine exposure for 4 h (1.4 log₁₀ inactivation vs. no inactivation respectively), but displayed similar high levels of inactivation (>3 log₁₀) following application of mixed-oxidant solution in the same conditions (Venczel et al., 1997). In addition, Hijnen et al. (2002) revealed that ozone at CT (concentration x exposure time) ranging between 0 and 15 mg·min·L⁻¹ was effective in inactivating both *C. parvum* oocysts and *C. perfringens* spores, with similar inactivation rates observed at +10 °C (Chick-Watson modeling, k constant = 0.14 ± 0.014; P < 0.001 and 0.25 ± 0.01; P < 0.001 respectively). These findings suggest that spores of *Clostridium perfringens* could be a suitable surrogate to assess inactivation of *C. parvum* oocysts by ozone and mixed-oxidant solution. However, they appear to be irrelevant for other oxidant treatments such as free chlorine and chlorine dioxide and the question remains to be addressed for other inactivation treatments.

3.2. Aerobic spore-forming bacteria

The aerobic spore-forming bacteria (ASFB) are Gram-positive bacteria that can be found in a wide range of environmental niches. Among them, bacteria from the *Bacillus* genera are usually harmless for humans. *Bacillus* spp. are easily cultured in laboratories and produce ovoid or ellipsoid spores (up to 10⁹ spores/mL) of 1.0–1.6 µm length and 0.7–1 µm width depending on the species and sporulation conditions (Table 2). These spores are known to resist heat, cold, radiation, desiccation, and disinfectants (Carrera et al., 2007; Turnbull, 1996; Xu Zhou et al., 2017).

Due to their ubiquitous nature, spores of ASFB (ASFBsp) occur in almost all surface waters, usually at high concentrations. These features enable monitoring 4 to 5 \log_{10} removal in drinking water treatment plants, hence making them the most relevant direct indicator for such assessment (Brown and Cornwell, 2007; Nieminski et al., 2000; Rice et al., 1994). Their ability to be used as surrogates of *Cryptosporidium* oocysts to identify contaminated water resources has been previously discussed (Headd and Bradford, 2016). It was concluded that not all ASFBsp appear suitable to mimic oocysts' environmental fate and that a single ASFBsp would probably not allow to answer all questions. Besides, as it has been generally observed for spores of *Clostridium* spp., ASFBsp are more frequently present at higher concentrations (3 to 6 \log_{10} times) than *Cryptosporidium* spp. oocysts in raw waters, and ASFBsp-oocyst correlation has not been demonstrated (Galofré et al., 2004; Mazoua and Chauveheid, 2005; Nieminski et al., 2000). In the few studies that compared ASFBsp to *Cryptosporidium* oocyst removals in drinking water plants (Table 3), contradictory results were obtained: Galofré et al. (2004) showed lower removal of ASFBsp than oocysts (2.44 vs. 3.28 \log_{10} reduction) while other studies found a higher reduction (2.0 to 4.0 vs. 1.5 to 3.8 \log_{10} reduction; Mazoua and Chauveheid, 2005; Nieminski et al., 2000). The \log_{10} reductions measured for ASFBsp in these latter studies are consistent with other works that assessed only ASFBsp removal in water treatment plants based on indigenous ASFBsp concentrations throughout the process (1.68 to 4.89 \log_{10} reduction; Brown and Cornwell, 2007; Cornwell et al., 2003; Rice et al., 1994). Such results were also obtained in laboratory- and pilot-scales experiments (1.0 to 2.42 \log_{10} reduction; Rice et al., 1994). Hence, as previously discussed for *Clostridium* spores, the higher reduction rates observed for ASFBsp compared to *Cryptosporidium* oocysts in studies performed on industrial sites are probably linked to the low level of *Cryptosporidium* oocysts across the process and the limit of the detection method that enables to calculate reliable \log_{10} reduction for oocysts. Such limits in the \log_{10} removal interpretations have been highlighted at pilot- and full-scale plants, demonstrating an underestimation of *Cryptosporidium* oocysts removal during conventional (flocculation-sedimentation-filtration) and direct-filtration (i.e. w/o sedimentation) treatments (Nieminski and Ongert, 1995). One study evaluated the correlation between *Bacillus subtilis* spores (Table 3) and *C. parvum* oocysts removal by granular media filtration in pilot plant experiments and showed no relationship ($r^2 < 0.5$) indicating that these spores were not suitable surrogates to provide reliable quantitative values of oocyst removal (Emelko, 2001). However, due to their natural presence at measurable levels throughout the drinking water treatment process, ASFBsp (including *B. subtilis*) represent interesting conservative indicators to inform on *Cryptosporidium* oocyst removal at industrial sites (worst-case scenario): if a process can achieve a significant \log_{10} reduction ($>3 \log_{10}$) of spores, it is likely effective against *Cryptosporidium* oocysts (Brown and Cornwell, 2007). *Bacillus subtilis* spores were also demonstrated to be a conservative surrogate for *C. parvum* oocyst transport and retention over a range of physicochemical conditions (Bradford et al., 2016; Kim et al., 2010).

Garvey et al. (2013) compared the inactivation of endospores of different *Bacillus* species and of *C. parvum* oocysts submitted to increasing doses of pulsed-UV (PUV) (Table 3). On one hand, they showed that irrespective of the PUV dose, *B. pumilus* spores were always more sensitive than *B. megaterium* and *B. cereus* spores, and *C. parvum* oocysts. On the other hand, for PUV doses ranging from 6.48 to 12.96 $\mu\text{J}/\text{cm}^2$, *B. megaterium* and *B. cereus* spores displayed similar or lower inactivation levels (4.03 to 5.65 \log_{10}) than *C. parvum* oocysts (4.2 to 5.9 \log_{10}). Therefore, ASFBsp are interesting surrogates of *C. parvum* oocysts to safely investigate the performance of PUV treatments. By comparing the CT values they obtained for *B. subtilis* spores to published data for *C. parvum*, Facile (2000) suggested that bacterial spores could be used as a surrogate to assess inactivation of oocysts induced by ozone. Hence, depending on the species, the strain and their age, the spores of *Bacillus* spp. display different features (size, surface properties, resistance) (Headd and Bradford, 2016; La Carbona, personal communication) that will make them good surrogates or not, to assess physical and chemical treatments. Consequently, the selection of *Bacillus* spores species as a surrogate of oocysts for a given inactivation treatment needs to be documented before use. Their relevance as surrogates for other inactivation treatments remains to be addressed.

3.3. Microalgae

Microalgae encompass a diverse group of non-pathogenic prokaryotic and eukaryotic microorganisms showing a high adaptability capacity (Table 2). Prokaryotic microalgae consist of a single phylum formerly known as cyanobacteria (i.e. blue algae) but recently recalled cyanobacteriota (Oren et al., 2022). Eukaryotic microalgae were traditionally classified in three main taxonomic groups based on pigmentation characteristics: green, red, and brown algae. Microalgae are widely distributed on the planet, including fresh and marine aquatic ecosystems, and play a major role in carbon dioxide sequestration. Their easy culture in laboratories and low production cost make them used in diverse applications in aquaculture, cosmetic, food, pharmaceutical and energy fields (Bougaran and Saint-Jean, 2014). Considering these features, the microalgae have been tested as potential surrogates of *Cryptosporidium* oocysts (Table 3).

In a field survey conducted for 5 years in eight different drinking water treatment plants applying a conventional treatment, diatomeae (brown algae) were found to be the most frequently algal cells detected in raw waters (87%) followed by chlorophyta (green algae, 11%) and cyanobacteriota (i.e. cyanophyta, blue algae, 2%) (Akiba et al., 2002). The removal rates measured for these microorganisms in these plants ranged between 2.33 and 3.47 \log_{10} , diatomeae being the most efficiently removed. This range appeared to be similar to removal rates measured for *Cryptosporidium* oocysts in other plants applying equivalent treatment (Galofré et al., 2004; Nieminski and Ongert, 1995; Ribas et al., 2000). However, it's important to note that in the study conducted by Akiba et al. (2002), diatomeae that were mainly found in raw water belonged to *Nitzschia* spp., which has a long shape. So, if these brown algae can easily be quantified in raw waters and throughout the process making them interesting candidates to assess global water quality on industrial sites, their distinct shape from *Cryptosporidium* oocysts questions their relevance to mimic *Cryptosporidium* fate in these conditions. Besides, it was demonstrated that *Stephanodiscus hantzschii*, another diatomeae that displays closer features to *Cryptosporidium* oocysts (Tables 1 and 2), was much less removed in slow sand filtration experiments (1.8 \log_{10} removal) compared to *Cryptosporidium* oocysts

(5.3 log₁₀ removal) (Hijnen et al., 2007). One can hypothesize that the lower removal of algae could be due to the ability of algal cells to replicate during the treatment. However, it is worth considering that even in wastewaters naturally rich in many nutrients, the C:N ratio is not sufficient to meet the algal growth requirements (Molazadeh et al., 2019). Therefore, the probability of microalgae growth during the treatment is probably low. Due to their difference in shape and/or the fact that they are too conservative indicators, brown algae appear irrelevant as surrogates of *Cryptosporidium* oocysts to assess drinking water treatment performance, either at plant or laboratory scales. In laboratory spiking experiments, Akiba et al. (2002) demonstrated that, in the same conditions, the cyanobacteriota *Microcystis viridis* and *M. aeruginosa*, and the chlorophyta *Selenastrum capricornutum* displayed similar removal rates (1.15 to 2.05 log₁₀) to *C. parvum* oocysts (1.49 log₁₀) after coagulation, *S. capricornutum* being the closer (1.51 log₁₀). When testing after the filtration step, *S. capricornutum* was again the microalga that behaves most like *C. parvum* oocysts, exhibiting similar removal rates of approximately 3 log₁₀ at the highest removal period. The authors concluded that *S. capricornutum* exhibited similar coagulation and filtration characteristics to *C. parvum* oocysts and could consequently be an appropriate surrogate to assess removal of oocysts upon conventional drinking water treatment. However, this species was not the most predominant from the chlorophyta group in raw waters (Akiba et al., 2002) and strongly differs from *Cryptosporidium* oocysts in size and shape (Tables 1 and 2). Therefore, the implementation of *S. capricornutum* as a surrogate to assess *Cryptosporidium* oocyst removal at industrial scale remains questionable. Finally, in a pilot-scale experiment assessing conventional treatment using dual media filtration, the total microalgae were shown to be 1 log₁₀ removed compared to 4 log₁₀ for *C. parvum* oocysts in the same condition (Monis et al., 2017), being highly conservative. Overall, the potential of microalgae as surrogates of *C. parvum* oocysts is quite limited.

3.4. *Eimeria* oocysts

Eimeria are coccidian parasites that infect numerous host species, including poultry, cattle, mice, rabbits, and many other species. Each species of *Eimeria* is host-specific but none of them is pathogenic to humans, and therefore these parasites are safe to handle. Depending on the species, *Eimeria* oocysts range from 11 to 84 µm in length and 8 to 56 µm in width and share structural and molecular features with *T. gondii* and *C. cayetanensis* (Tables 1 and 2). Following sporulation (in 1–2 days), oocysts of *Eimeria* consist of 4 sporocysts, each enclosing two infective sporozoites. They are transmitted to their host by the fecal-oral route at a low infectious dose (e.g. five sporulated *E. acervulina* oocysts (Velkers et al., 2010)). The rodent and poultry *Eimeria* species can be relatively easily produced, although requiring specific facilities and authorizations, at high rate (e.g. total of 1.5×10^6 *E. acervulina* oocysts per infected host (Velkers et al., 2010)) and at a reasonable cost. As with *T. gondii* and probably *C. cayetanensis*, sporulated oocysts of *Eimeria* can remain infective following some treatments applied in conditions that would be lethal for most other microorganisms, including bleach treatment, ultraviolet irradiation, and high-pressure treatment (Belli et al., 2006; Fitzgerald, 1968; Kniel et al., 2007; Shearer et al., 2007).

Due to their phylogenetic proximity to *C. cayetanensis*, *Eimeria* spp. appear as relevant surrogates for this challenging pathogen. More specifically, *E. acervulina* oocysts (Table 2) that infect poultry (Pieniżek and Herwaldt, 1997; Relman et al., 1996) represent the most interesting alternative to investigate control measures regarding *Cyclospora* in foods (recently reviewed by Tucker et al., 2022; Table 3). *E. acervulina* oocysts have also been used as surrogates of *T. gondii* and *C. parvum* oocysts to explore the bioaccumulation of coccidian oocysts by eastern oysters (*Crassostrea virginica*) and the ability of bioaccumulated parasites to retain their infectivity (Table 3; Lee and Lee, 2003). The authors found that oysters can quickly concentrate *Eimeria* oocysts (i.e., in 6 h). Oysters recovered 24 h after contamination of the waters still displayed infective oocysts but very few after 48 h, indicating that the oocysts passed through the shellfish in one day. Depending on fecal contamination of the production zone (based on *E. coli* criteria), shellfish can be directly sold for human raw consumption or require a depuration step before being commercialized (European regulation CE 854/2004). This depuration process consists in immersing the shellfish in clean water for short (usually 48 h) to long periods (according to the contamination level). Considering these depuration practices and their results obtained with infective *Eimeria* oocysts, the authors extrapolated that the risk of human contamination is limited regarding coccidian parasites in general in raw oysters. It is worth noting that in another study, *C. parvum* could still be detected by immunofluorescence assay in *C. virginica* 7 days after exposure (Willis et al., 2014). Similarly, *C. virginica* oysters submitted to water contaminated by *T. gondii* oocysts were still infective to mice 3 days post-water contamination (Lindsay et al., 2001). Hence, even if the experimental sets differed between these studies, as well as the measured parameters (i.e. viable *Eimeria* and *T. gondii* oocysts, viable and/or dead *C. parvum* oocysts), these findings strongly suggest that extrapolation from surrogates should be carefully made. To address the risk linked to the presence of coccidian parasites in live bivalves by using *Eimeria* surrogates, simultaneous comparisons of the behavior of *Eimeria* oocysts and target coccidian parasites should be first conducted to validate the surrogate. Finally, *Eimeria papillata* oocysts (Table 2), which infect mice, were used as surrogates for coccidia of public concern to optimize and define the performance of methods to isolate oocysts and detect their DNA in fresh produce (Lalonde and Gajadhar, 2016a; Table 3). Although the comparison of the detection of *E. papillata* oocysts and other coccidian parasites in these matrices has not been strictly done, *E. papillata* oocysts have been further successfully used as a positive extraction control in a Canadian survey in leafy green vegetables (Lalonde and Gajadhar, 2016b). *E. papillata* was also implemented as a surrogate to assess the fate of parasites in general on field lettuce, during process for ready-to-eat lettuce and in packaged lettuce, demonstrating overall their ability to persist (Delaquis, 2014).

4. Non-biological surrogates: microspheres

Microspheres have been used as abiotic substitutes for protozoan oocysts because they can be easily (and cheaply) manufactured with sizes and surface properties similar to those of the target parasites (Table 2). They include unmodified fluorescent carboxylated

polystyrene or latex microspheres (FCM), and modified fluorescent carboxylated microspheres coated with glycoproteins (F-GC-CM) or biotin (F-BC-CM) (Harvey et al., 2017). The use of microspheres as surrogates of oocysts offers several advantages. Microspheres are inert, enabling them to maintain their physical and chemical properties under certain harsh conditions (i.e. high temperatures, extreme pH levels, exposure to strong solvents). Furthermore, they can exhibit a negative surface charge on the same order of magnitude as the oocyst surface charge (Table 1). FCM surface can be modified through coating to mimic the molecules (glycoproteins) and properties of the oocyst surface (e.g. zeta potential, isoelectric point, hydrophobicity) (Table 1). Additionally, fluorescent microspheres can be easily detected and quantified by automated counting techniques such as epifluorescence microscopy, solid-phase cytometry and flow cytometry (Table 2). Microspheres are available in a wide range of size (typically 1 to 10 μm), encompassing the size of protozoan oocysts. Their length/width ratio (1.03) and buoyant density (1.05 g/cm^3) are also comparable to those of oocysts (1–1.2 length/width ratio and 1.03–1.07 g/cm^3 buoyant density) (Table 1). Moreover, microspheres have been proven to be non-hazardous, in terms of human toxicology and ecotoxicology, thus ensuring the safety of researchers handling them (Behrens et al., 2001). Most studies have evaluated microspheres as *C. parvum* oocyst surrogates to assess water treatments, mainly filtration, and characterize the transport of the parasites in water or porous media (Table 3).

4.1. Unmodified microspheres

Studies using FCM to address *C. parvum* oocyst removal during water treatment showed that these microspheres can be reliable surrogates at pilot-scale when assessing different types of filters (conventional/biological/dual media filters; Table 3) (Amburgey et al., 2005; Emelko et al., 2003; Emelko and Huck, 2004). Emelko et al. (2003) showed that both FCM and oocysts achieved $>4.5 \log_{10}$ removal in pilot-scale sand-anthracite dual-media filters under optimal conditions. Moreover, Amburgey et al. (2005) reported that FCM and oocysts demonstrated similar trends, with approximately 1.96 and 1.84 \log_{10} reductions respectively in both conventional (with coagulant) and biological filtration methods. When coagulation conditions became sub-optimal (due to increased temperature), FCM showed higher removal rates than oocysts (2.2 vs. 1.6 \log_{10}). Brown and Emelko (2009) concluded that FCM might be reliable surrogates of *C. parvum* oocyst removal by in-line filtration preceded by alum and FeCl_3 coagulation, but not chitosan coagulation. All these studies indicated that FCM can be reliable surrogates of *C. parvum* oocysts depending on variations in filter media properties and/or coagulation regime. More recently, new fluorescent microspheres (polymethyl acrylate-based) were successfully used to investigate *C. parvum* oocysts removal by sintered metal membrane in drinking water treatment plant (Li et al., 2020).

Table 4

Proposed surrogate or recommendations for each target depending on the targeted application.

Application	Target parasites	Relevant surrogates based on current knowledge	Need for surrogates	Promising surrogates to investigate in the future (if yes) or recommendations (if no)
Control of oocysts and DNA extraction for molecular-based detection of pathogens in food	<i>C. parvum</i>	<i>E. papillata</i>	Yes	<i>E. papillata</i> , <i>E. acervulina</i>
	<i>C. cayetanensis</i>	<i>E. papillata</i>	Yes	<i>E. papillata</i> , <i>E. acervulina</i>
	<i>T. gondii</i>	<i>E. papillata</i>	Yes	<i>E. papillata</i> , <i>E. acervulina</i>
Identification of water resources contamination by the target parasites	<i>C. parvum</i>	To date, no relevant indicator identified	No	Direct detection of each targeted parasite in the resource ^a
	<i>C. cayetanensis</i>	No published data	No	
	<i>T. gondii</i>	No published data	No	
Assessment of removal performance in water at industrial scale	<i>C. parvum</i>	Spores of aerobic-SFB as worst case-scenario	Yes	Spores of aerobic-SFB as the worst-case scenario for all protozoa
	<i>C. cayetanensis</i>	No published data	Yes	
	<i>T. gondii</i>	No published data	Yes	
Assessment of inactivation in water at laboratory scale	<i>C. parvum</i>	Oxydants ^b : spores of anaerobic-SFB; Pulsed-UV light: spores of aerobic-SFB (<i>B. megaterium</i> and <i>B. cereus</i>)	No	Assess inactivation in water directly on <i>C. parvum</i> oocysts
	<i>C. cayetanensis</i>	<i>E. acervulina</i>	Yes	<i>E. acervulina</i> and other <i>Eimeria</i> spp. oocysts
	<i>T. gondii</i>	No published data	Yes	<i>E. acervulina</i> and other <i>Eimeria</i> spp. oocysts
Assessment of inactivation in food at laboratory scale	<i>C. parvum</i>	<i>E. acervulina</i> (Accumulation/depuration in oysters)	No	Assess inactivation in food directly on <i>C. parvum</i> oocysts.
	<i>C. cayetanensis</i>	<i>E. acervulina</i>	Yes	<i>E. acervulina</i> and other <i>Eimeria</i> spp. oocysts
	<i>T. gondii</i>	<i>E. acervulina</i> (Accumulation/depuration in oysters)	Yes	<i>E. acervulina</i> and other <i>Eimeria</i> spp. oocysts
Assessment of parasite transport in terrestrial and aquatic environments	<i>C. parvum</i>	F-GC/BC-CM	No	F-GC/BC-CM
	<i>C. cayetanensis</i>	No published data	Yes	Modified microspheres; in cocktail.
	<i>T. gondii</i>	Cocktail of FCM	Yes	Cocktail of FCM

^a By molecular-based methods.

^b Mixed oxidant solution, ozone. SFB: spore-forming bacteria. FCM: fluorescent carboxylated microspheres. F-GC/BC-CM: fluorescent carboxylated microspheres coated with glycoproteins (G) or biotin (B).

Unmodified microspheres have also been used as surrogates of *C. parvum* oocysts to study their transport in porous media in laboratory- and field-scale experiments (Table 3). Mohanram et al. (2010) and Tufenkji et al. (2004) demonstrated that FCM and oocysts had similar transport and attachment behaviors through granular porous media. These findings were consistent with Dai and Hozalski's (2003) study, in which it was also observed similar transport behavior through glass bead filters between oocysts ($16.3 \pm 1.5\%$ of removal) and FCM ($14 \pm 1.7\%$) in the presence of natural organic matter. However, in the absence of natural organic matter, the system removed fewer microspheres ($40.3 \pm 1.5\%$) compared to oocysts ($49.7 \pm 2.9\%$) and underestimated the retention of oocysts when coagulant was added. Other studies by Pang et al. (2012) and Tufenkji and Elimelech (2005) also found that FCM underestimated oocyst retention in sand media columns and glass beads, respectively. On the other hand, Harvey et al. (2008) and Metge et al. (2007) demonstrated that FCM can overestimate oocyst removal in other types of porous media (karst limestone and alluvial aquifer, respectively). Hence, the behavior of non-modified microspheres relative to *C. parvum* oocysts is highly variable depending on the tested media and suggest that this surrogate is not appropriate to address the transport of *C. parvum* oocysts in porous media.

To our knowledge, studies by Shapiro et al. (2009, 2010a, 2010b) were the only ones that assessed microspheres as potential surrogates for *T. gondii* oocysts (Table 3). They evaluated two types of FCM: Dragon Green (DG, $10.35 \mu\text{m}$ diameter) and Glacial Blue (GB, $8.6 \mu\text{m}$ diameter) microspheres (Table 3). DG were purchased as unwashed suspensions containing surfactant (Shapiro et al., 2009). The authors observed that, following washing and elimination of the surfactant, DG tended to become hydrophobic, leading to a shorter transport potential than oocysts. Conversely, GB microspheres were hydrophilic and could remain stable in suspension without the need of surfactant (Tween20), suggesting that GB have a longer transport potential than oocysts. Therefore, it may be advisable to use a combination of microspheres representing different sizes and surface properties to finely characterize the transport behavior and interactions of oocysts with the environmental matrices. This approach proved successful in the development of techniques to concentrate *T. gondii* oocysts from different water types by capsule filtration (Shapiro et al., 2010b), as well as to assess the transport of oocysts in wetlands (Shapiro et al., 2010a).

As microspheres are biologically inert, it is assumed they cannot provide information on inactivation of the protozoan oocysts they intend to mimic. A study conducted by Tang et al. (2005) used FCM to evaluate the effects of ozone treatments in inactivating *C. parvum* oocysts (Table 3). A mathematical model previously validated in a pilot-scale experiment (Kim et al., 2002a, 2002b) was applied to predict ozone performance in inactivating oocysts based on changes in FCM fluorescence intensity. For this, FCM were analyzed for fluorescence using flow cytometry as described by Mariñas et al. (1999). Changes in their fluorescence intensity were correlated with the inactivation kinetics of *C. parvum* oocysts by selecting an appropriate fluorescence intensity threshold. Though this approach appears simple and accurate, it does not provide information on how ozone at different CT affects the viability of the sporozoites within the oocyst wall.

4.2. Modified microspheres

The relevance of unmodified FCM can truly be questioned for filtration and transport applications, because, under similar chemical conditions (type of soil, water, pH, ...) and using similar counting methods (flow cytometry, epifluorescence microscopy), FCM exhibit a higher electronegativity compared to oocysts, which can therefore affect interactions between FCM and the porous filter media and soils. To address this, the surface of FCM can be modified by grafting covalently glycoproteins (F-GC-CM) and biotin (F-BC-CM) to mimic the surface molecules and properties of the target parasites, and therefore consider their interaction capacities with molecules when studying removal by coagulation, settling and filtration (Table 3). Stevenson et al. (2015) found that F-GC-CM and F-BC-CM matched better the retention of *C. parvum* than FCM in filtration processes by granular limestone media. Such observations were also made when assessing sand filtration, with F-GC-CM and F-BC-CM showing $2 \log_{10}$ and $2.1 \log_{10}$ removal respectively, relative to 2.7 to $3.0 \log_{10}$ reduction of *C. parvum* oocysts (Pang et al., 2012). These findings were in line with Liu et al. (2019b) who assessed retention in granular silica sand media, although they demonstrated that copolymers-modified microspheres appeared to be more relevant surrogates in these conditions. Consistent with this, F-GC-CM were used as *Cryptosporidium* surrogates to study removal in different filtration systems (sand, anthracite, pumice, engineered ceramic sand, household filters) and in different operating conditions (Pang et al., 2021, 2022; Zhang et al., 2017).

Overall, studies highlight the potential of microspheres as promising surrogates for studying oocyst removal through different water treatments rather on a pilot scale, as their use on a full scale (on industrial sites) may prove cost-prohibitive due to the substantial quantities required. They can provide conservative or comparable estimates and allow to investigate the interactions between the parasites and water/porous media systems, encompassing processes such as adsorption/desorption, retention, and transport. Proteins at the microsphere surface can play a critical role in their attachment to solid surfaces such as soil grains and sediments, underscoring the importance of using functionalized over unmodified microspheres to better understand the parasite behavior in different media. Their applications to the food matrix remain to be documented, but their relevance for such application is questionable since food processes mainly aim at inactivating pathogens.

5. Conclusions and future directions

To improve risk assessment linked to the presence of *C. parvum*, *C. cayetanensis* and *T. gondii* oocysts in food and water, it is required to increase knowledge on human exposure to these matrices. To progress on these questions, researchers are facing challenges to work with these three parasites at different levels of difficulty. For *C. parvum*, the main barrier relies on its pathogenicity that requires laboratories with sufficient biosafety level. Concerning *T. gondii*, beside its pathogenicity, ethical and practical concerns linked to the

implementation of *in vivo* assays (cats for production of oocysts and mice to assess their infectivity) are important constraints. Finally, *C. cayetanensis* is the most complex parasite to work with, due to the difficulties to obtain oocysts (exclusively shed by humans) and the lack of approaches to characterize their infective potential. For these reasons, researchers are seeking alternatives, i.e. surrogates of these pathogens, to hasten progress in exposure assessment. Most of the literature on surrogates concern *Cryptosporidium* oocysts and drinking water treatment performances (removal, Table 3), in line with the large Milwaukee outbreak in 1993 (Mac Kenzie et al., 1994). However, recent methodological advances for detection and infectivity assessment in water and food matrices make the need for alternatives of *Cryptosporidium* oocysts less essential. On the other hand, works evaluating surrogates for *T. gondii* and *C. cayetanensis* oocysts are scarcer and more recent (Table 3). Nevertheless, considering the increasing need to gain new knowledge in exposure assessment for these parasites, identifying relevant surrogates appears essential to overcome the constraints and limits associated to each of these pathogens.

Recent efforts focused on development of methods to detect the three parasites in food and water in order to conduct surveillance studies (Bartosova et al., 2021; Berrouch et al., 2020; Fradette et al., 2022; Slana et al., 2021). Significant advances concern molecular-based methods that allow to detect the DNA of the parasites and that are considered specific, sensitive, rapid, and now routinely used in laboratories. To conclude from surveillance analyses using these methods in food, it's crucial to be able to guarantee that no problem happened during the procedure. To that aim, extraction control can be used that should be different from the target pathogen(s) but should mimic its behavior during the procedure. *E. papillata* oocysts have been proposed as relevant extraction control of *C. parvum*, *T. gondii* and *C. cayetanensis* oocysts, in different red berries and leafy greens (Tables 3 and 4). Simultaneous comparison of recovery and molecular detection of oocysts *E. acervulina* and *T. gondii* from leafy greens indicated that this *Eimeria* species could also be used as a control process in this matrix (Augendre, unpublished work). Hence, oocysts of both *Eimeria* species represent valuable surrogates for validating the extraction processes from food matrices, for coccidia of public health importance. Future research should focus on validating the use of *E. acervulina* in other relevant food matrices that may require distinct extraction procedures.

To address the question of occurrence in raw matrices and of contamination routes, the increasing availability of molecular-based methods in routine laboratories, will probably lower the need for surrogates for such application in the future. This is particularly welcoming considering that neither *E. coli*, the spores of anaerobic/aerobic-spores forming bacteria nor microalgae appear relevant to identify water resources contaminated by *Cryptosporidium* oocysts (Table 4, Sylvestre et al., 2021), while no surrogates have been proposed to monitor water contamination by *C. cayetanensis* and *T. gondii* oocysts (Table 4). Hence, from our point of view there is no need to further investigate surrogates to characterize the contamination of water resources.

Food and water processes are key steps to reduce the contamination in raw matrices, and to eliminate the risk for consumers to be exposed to pathogens. To validate control measures, their performance have to be evaluated in terms of removal and/or inactivation (loss of viability/infectivity) of the target microorganism. This requires having sufficient initial levels of pathogens in raw water or foods to measure the reduction across the process, which is rarely the case for *C. parvum*, *C. cayetanensis* and *T. gondii* oocysts. For this reason and the fact that pathogens cannot obviously be intentionally introduced in industrial sites, it is not possible to assess processes directly in plants for these parasites without the use of safe surrogates. In drinking water industries, plant-scale assessment is the most common strategy to assess removal efficiency and relies on indirect approaches based on the use of indigenous performance indicators. These indicators must be at least as efficiently removed as the target pathogens and should not undergo re-growth during treatment. For *Cryptosporidium* oocysts, our literature review indicates that the spores of aerobic-spore forming bacteria are the most relevant indicator to assess removal efficiency at plant-scale as the "worst-case scenario" (Table 4), as recommended by the United States Environmental Protection Agency (USEPA, 2010). No surrogates have been identified to date for *C. cayetanensis* and *T. gondii* oocysts, possibly due to their lesser significance in drinking water production. However, considering data on *Cryptosporidium*, the spores of aerobic-spore forming bacteria appeared the most promising surrogate to be investigated for those latter. This should be validated at least for *T. gondii* in laboratory-scale experiments, before considering the spores of aerobic-spore forming bacteria as the surrogate to assess drinking water treatment performance on all protozoan parasites, in a worst-case scenario approach.

Evaluation of inactivation efficiency of water/food industrial processes for most pathogens is usually performed at laboratory- or pilot-scales in safety facilities. In this case, the pathogens are spiked in the targeted matrix at high levels to be able to measure sufficient inactivation induced by the process (i.e. removal of viable pathogens). Spore-forming bacteria have been tested as surrogates of *C. parvum* oocysts to assess chemical- (oxidant, *Clostridium* spp.) or physical- (pulsed-UV light, *Bacillus* spp.) based disinfection in water. We caution that the selection of the *Bacillus* strain should be carefully made depending on the inactivation treatment, since it can exert a significant influence on the fate of the spores vs. the oocysts (Headd and Bradford, 2016). In food, *E. acervulina* oocysts have been proposed as surrogates to assess the inactivation of *C. parvum* during bioaccumulation/depuration process of oysters. However, one can discuss the real need of surrogate for *C. parvum* in laboratory experiments considering that: i) it's quite easy to access large numbers of *C. parvum* oocysts (commercially available); and ii) CG-qPCR assays are available and accessible to laboratories to measure inactivation (Table 4). The best recommendation, if suitable facilities are available, would be to assess inactivation in water and food matrices directly on *C. parvum* oocysts to gain the most relevant information. In contrast, very few studies have addressed the use of surrogates to assess the inactivation of *C. cayetanensis* and *T. gondii* oocysts in water or food matrices (Tables 3 and 4). Nevertheless, the constraints associated with the obtaining of these oocysts and measuring inactivation for both parasites make the need for surrogates essential for such assessments. For *C. cayetanensis*, a recent review highlighted the relevance of *E. acervulina* oocysts as surrogates (Tucker et al., 2022). Current work at our lab suggests that *E. acervulina* oocysts are also interesting surrogates of *T. gondii* oocysts to measure inactivation induced by different physical and chemical treatments in water (Augendre et al., manuscript in preparation). Altogether, *E. acervulina* oocysts appear to be promising surrogates to assess inactivation of *C. cayetanensis* and *T. gondii* oocysts (Table 4). Future research works are required to validate this approach. Besides, the need for poultry bioassays to measure infectivity will eventually represent a limit that will need to be addressed. To date, only one study described a cell-culture system for *E. acervulina*

oocysts (Taha et al., 2021) but its applicability in inactivation studies remains to be demonstrated. As an alternative, other *Eimeria* species such as *E. tenella* or *E. papillata* could be evaluated as surrogates too. Although they are larger than *C. cayetanensis* and *T. gondii* oocysts (Tables 1 and 2), *in vitro* assays have been described and successfully used (Abdel-Tawab et al., 2020; Felici et al., 2023; Mattig Frank et al., 1995; Thabet et al., 2017).

Modified (F-GC-CM, F-BC-CM) and unmodified (FCM) microspheres were the most studied surrogates to address the filtration efficiency and the transport of *C. parvum* and *T. gondii* oocysts respectively (Table 3). The easy production, ability to be prepared as cocktails, the flexibility in terms of size and surface characteristics and the absence of risk for handlers make the modified microspheres the most relevant surrogates for all parasites in transport applications (Table 4). The interest of modified microspheres rather than unmodified ones for *T. gondii* oocysts still need to be validated though. For *C. cayetanensis*, no data are available but modified microspheres should be investigated as the most relevant potential surrogate.

Inactivated oocysts could also be used as surrogates but were not included in this review. If they are the closest to the target pathogens and are safe to handle, they don't circumvent the problems linked to the obtaining of oocysts and they don't allow to assess inactivation performance. Besides, depending on the method used to inactivate the oocysts, the structure may be differentially affected (Dumètre et al., 2012), and consequently biased the behavior of oocysts upon removal treatments and transport and not accurately represent live oocysts (Kuznar and Elimelech, 2005; Ongerth and Pecoraro, 1995; Zhang et al., 2017). Furthermore, researchers have recognized that numerous factors can potentially alter or damage the oocyst wall (oocyst source, production methods, use of antibiotics, exposure to high ionic strength solutions, extensive washing), particularly its surface molecules, thus impacting its surface charge, viability, or capacity to be stained by viability probes (Brush et al., 1998; Butkus et al., 2003).

To conclude, as we could expect, no single surrogate is the best for all protozoa and all applications. Each surrogate has strengths and weaknesses, and researchers need to consider these depending on the research questions. This review aimed at guiding the users to select the most suitable surrogate for a specific protozoan parasite and a specific application. *Eimeria* spp. oocysts emerge as promising surrogates for studying human pathogenic coccidian parasites that are challenging to work with (*C. cayetanensis* and *T. gondii*) in diverse applications. Besides, multiple surrogates in cocktails may be the most relevant approach to cover larger panels of parasites and/or applications. But, this strategy has to be evaluated and validated in studies comparing the surrogates relative to oocysts in the same laboratory conditions and ideally, by assessing the same parameter (i.e., detection and or infectivity). In the food/water safety domains, careful selection of surrogates is crucial to avoid underestimation of the exposure to *C. parvum*, *C. cayetanensis* and *T. gondii* oocysts. In the most secure approach, surrogates should at least lead to overestimation of the exposure to oocysts in raw matrices and underestimation of the control measures efficiency. Despite the fact that surrogates may not fully represent the behavior of live protozoa, their use could contribute to modeling oocyst transmission and developing strategies to limit contamination and reduce human infections.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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